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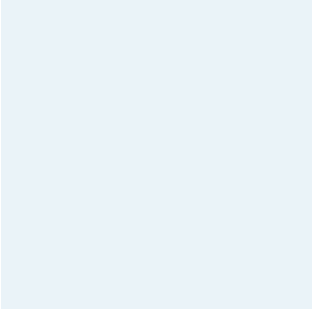
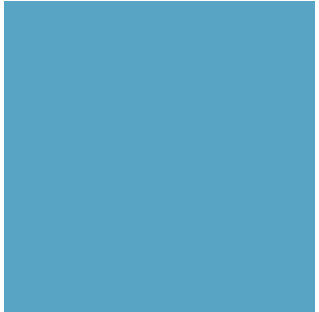
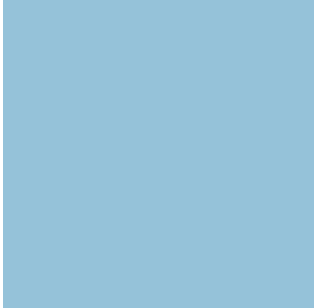
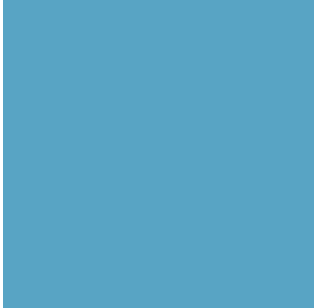
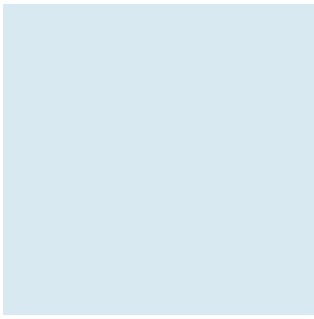


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# TAHOD-LITE: Antiretroviral Treatment for Adult HIV Infection in Asia, 1998 to 2013





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## **Abbreviations**

|            |  |
|------------|--|
| 3TC/FTC    | lamivudine/emtricitabine                                     |
| ABC        | Abacavir   |
| ART        | Antiretroviral therapy                                       |
| ATV        | Atazanavir   |
| AZT        | Zidovudine   |
| CI         | Confidence interval  |
| d4T        | Stavudine  |
| EFV        | Efavirenz  |
| HR         | Hazard ratio   |
| IDU        | Injecting drug user  |
| LPV        | Lopinavir  |
| LTFU       | Lost to follow up  |
| NNRTI      | Non-nucleoside reverse transcriptase inhibitor               |
| NRTI       | Nucleoside reverse transcriptase inhibitor                   |
| NVP        | Nevirapine   |
| PI         | Protease inhibitor   |
| pys        | person-years   |
| TAHOD      | TREAT Asia HIV Observational Database                        |
| TAHOD-LITE | TREAT Asia HIV Observational Database Low Intensity Transfer |
| TDF        | Tenofovir  |
| VL         | HIV viral load   |

## **1. Executive Summary**

The TREAT Asia HIV Observational Database (TAHOD) has recruited 9000 HIV-infected adult patients, with nearly 6,000 patients in active follow up, from 21 sites across the Asia Pacific region. While the study collects a fairly rich dataset, patients are not randomly selected, and so patterns of treatment and care may not be fully representative of the wider clinical population.

The TREAT Asia HIV Observational Database Low Intensity Transfer (TAHOD-LITE), an extension of TAHOD, address this limitation by collecting data from all HIV-infected patients who have attended care at some of the TAHOD sites, thus acting more as a surveillance system. This study is much larger and representative, allowing questions around ART use, durability and treatment outcomes to be addressed more reliably.

The TAHOD-LITE data in this report comprises of 30 153 patients from 6 Asia-Pacific countries. Over 60% of patients from each country are male, with the exception of Cambodia where 47% are male. Between 60-93% of patients from each country had heterosexual contact as the main mode of HIV exposure, excluding Hong Kong and Singapore where approximately 50% are through heterosexual contact and 30% are through homosexual contact. There were 18 842 patients who started antiretroviral therapy (ART) defined as a regimen with 3 or more ARV drugs, with 4% of patients undertaking a previous mono or duo regimen. The proportion with a missing first CD4 result for all patients and pre-treatment CD4 result for ART patients has reduced over time. There are also greater proportions of ART patients with a baseline CD4 between 201-350 cells/ $\mu$ L and reduced proportions with  $\leq 200$  cells/ $\mu$ L since 2005. The crude CD4 testing rate across all countries was 1.8 person-years (pys) with 95% CI (1.82-1.84). Most countries had increases in testing rates from earlier time periods.

For most countries, a large proportion of all patients did not have a viral load test conducted while in care. A majority of ART patients did not have a viral load test prior to ART initiation, with the exception of patients from Hong Kong and Singapore. Across all the countries and time periods, the crude rate of viral load testing was 0.6 pys with 95% CI (0.61-0.62). Overall, stavudine (d4T) use in initial ART has declined since 2003-2005, coinciding with an increase in tenofovir (TDF), zidovudine (AZT) and abacavir (ABC) use. In 2010-2013, efavirenz (EFV) use was greater than 60% across all countries and surpassed nevirapine (NVP) at  $\leq 40\%$ ; however the proportion of patients starting NVP was close to 80% for Cambodia and Indonesia. Risk factors associated with treatment modification included later period of ART initiation, female sex, low pre-treatment CD4 count, first regimen not containing a non-nucleoside reverse transcriptase inhibitor

(NNRTI) and previous mono/duo exposure. d4T use has also declined in second ART since 2003-2005 accompanied with an increase in TDF and ABC. In all countries, use of a protease inhibitor (PI) is uncommon though atazanavir (ATV) and lopinavir (LPV) use is increasing. Time to second treatment switch occurred sooner in Hong Kong, Singapore and India. Earlier period of ART initiation, younger age, mode of HIV exposure other than unknown/other, low pre-treatment CD4 count, second regimen not containing a PI and previous mono/duo were all risk factors associated with second treatment modification. Overall survival from ART initiation had improved over time. Significant risk factors associated with poorer survival include earlier years of ART initiation, older age at ART initiation, male gender, injecting drug use mode of HIV exposure, lower pre-ART CD4 cell count, first regimen containing nucleoside reverse transcriptase inhibitor (NRTI) and a PI, and previous mono/duo exposure. Lost to follow up (LTFU) rates appeared to be greater in more recent time periods, probably reflecting greater transfer of patients. Factors associated with increased risk of LTFU include year of ART initiation, younger age, male gender, injecting drug use mode of HIV exposure, lower pre-ART CD4 cell count, no previous mono/duo exposure and hepatitis C co-infection.

## **2. Introduction**

TAHOD is an observational cohort that has, since its inception in 2003, recruited 9000 HIV-infected adult patients, with nearly 6,000 patients in active follow up, from 21 sites across the Asia Pacific region. The study collects a fairly rich dataset including demographic, clinical and treatment data. However, patients are not randomly selected and recruited, so patterns of treatment and care may not be fully representative of the wider clinical population.

TAHOD-LITE, an extension of TAHOD, was proposed to address this limitation by collecting data from all HIV-infected patients who have attended care at some of the TAHOD sites, thus acting more as a surveillance system. This study is much larger, and focuses on a simplified dataset consisting of basic demographics, clinical biomarkers and treatment data that will allow TAHOD- LITE to address more reliably questions around ART use, durability and treatment outcomes.

### **2.1 TAHOD-LITE sites**

TAHOD-LITE consists of 7 sites from 6 Asia-Pacific countries including: Bach Mai Hospital, Hanoi, Vietnam; National Hospital of Tropical Diseases, Hanoi, Vietnam; the Social Health Clinic, National Centre for HIV/AIDS/Dermatology and STDs, Phnom Penh, Cambodia; Queen Elizabeth Hospital, Hong Kong, China; Sanglah Hospital, Denpasar, Indonesia; Tan Tock Seng Hospital, Singapore; Y.R. Gaitonde Center for AIDS Research & Education (YRG Care), Pune, India.

### **2.2 Methods**

All patients in TAHOD-LITE were included in the summary of first clinic attendees' analysis if they were aged  $\geq 18$  years at first clinic visit. For all other analyses, only patients who satisfy the above criteria and have started an ART regimen with 3 or more drugs from 1998 to 2013 were included. Furthermore, patients were excluded if their first ART initiation was prior to the following years representing time periods of complete patient sampling, for their respective site/country: Cambodia 2004; Hong Kong 2003; Indonesia 2003, Singapore 2006, Vietnam 2010 (Bach Mai Hospital only).

### **3. Patients at first clinic attendance**

- Characteristics of all patients.
- First CD4 cell count.
- First HIV viral load.

#### **3.1 Methods**

The characteristics of all patients are summarized in Table 1 (n=30153). Only patients from TAHOD-LITE that are aged  $\geq 18$  years at first clinic visit are included. First clinic attendance is defined as the cohort date for patients from TAHOD, or as the date of the first lab result prior to first ART initiation or date of the most recent clinic visit for patients from TAHOD-LITE. The proportions of first CD4 cell count for each country and for all countries by time period are presented as histograms in Figure 1 and Figure 2. The proportions of first HIV viral load for each country and for all countries by time period are summarized in Figure 3 and Figure 4. The first CD4 and HIV viral load is defined as the first lab result prior to the initiation of the first regimen.

#### **3.2 Summary of results**

Overall, there have been decreased proportions of patients with missing first CD4 cell count coinciding with increased proportions of patients with first CD4 cell count  $\leq 100$  cells/ $\mu$ L since 2001. Cambodia and Hong Kong have had increasing proportions with missing first CD4 cell count. Whilst India has the greatest proportion with a missing first CD4 cell count, however many patients are seen once and transferred elsewhere.

All countries had a majority of the patients with a missing first HIV viral load, with the exception of high income countries (Hong Kong and Singapore) and Vietnam, in recent years. There have been increased proportions of patients from high income countries with a first HIV viral load, particularly in recent years, but relatively little change in other countries. Cambodia has had nearly all patients missing a first HIV viral load. Vietnam has had a drastic increase, in 2012/13, in patients with a first HIV viral load. Overall, there has been a slightly decreasing proportion of patients with a missing baseline HIV viral load and the majority of the patients with a baseline HIV viral load had viraemia  $\geq 400$  copies/mL.

**Table 1. Overview of characteristics of all the patients seen at first clinic attendance, for each country and for all countries.**

|                                       | Cambodia |          | Hong Kong |          | India   |          | Indonesia |          | Singapore |          | Vietnam |          | Overall |          |
|---------------------------------------|----------|----------|-----------|----------|---------|----------|-----------|----------|-----------|----------|---------|----------|---------|----------|
|                                       | n=3470   |          | n=1142    |          | n=19302 |          | n=1390    |          | n=2291    |          | n=2558  |          | n=30153 |          |
|                                       | Total    | (%)      | Total     | (%)      | Total   | (%)      | Total     | (%)      | Total     | (%)      | Total   | (%)      | Total   | (%)      |
| Age, years                            |          |          |           |          |         |          |           |          |           |          |         |          |         |          |
| ≤30                                   | 1200     | (35)     | 244       | (21)     | 7177    | (37)     | 621       | (45)     | 530       | (23)     | 1022    | (40)     | 10695   | (35)     |
| 31-40                                 | 1443     | (42)     | 417       | (37)     | 8168    | (42)     | 532       | (38)     | 656       | (29)     | 1154    | (45)     | 12425   | (41)     |
| 41-50                                 | 589      | (17)     | 291       | (25)     | 2905    | (15)     | 176       | (13)     | 584       | (25)     | 237     | (9)      | 4813    | (16)     |
| 51+                                   | 238      | (7)      | 190       | (17)     | 1052    | (5)      | 61        | (4)      | 521       | (23)     | 145     | (6)      | 2220    | (7)      |
| Median [IQR]                          | 34       | [29, 41] | 39        | [32, 47] | 34      | [28, 40] | 32        | [28, 38] | 40        | [32, 50] | 33      | [29, 37] | 34      | [29, 40] |
| Sex                                   |          |          |           |          |         |          |           |          |           |          |         |          |         |          |
| Male                                  | 1645     | (47)     | 923       | (81)     | 12643   | (66)     | 906       | (65)     | 2108      | (92)     | 1637    | (64)     | 19862   | (66)     |
| Female                                | 1820     | (52)     | 218       | (19)     | 6643    | (34)     | 482       | (35)     | 180       | (8)      | 921     | (36)     | 10264   | (34)     |
| Transgender                           | 5        | (<0.1)   | 1         | (<0.1)   | 16      | (<0.1)   | 2         | (<0.1)   | 3         | (<0.1)   | 0       | (-)      | 27      | (<0.1)   |
| HIV exposure category                 |          |          |           |          |         |          |           |          |           |          |         |          |         |          |
| Heterosexual contact                  | 3127     | (90)     | 510       | (45)     | 17881   | (93)     | 1049      | (75)     | 1142      | (50)     | 1542    | (60)     | 25251   | (84)     |
| Homosexual contact                    | 31       | (1)      | 404       | (35)     | 70      | (<0.4)   | 87        | (6)      | 761       | (33)     | 23      | (1)      | 1376    | (5)      |
| Injecting drug use only               | 13       | (<0.4)   | 49        | (4)      | 300     | (2)      | 175       | (13)     | 73        | (3)      | 837     | (33)     | 1447    | (5)      |
| Blood products                        | 24       | (1)      | 11        | (1)      | 563     | (3)      | 0         | (-)      | 1         | (<0.1)   | 3       | (<0.1)   | 602     | (2)      |
| Bisexual                              | 3        | (<0.1)   | 62        | (5)      | 171     | (1)      | 3         | (<0.2)   | 217       | (9)      | 1       | (<0.1)   | 457     | (2)      |
| Other/Unknown                         | 272      | (8)      | 106       | (9)      | 317     | (2)      | 76        | (5)      | 97        | (4)      | 152     | (6)      | 1020    | (3)      |
| Hepatitis B Co Infection <sup>†</sup> |          |          |           |          |         |          |           |          |           |          |         |          |         |          |
| Negative                              | 2327     | (67)     | 903       | (79)     | 314     | (2)      | 536       | (39)     | 1917      | (84)     | 1230    | (48)     | 7227    | (24)     |
| Positive                              | 285      | (8)      | 110       | (10)     | 15      | (<0.1)   | 51        | (4)      | 178       | (8)      | 194     | (8)      | 833     | (3)      |
| Not Tested                            | 858      | (25)     | 129       | (11)     | 18973   | (98)     | 803       | (58)     | 196       | (9)      | 1134    | (44)     | 22093   | (73)     |
| Hepatitis C Co infection <sup>†</sup> |          |          |           |          |         |          |           |          |           |          |         |          |         |          |
| Negative                              | 2438     | (70)     | 903       | (79)     | 2159    | (11)     | 469       | (34)     | 2002      | (87)     | 1431    | (56)     | 9402    | (31)     |
| Positive                              | 150      | (4)      | 78        | (7)      | 262     | (1)      | 76        | (5)      | 96        | (4)      | 1065    | (42)     | 1727    | (6)      |
| Not Tested                            | 882      | (25)     | 161       | (14)     | 16881   | (87)     | 845       | (61)     | 193       | (8)      | 62      | (2)      | 19024   | (63)     |
| Patients seen only once               | 125      | (4)      | 64        | (6)      | 5420    | (28)     | 70        | (5)      | 32        | (1)      | 35      | (1)      | 6230    | (21)     |

<sup>†</sup> HBV is result from HBV surface antigen test and HCV is result from HCV antibody test.

**Figure 1. First CD4+ T-cell count (cells/ $\mu$ L) for each country by the year of the test date.**

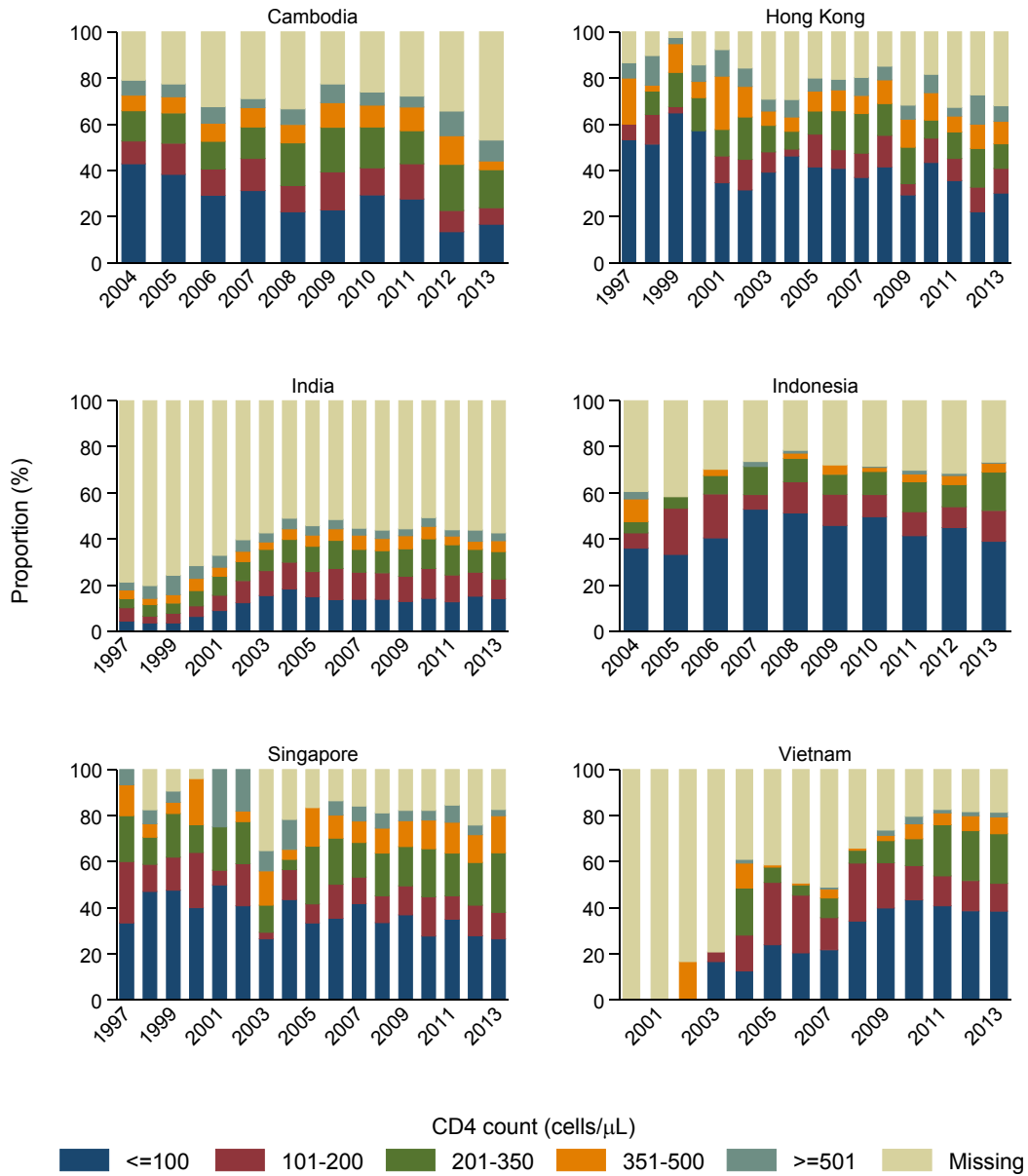


Figure 2. First CD4+ T-cell count (cells/ $\mu$ L) for all countries by the year of the test date.

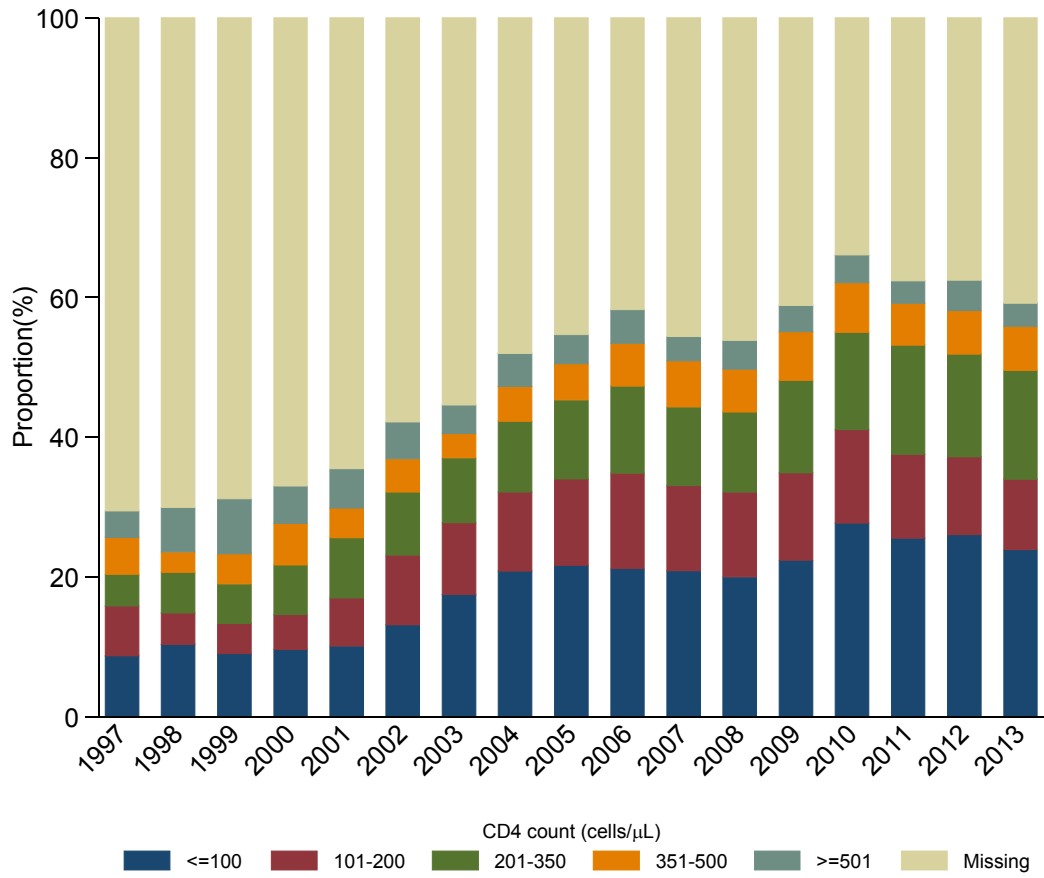




Figure 3. First HIV viral load (copies/mL) for each country by the year of the test date.

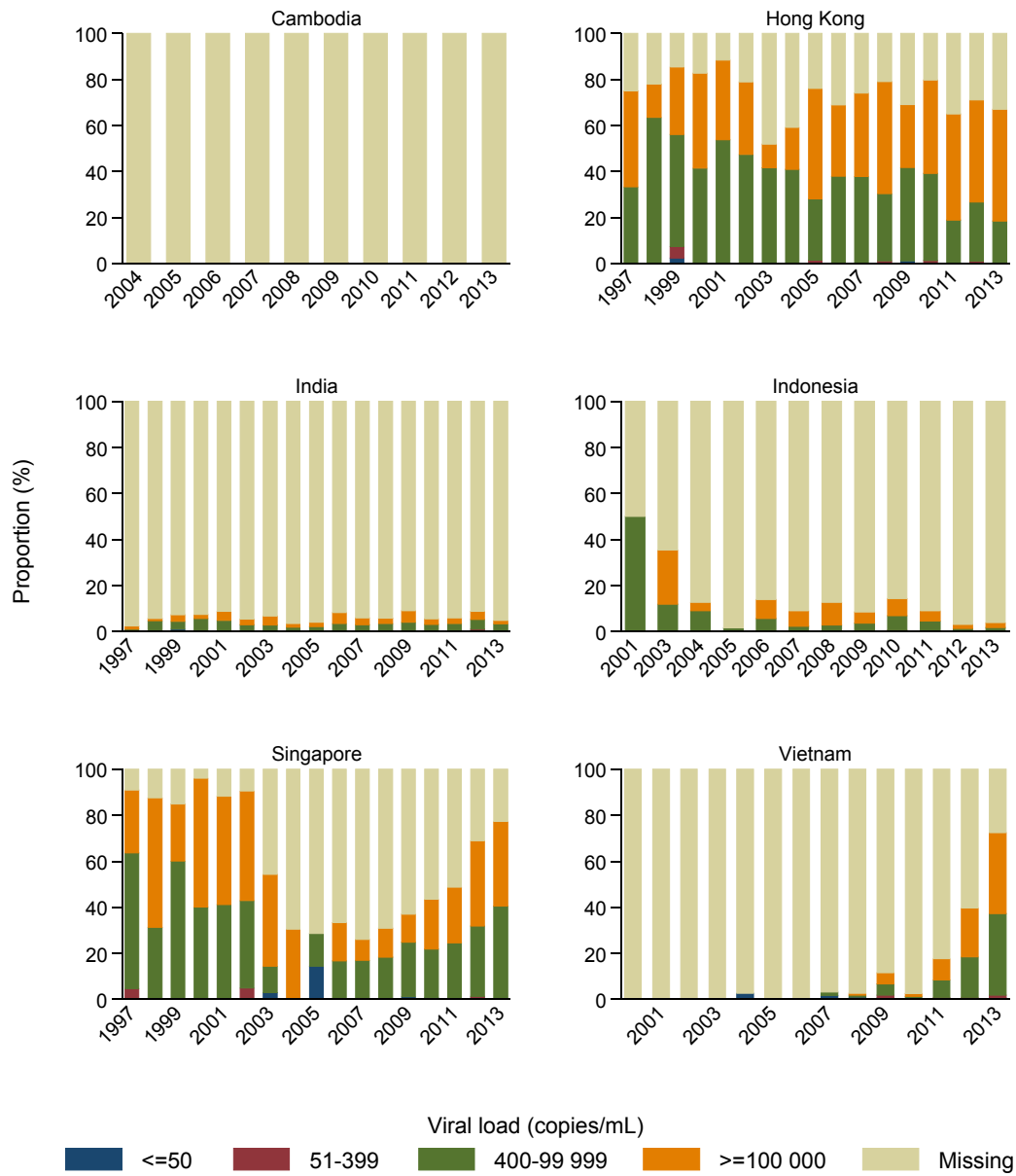
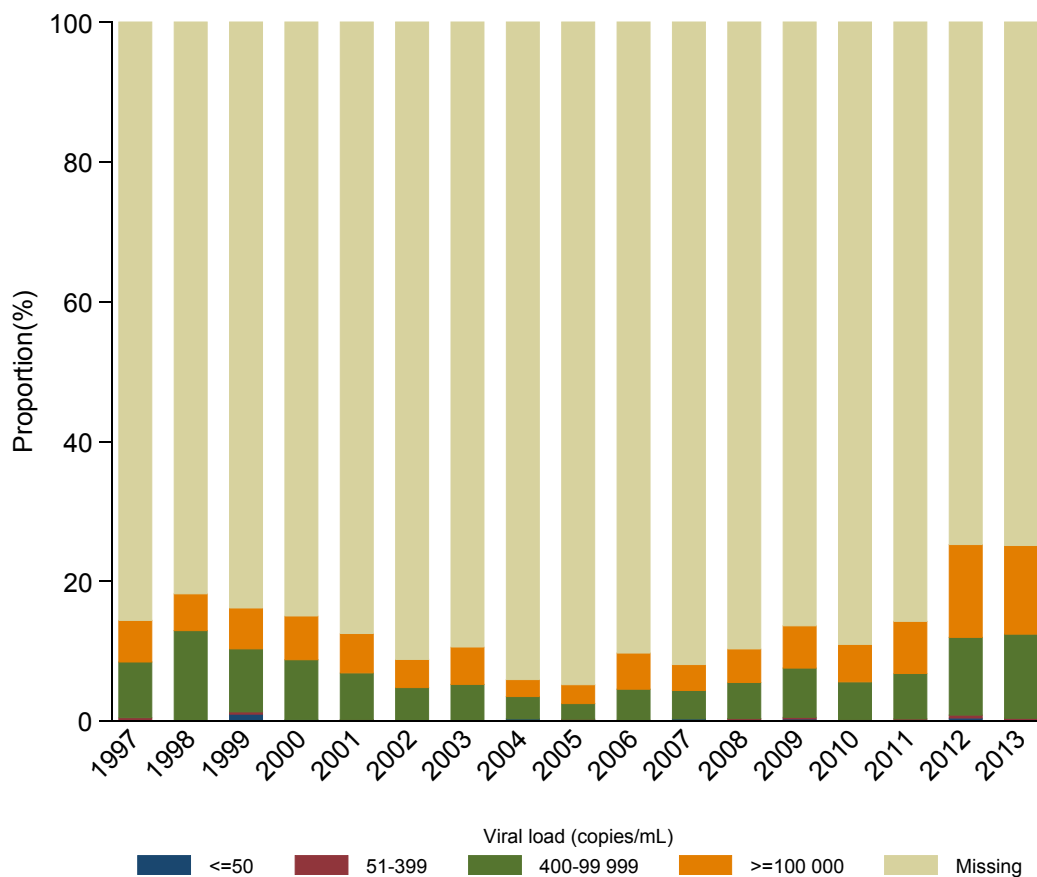


Figure 4. First HIV viral load (copies/mL) for all countries by the year of the test date.



## **4. Patients who start ART**

- Characteristics of all patients who have started ART.
- Baseline CD4 cell count.
- Baseline HIV viral load.

### **4.1 Methods**

The characteristics of all patients who have started ART are summarized in Table 2 (n=18842). Patients who started a regimen with 3 or more drugs from 1998 to 2013 are presented. Age is at the date of the first ART. Baseline CD4 cell count for each country and for all countries by time period are presented as histograms in Figure 5 and Figure 6. Baseline HIV viral load for each country and for all countries by time period are presented in Figure 7 and Figure 8. The baseline CD4 and HIV viral load is defined as the most recent lab result within 6 months prior to initiating the first regimen.

### **4.2 Summary of results**

Overall, since 2005, there have been decreasing proportions of patients with baseline CD4 cell count  $\leq 200$  cells/ $\mu$ L and increasing proportions of patients with baseline CD4 cell count  $\geq 201$ . The proportion of patients with a missing baseline CD4 cell count has also decreased with time.

All countries had a majority of the patients with a missing baseline HIV viral load, with the exception of high income countries (Hong Kong and Singapore) and Vietnam in more recent years. The high income countries have had decreasing proportions of patients with a missing HIV viral load over time, whilst all other countries have had relatively little change. Vietnam has had a drastic increase in the proportion of patients with a baseline HIV viral load for 2012/2013. Overall, there have been a decreasing proportion of patients with a missing baseline HIV viral load in recent years. The majority of the patients with a baseline HIV viral load had viraemia  $\geq 400$  copies/mL.

**Table 2. Overview of characteristics of all the patients who have started ART, for each country and for all countries.**

|                                       | Cambodia<br>n=2539 |          | Hong Kong<br>n=794 |          | India<br>n=10394 |          | Indonesia<br>n=1116 |          | Singapore<br>n=1816 |          | Vietnam<br>n=2183 |          | Overall<br>n=18842 |          |
|---------------------------------------|--------------------|----------|--------------------|----------|------------------|----------|---------------------|----------|---------------------|----------|-------------------|----------|--------------------|----------|
|                                       | Total              | (%)      | Total              | (%)      | Total            | (%)      | Total               | (%)      | Total               | (%)      | Total             | (%)      | Total              | (%)      |
| Age, years                            |                    |          |                    |          |                  |          |                     |          |                     |          |                   |          |                    |          |
| ≤30                                   | 791                | (31)     | 161                | (20)     | 2690             | (26)     | 513                 | (46)     | 321                 | (18)     | 907               | (42)     | 5383               | (29)     |
| 31-40                                 | 1129               | (44)     | 276                | (35)     | 5090             | (49)     | 424                 | (38)     | 523                 | (29)     | 948               | (43)     | 8390               | (45)     |
| 41-50                                 | 449                | (18)     | 218                | (27)     | 1947             | (19)     | 136                 | (12)     | 502                 | (28)     | 202               | (9)      | 3454               | (18)     |
| 51+                                   | 170                | (7)      | 139                | (18)     | 667              | (6)      | 43                  | (4)      | 470                 | (26)     | 126               | (6)      | 1615               | (9)      |
| Median [IQR]                          | 35                 | [30, 41] | 40                 | [32, 48] | 35               | [31, 41] | 32                  | [28, 38] | 42                  | [34, 51] | 32                | [28, 37] | 35                 | [30, 42] |
| Sex                                   |                    |          |                    |          |                  |          |                     |          |                     |          |                   |          |                    |          |
| Male                                  | 1220               | (48)     | 645                | (81)     | 7289             | (70)     | 742                 | (66)     | 1683                | (93)     | 1390              | (64)     | 12969              | (69)     |
| Female                                | 1316               | (52)     | 148                | (19)     | 3095             | (30)     | 372                 | (33)     | 131                 | (7)      | 793               | (36)     | 5855               | (31)     |
| Transgender                           | 3                  | (<0.1)   | 1                  | (<0.1)   | 10               | (<0.1)   | 2                   | (<0.2)   | 2                   | (<0.1)   | 0                 | (-)      | 18                 | (<0.1)   |
| HIV exposure category                 |                    |          |                    |          |                  |          |                     |          |                     |          |                   |          |                    |          |
| Heterosexual contact                  | 2357               | (93)     | 334                | (42)     | 9681             | (93)     | 828                 | (74)     | 905                 | (50)     | 1351              | (62)     | 15456              | (82)     |
| Homosexual contact                    | 23                 | (1)      | 329                | (41)     | 45               | (<0.4)   | 71                  | (6)      | 611                 | (34)     | 18                | (1)      | 1097               | (6)      |
| Injecting drug use only               | 7                  | (<0.3)   | 29                 | (4)      | 61               | (1)      | 157                 | (14)     | 62                  | (3)      | 675               | (31)     | 991                | (5)      |
| Blood products                        | 18                 | (1)      | 5                  | (1)      | 377              | (4)      | 0                   | (-)      | 0                   | (-)      | 3                 | (<0.1)   | 403                | (2)      |
| Bisexual                              | 3                  | (<0.1)   | 57                 | (7)      | 109              | (1)      | 3                   | (<0.3)   | 178                 | (10)     | 1                 | (<0.1)   | 351                | (2)      |
| Other/Unknown                         | 131                | (5)      | 40                 | (5)      | 121              | (1)      | 57                  | (5)      | 60                  | (3)      | 135               | (6)      | 544                | (3)      |
| Hepatitis B Co Infection <sup>†</sup> |                    |          |                    |          |                  |          |                     |          |                     |          |                   |          |                    |          |
| Negative                              | 2058               | (81)     | 675                | (85)     | 281              | (3)      | 410                 | (37)     | 1522                | (84)     | 1009              | (46)     | 5955               | (32)     |
| Positive                              | 242                | (10)     | 76                 | (10)     | 14               | (<0.1)   | 41                  | (4)      | 145                 | (8)      | 153               | (7)      | 671                | (4)      |
| Not Tested                            | 239                | (9)      | 43                 | (5)      | 10099            | (97)     | 665                 | (60)     | 149                 | (8)      | 1021              | (47)     | 12216              | (65)     |
| Hepatitis C Co infection <sup>†</sup> |                    |          |                    |          |                  |          |                     |          |                     |          |                   |          |                    |          |
| Negative                              | 2140               | (84)     | 677                | (85)     | 1547             | (15)     | 352                 | (32)     | 1600                | (88)     | 1248              | (57)     | 7564               | (40)     |
| Positive                              | 137                | (5)      | 51                 | (6)      | 71               | (1)      | 70                  | (6)      | 79                  | (4)      | 886               | (41)     | 1294               | (7)      |
| Not Tested                            | 262                | (10)     | 66                 | (8)      | 8776             | (84)     | 694                 | (62)     | 137                 | (8)      | 49                | (2)      | 9984               | (53)     |
| Previous Mono/Duo                     | 22                 | (1)      | 49                 | (6)      | 577              | (6)      | 40                  | (4)      | 35                  | (2)      | 74                | (3)      | 797                | (4)      |
| Excluded mono/duo only                | 68                 |          | 6                  |          | 593              |          | 3                   |          | 3                   |          | 2                 |          | 675                |          |

<sup>†</sup>HBV is result from HBV surface antigen test and HCV is result from HCV antibody test.

**Figure 5. Baseline CD4+ T-cell count (cells/ $\mu$ L) for each country by the year of the test date.**

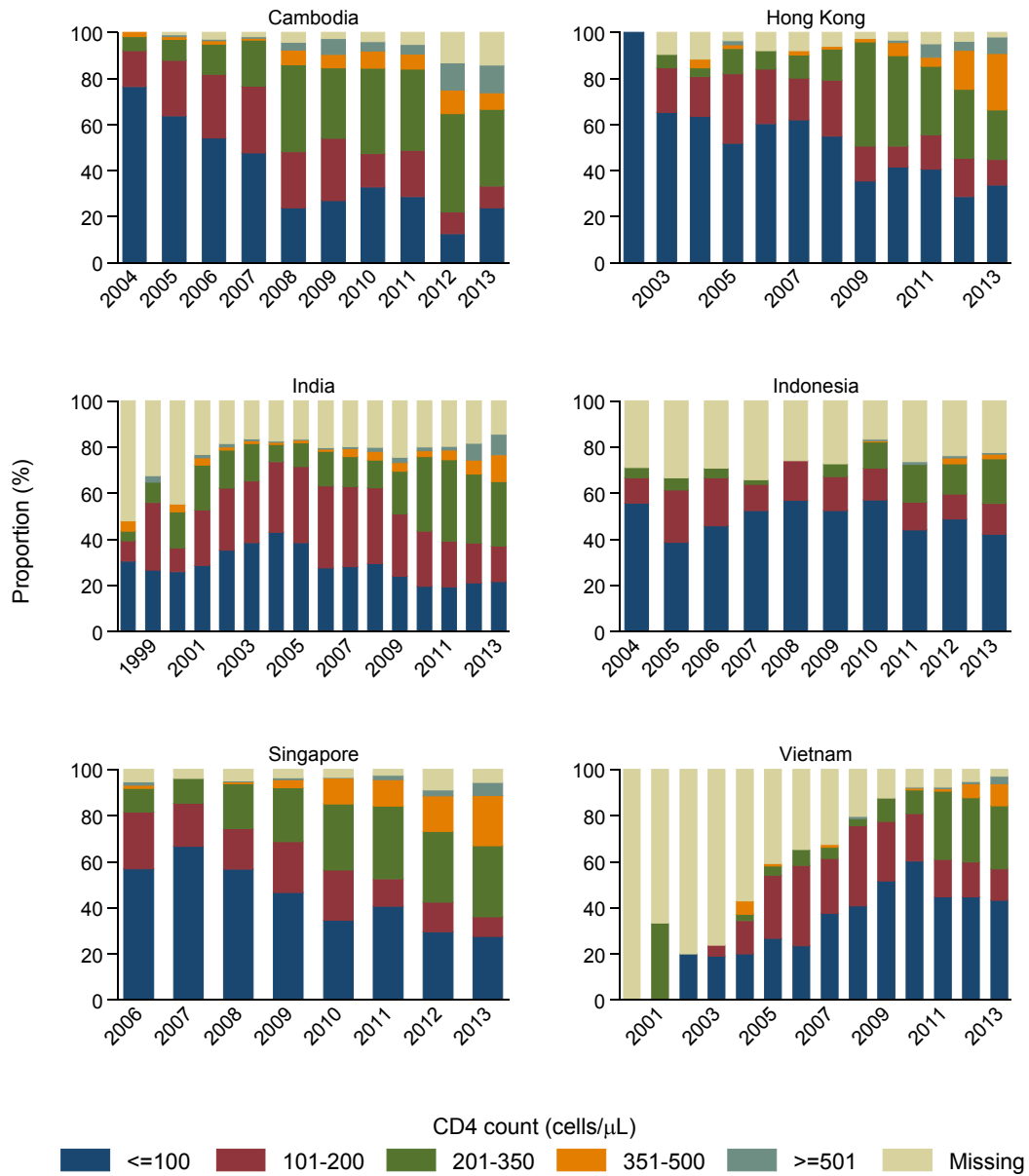


Figure 6. Baseline CD4+ T-cell count (cells/ $\mu$ L) for all countries by the year of the test date.

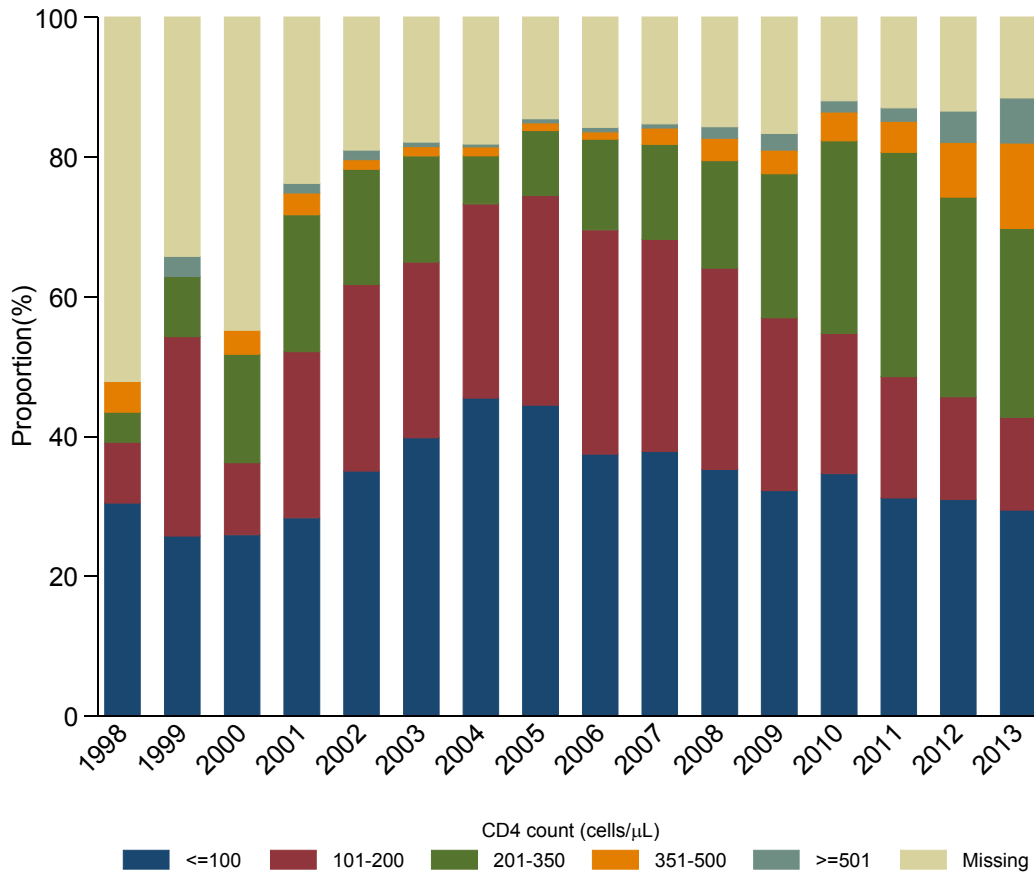


Figure 7. Baseline HIV viral load (copies/mL) for each country by the year of the test date.

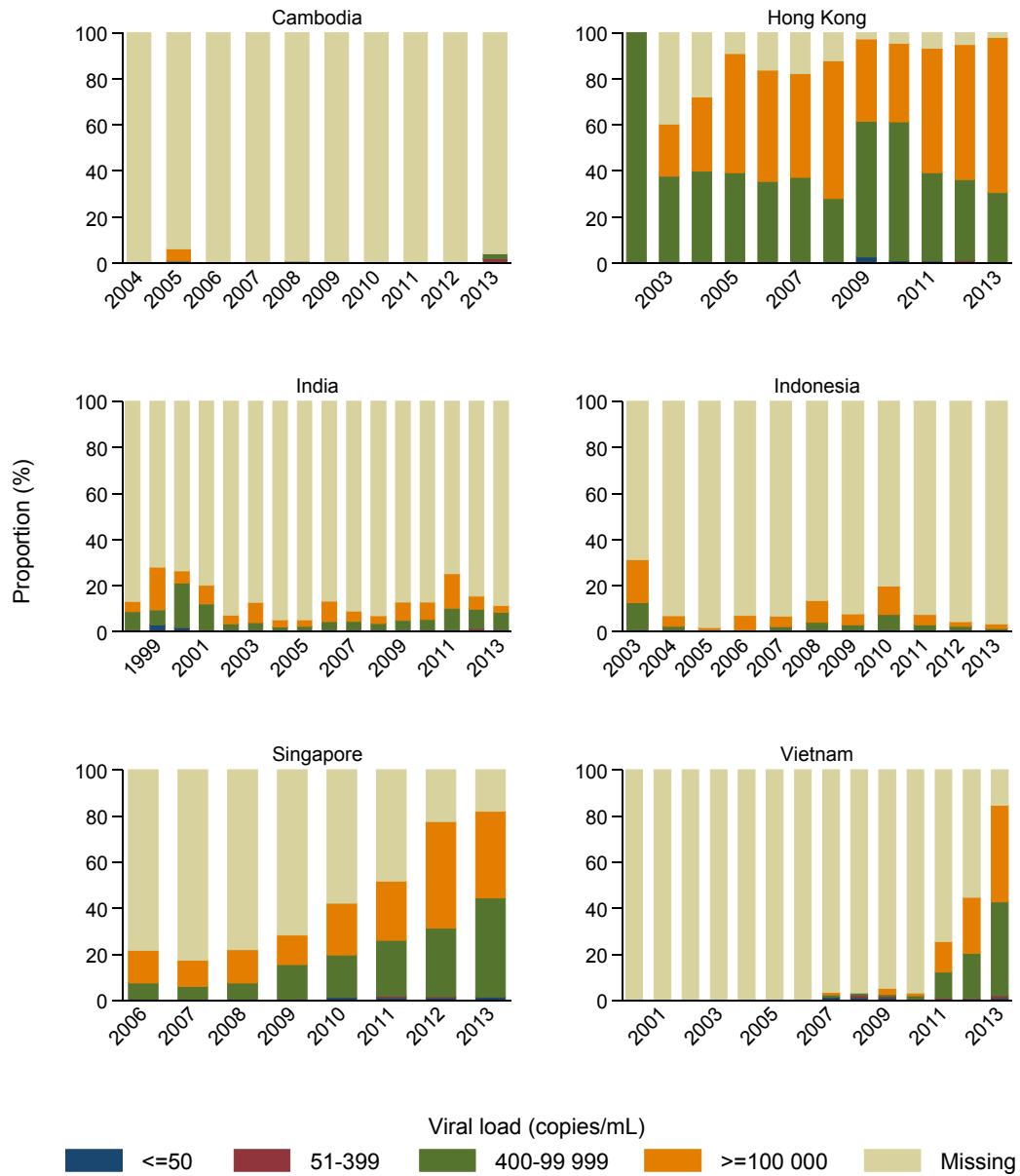
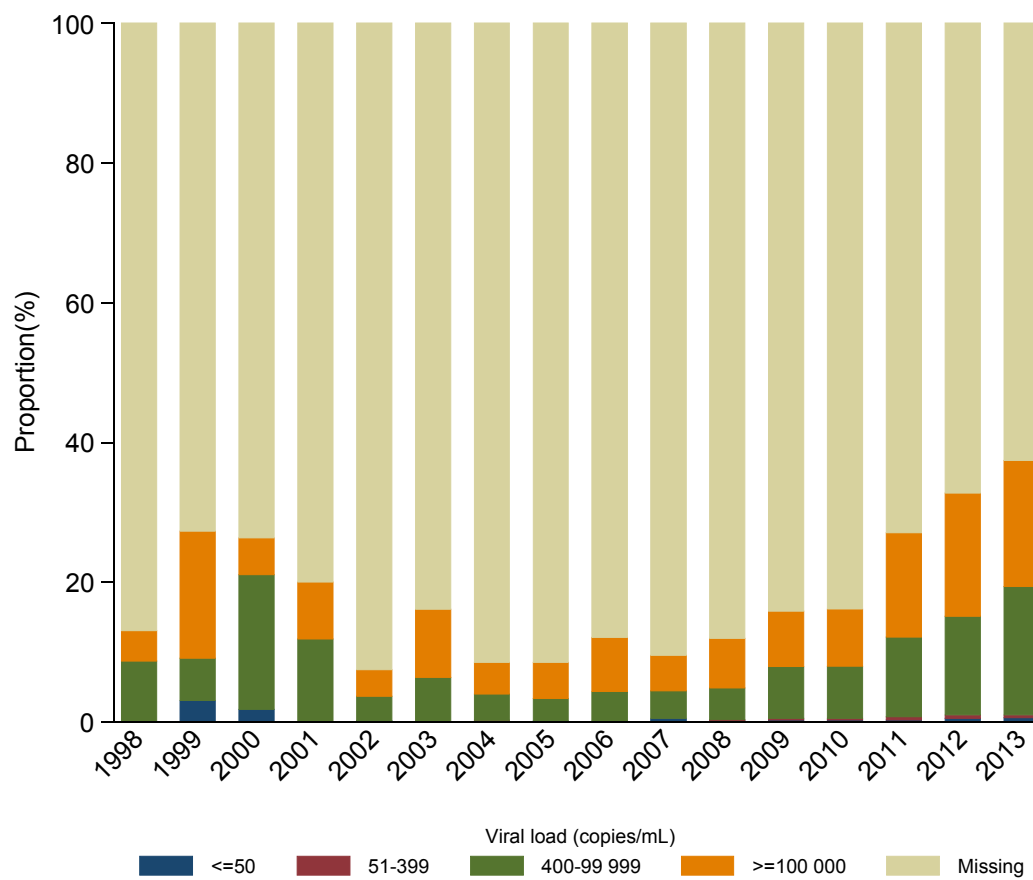


Figure 8. Baseline HIV viral load (copies/mL) for all countries by the year of the test date.





## **5. First ART**

- First regimen NRTI combination
- First regimen NNRTI
- Mortality on first regimen
- Durability of first regimen
- Risk factors associated with switch to second regimen

### **5.1 Methods**

First combination ART was defined as the first triple combination. The proportions of patients starting an antiretroviral/antiretroviral combination by period are presented in Figure 9 to Figure 12. Switch to second ART was considered to be a change of drug class or a change of two or more drugs. Mortality on first regimen and durability of the first regimen were evaluated using Kaplan-Meier curves (Figure 13 to Figure 16). Risk factors for treatment switch were assessed using Cox regression models stratified by site. The multivariate model is adjusted for all variables shown (Table 3).

### **5.2 Summary of results**

Overall, 18,842 patients started first-line ART. Use of d4T declined substantially after 2003-05 coinciding with an increase in TDF, AZT and ABC use. However, rates of d4T initiation remained >15% in Vietnam, India and Cambodia in 2010-13. EFV use surpassed that of NVP in 2010-13 in the combined analysis, although the proportion of patients starting NVP was close to 80% in the same period for Cambodia and Indonesia.

Mortality rates were highest in earlier time periods. Time to first treatment switch was quickest in higher income countries (Hong Kong and Singapore) and India. Significant risk factors associated with treatment modification were later period of ART initiation, female sex, low baseline CD4 cell count, first regimen not containing an NNRTI, and previous mono/duo exposure.

Figure 9. First regimen NRTI combination for each country by time period.

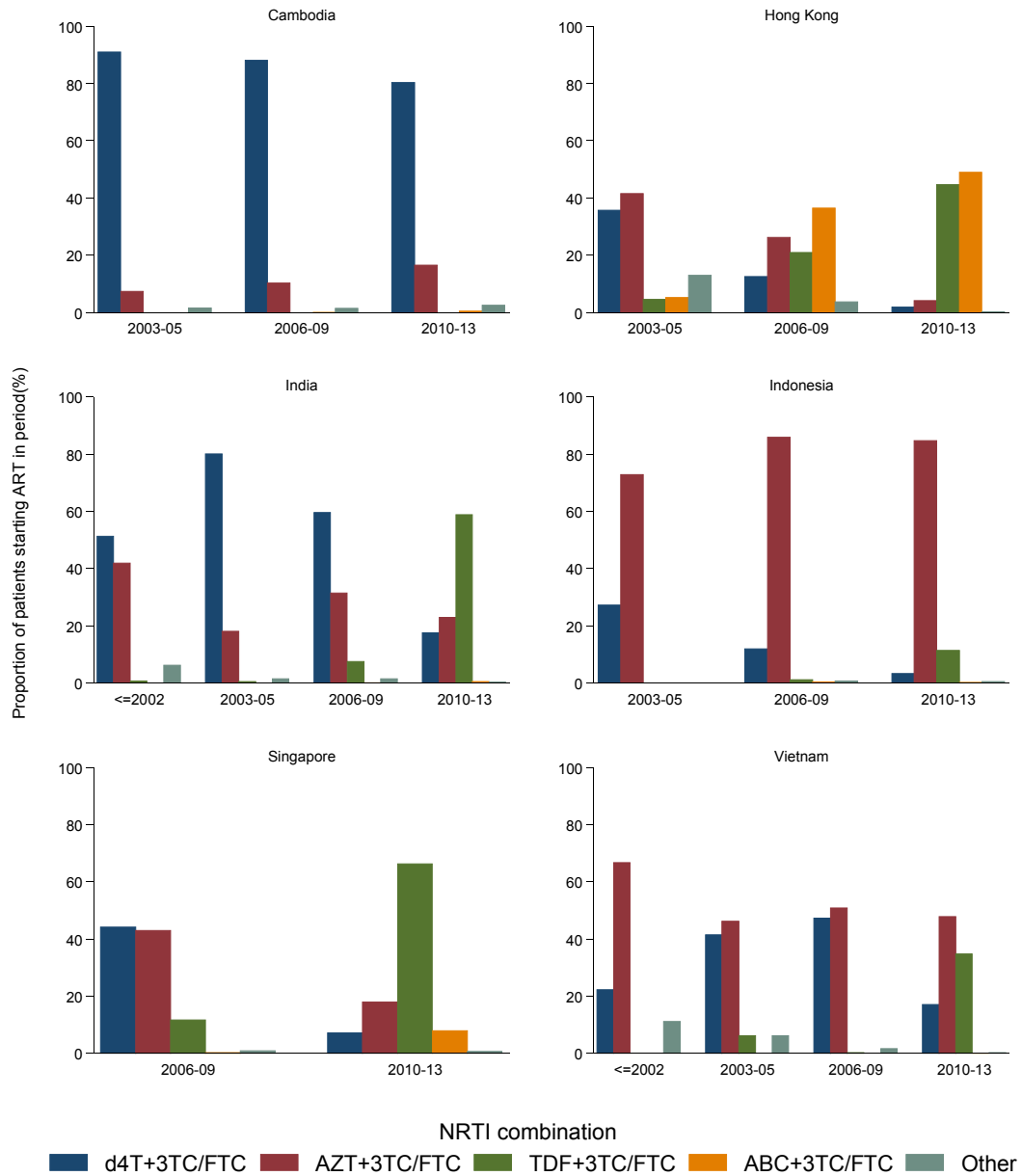


Figure 10. First regimen NRTI combination for all countries by time period.

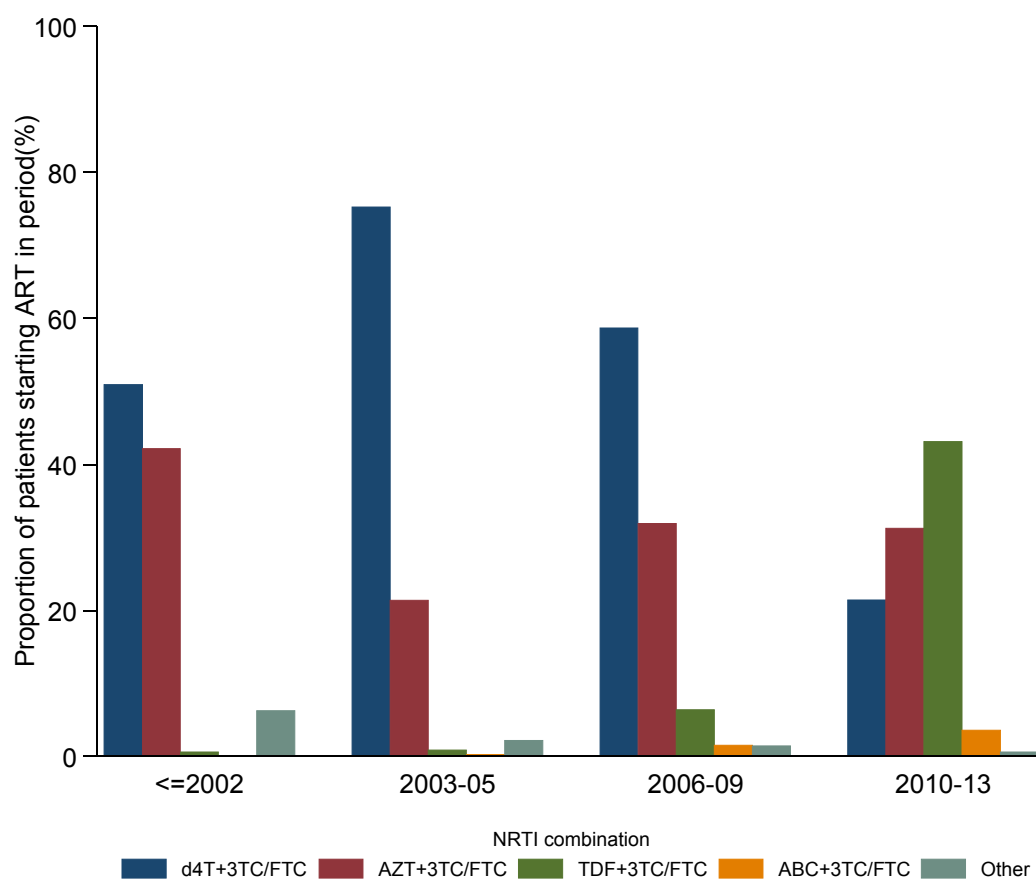


Figure 11. First regimen NNRTI for each country by time period.

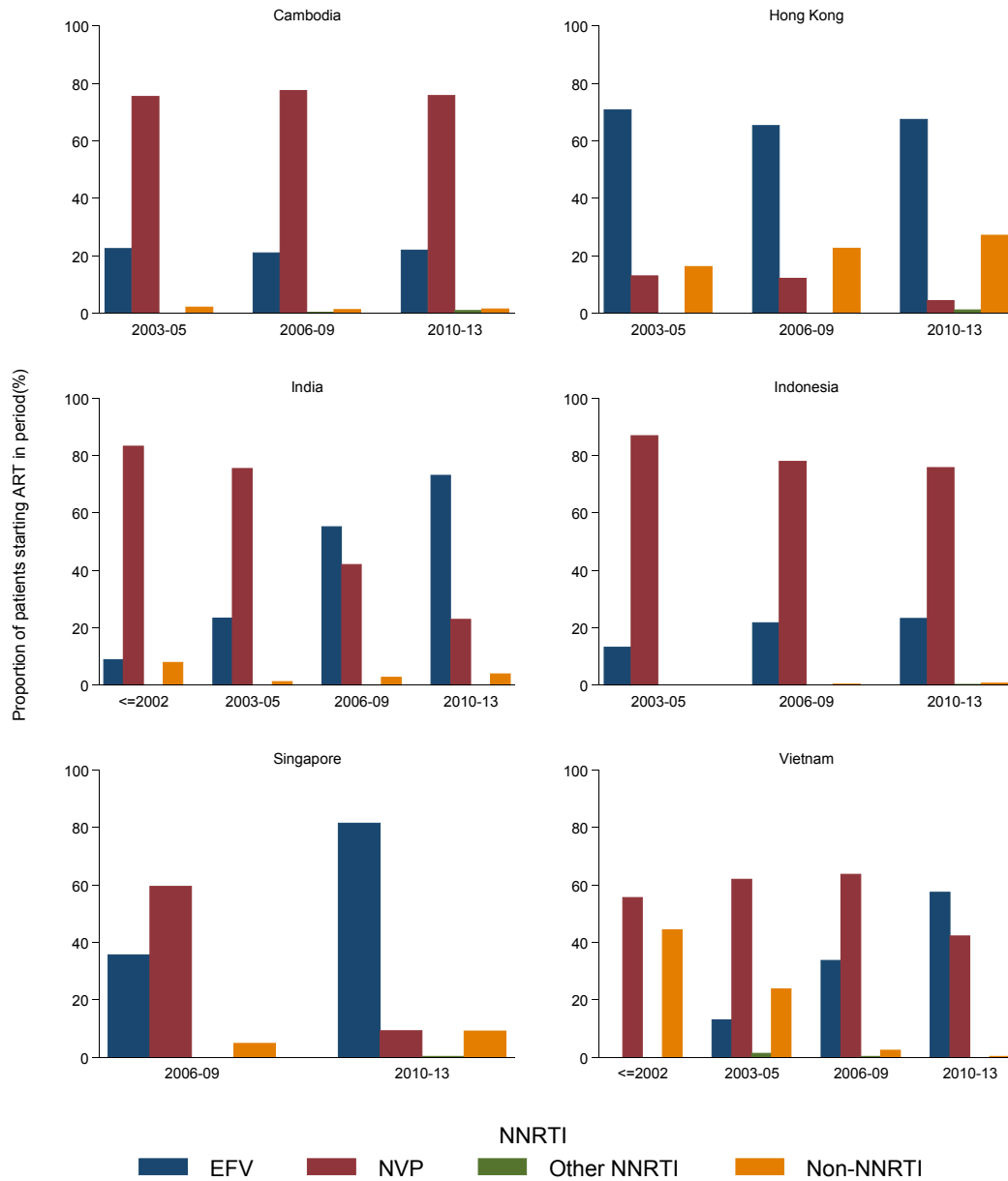


Figure 12. First regimen NNRTI for all countries by time period.

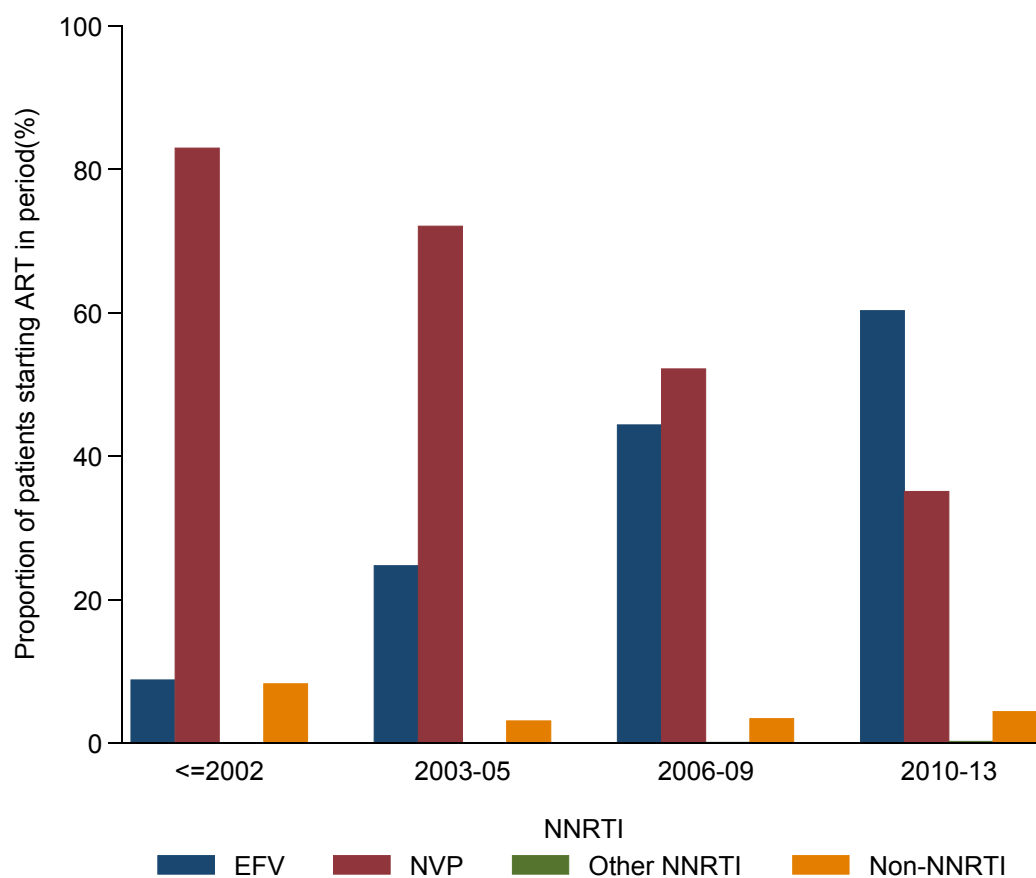


Figure 13. Mortality on first ART for each country by time period.

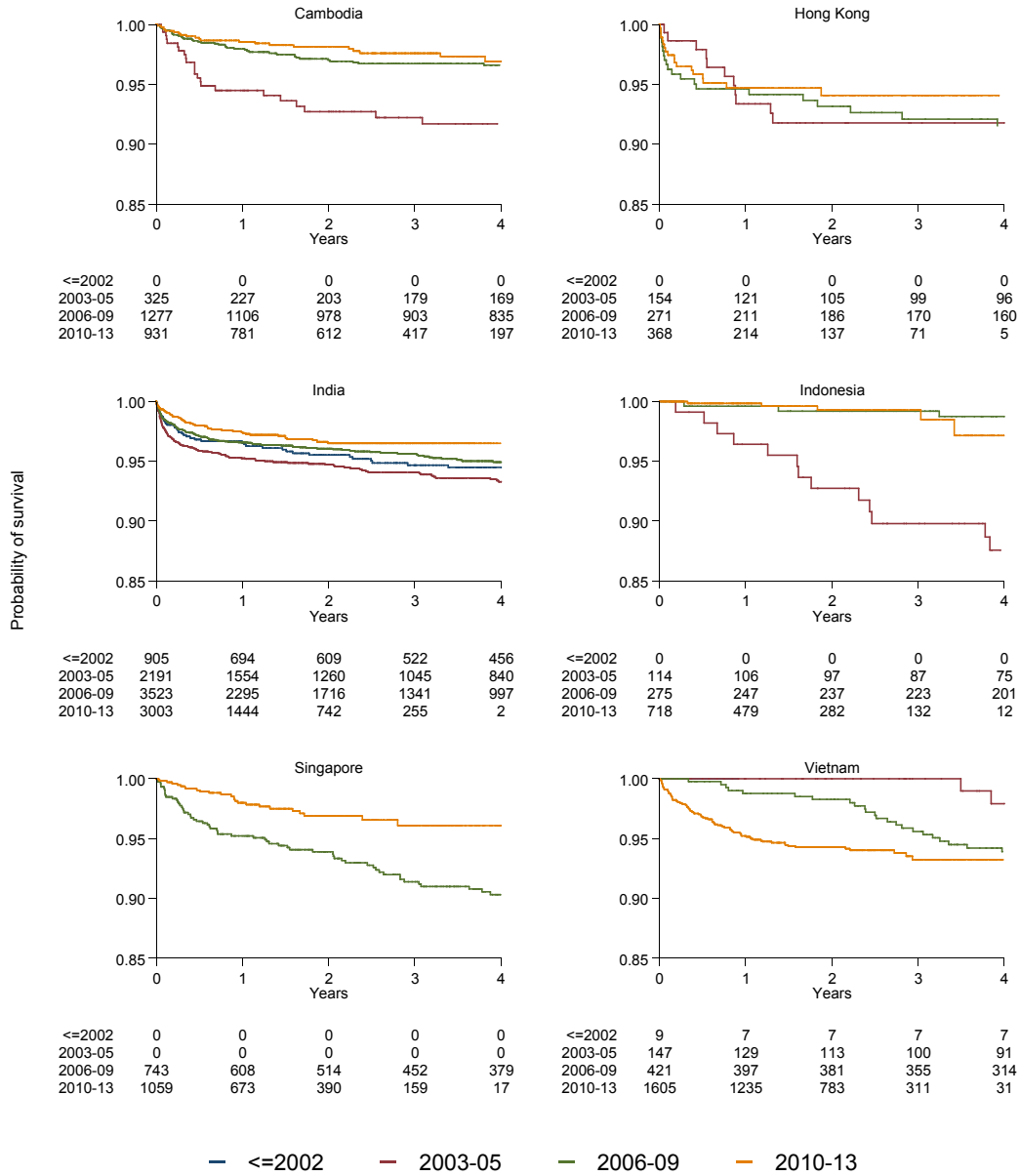
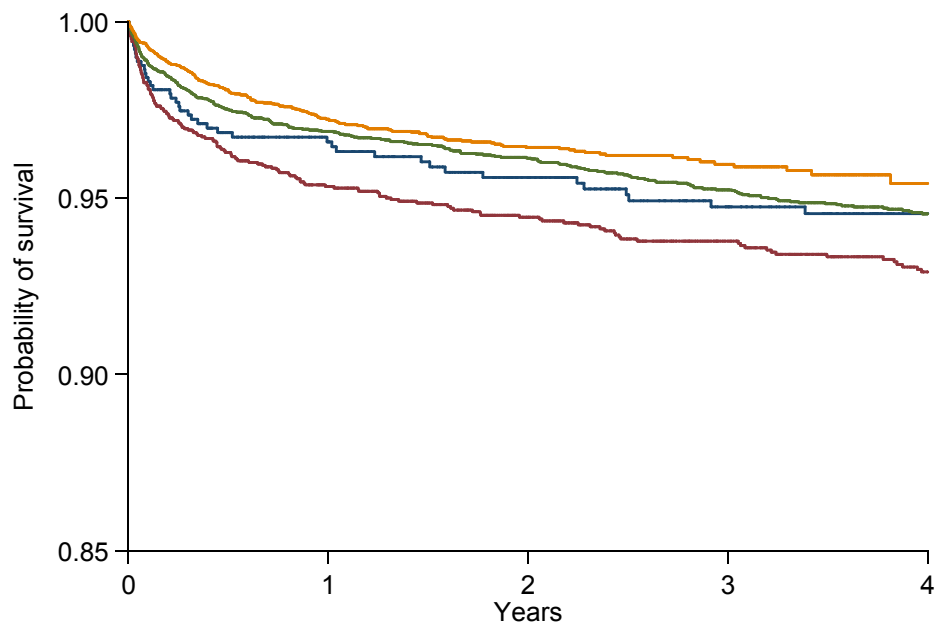


Figure 14. Mortality on first ART for all countries by time period.



|         |      |      |      |      |      |
|---------|------|------|------|------|------|
| <=2002  | 914  | 701  | 616  | 529  | 463  |
| 2003-05 | 2931 | 2137 | 1778 | 1510 | 1271 |
| 2006-09 | 6510 | 4864 | 4012 | 3444 | 2886 |
| 2010-13 | 7689 | 4831 | 2947 | 1346 | 264  |

— <=2002    — 2003-05    — 2006-09    — 2010-13

Figure 15. First ART durability (time to 2<sup>nd</sup> ART) for each country by time period.

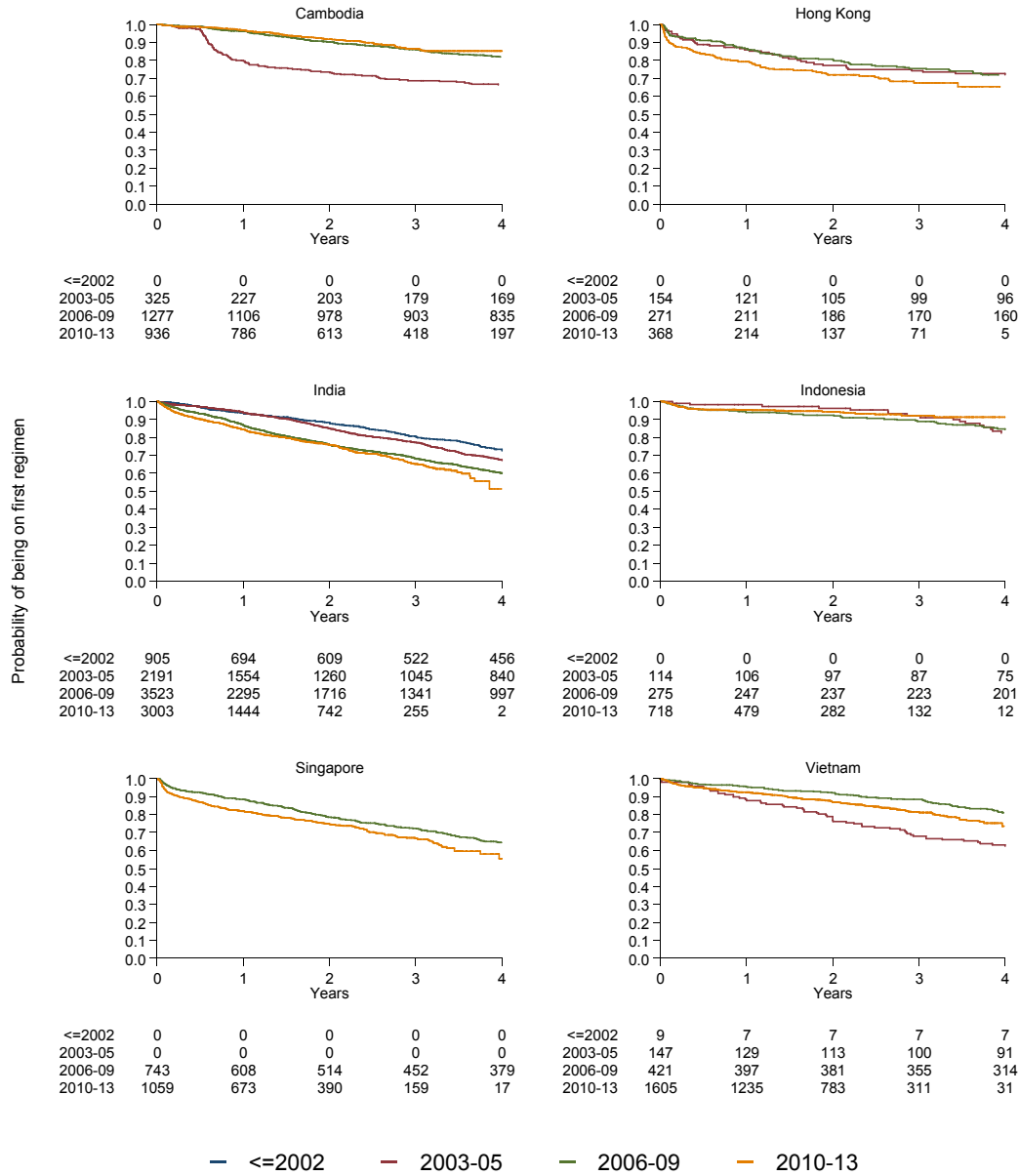
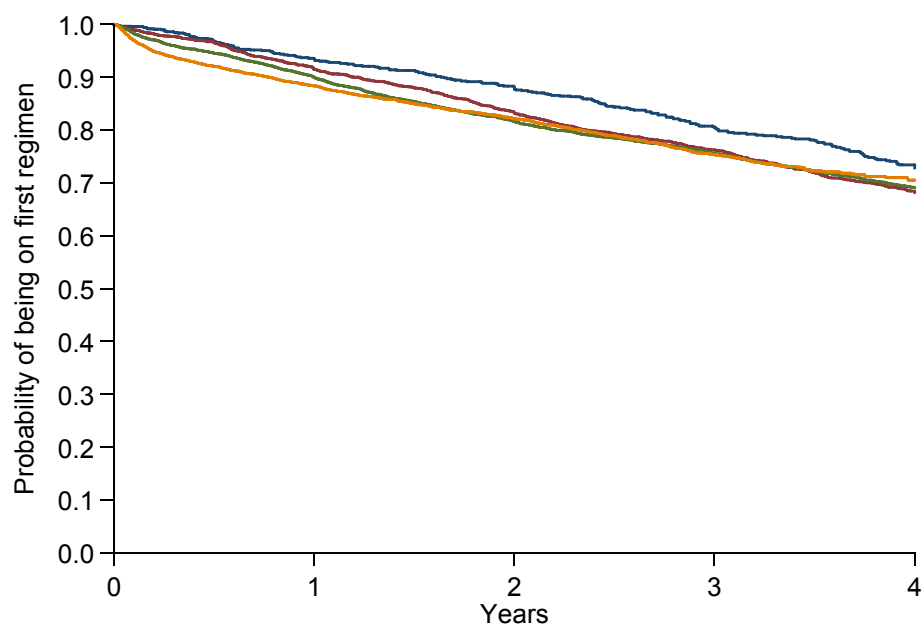




Figure 16. First ART durability (time to 2<sup>nd</sup> ART) for all countries by time period.



|         |      |      |      |      |      |
|---------|------|------|------|------|------|
| <=2002  | 914  | 701  | 616  | 529  | 463  |
| 2003-05 | 2931 | 2137 | 1778 | 1510 | 1271 |
| 2006-09 | 6510 | 4864 | 4012 | 3444 | 2886 |
| 2010-13 | 7689 | 4831 | 2947 | 1346 | 264  |

— <=2002    — 2003-05    — 2006-09    — 2010-13

Table 3. Risk factors for time to first switch for all countries.

|                                       | Number of events | Patient-years | Rate per 100 patient-years | Univariate |              |        | Multivariate |                     |              |
|---------------------------------------|------------------|---------------|----------------------------|------------|--------------|--------|--------------|---------------------|--------------|
|                                       |                  |               |                            | HR         | 95% CI       | p      | HR           | 95% CI              | p            |
| <b>Total</b>                          | 5034             | 50943.3       | 9.88                       |            |              |        |              |                     |              |
| <b>Year of ART initiation</b>         |                  |               |                            |            |              | <0.001 |              |                     | <0.001       |
| ≤2002                                 | 492              | 4308.9        | 11.42                      | 1          |              |        | 1            |                     |              |
| 2003-2005                             | 1164             | 11627.5       | 10.01                      | 1.24       | (1.11, 1.39) | <0.001 | <b>1.33</b>  | <b>(1.18, 1.49)</b> | <0.001       |
| 2006-2009                             | 2070             | 22227.0       | 9.31                       | 1.49       | (1.33, 1.67) | <0.001 | <b>1.69</b>  | <b>(1.51, 1.90)</b> | <0.001       |
| 2010-2013                             | 1308             | 12779.9       | 10.23                      | 1.73       | (1.53, 1.96) | <0.001 | <b>2.11</b>  | <b>(1.85, 2.40)</b> | <0.001       |
| <b>Age at ART initiation (years)</b>  |                  |               |                            |            |              | 0.005  |              |                     | 0.122        |
| ≤30                                   | 1406             | 15746.7       | 8.93                       | 1          |              |        | 1            |                     |              |
| 31-40                                 | 2288             | 22573.2       | 10.14                      | 1.09       | (1.02, 1.17) | 0.010  | 1.06         | (0.99, 1.14)        | 0.080        |
| 41-50                                 | 907              | 8625.8        | 10.51                      | 1.13       | (1.04, 1.23) | 0.004  | 1.07         | (0.98, 1.17)        | 0.150        |
| 51+                                   | 433              | 3997.6        | 10.83                      | 1.12       | (1.00, 1.25) | 0.057  | 1.08         | (0.96, 1.21)        | 0.208        |
| <b>Sex</b>                            |                  |               |                            |            |              |        |              |                     |              |
| Male                                  | 3691             | 34609.3       | 10.66                      | 1          |              |        | 1            |                     |              |
| Female                                | 1343             | 16334.0       | 8.22                       | 0.91       | (0.86, 0.97) | 0.005  | <b>1.12</b>  | <b>(1.04, 1.20)</b> | <b>0.001</b> |
| <b>Mode of HIV Exposure</b>           |                  |               |                            |            |              | 0.730  |              |                     | 0.292        |
| Heterosexual                          | 4156             | 42060.7       | 9.88                       | 1          |              |        | 1            |                     |              |
| Homosexual                            | 311              | 2624.2        | 11.85                      | 1.07       | (0.93, 1.23) | 0.321  | 1.12         | (0.97, 1.29)        | 0.127        |
| Injecting drug use                    | 239              | 3015.7        | 7.93                       | 0.99       | (0.85, 1.15) | 0.902  | 0.96         | (0.80, 1.14)        | 0.642        |
| Other/unknown                         | 328              | 3242.7        | 10.12                      | 0.98       | (0.87, 1.10) | 0.700  | 0.95         | (0.85, 1.07)        | 0.403        |
| <b>Pre-ART viral load (copies/mL)</b> |                  |               |                            |            |              |        |              |                     |              |
| ≤100,000                              | 366              | 3777.5        | 9.69                       | 1          |              |        | 1            |                     |              |
| >100,000                              | 473              | 4430.5        | 10.68                      | 1.09       | (0.95, 1.25) | 0.241  | 0.99         | (0.86, 1.14)        | 0.917        |
| Missing                               | 4195             | 42735.4       | 9.82                       | -          |              |        | -            |                     |              |

Antiretroviral treatment for adult HIV infection in Asia, 1998 to 2013

|  |      |         |       |      |              |        |             |                     |              |
|--|------|---------|-------|------|--------------|--------|-------------|---------------------|--------------|
| <b>Pre-ART CD4 (cells/<math>\mu</math>L)</b> |      |         |       |      |              |        |             |                     |              |
| $\leq 50$                                    | 1018 | 10550.6 | 9.65  | 1    |              | <0.001 | 1           |                     | <0.001       |
| 51-100                                       | 716  | 7090.7  | 10.10 | 0.91 | (0.82, 1.00) | 0.048  | <b>0.89</b> | <b>(0.81, 0.98)</b> | <b>0.022</b> |
| 101-200                                      | 1162 | 13148.1 | 8.84  | 0.77 | (0.71, 0.84) | <0.001 | <b>0.75</b> | <b>(0.69, 0.82)</b> | <0.001       |
| 201+   | 981  | 11888.0 | 8.25  | 0.73 | (0.66, 0.79) | <0.001 | <b>0.68</b> | <b>(0.61, 0.74)</b> | <0.001       |
| Missing                                      | 1157 | 8265.9  | 14.00 | -    |              |        | -           |                     |              |
| <b>First ART</b>                             |      |         |       |      |              | <0.001 |             |                     | <0.001       |
| NRTI+NNRTI                                   | 4712 | 49217.0 | 9.57  | 1    |              |        | 1           |                     |              |
| NRTI+PI                                      | 283  | 1587.8  | 17.82 | 1.74 | (1.53, 1.97) | <0.001 | <b>1.64</b> | <b>(1.44, 1.86)</b> | <0.001       |
| Other  | 39   | 138.6   | 28.14 | 3.16 | (2.30, 4.35) | <0.001 | <b>2.98</b> | <b>(2.16, 4.10)</b> | <0.001       |
| <b>Previous mono/duo</b>                     |      |         |       |      |              |        |             |                     |              |
| No   | 4667 | 48780.8 | 9.57  | 1    |              |        | 1           |                     |              |
| Yes  | 367  | 2162.5  | 16.97 | 1.71 | (1.53, 1.90) | <0.001 | <b>1.78</b> | <b>(1.59, 1.99)</b> | <0.001       |
| <b>HBV infection</b>                         |      |         |       |      |              |        |             |                     |              |
| Negative                                     | 1367 | 18908.3 | 7.23  | 1    |              |        | 1           |                     |              |
| Positive                                     | 157  | 2161.8  | 7.26  | 1.08 | (0.91, 1.27) | 0.393  | 1.06        | (0.90, 1.26)        | 0.467        |
| Not tested                                   | 3510 | 29873.2 | 11.75 | -    |              |        | -           |                     |              |
| <b>HCV infection</b>                         |      |         |       |      |              |        |             |                     |              |
| Negative                                     | 1948 | 22980.6 | 8.48  | 1    |              |        | 1           |                     |              |
| Positive                                     | 313  | 3895.5  | 8.03  | 0.99 | (0.86, 1.13) | 0.879  | 0.97        | (0.83, 1.14)        | 0.709        |
| Not tested                                   | 2773 | 24067.3 | 11.52 | -    |              |        | -           |                     |              |

Note: Global p-values for year of ART initiation, age and pre-ART CD4 count are test for trend. Other global p-values are test for heterogeneity.

## **6. Second ART**

- Second regimen NRTI combination
- Second regimen PI
- Mortality on second regimen
- Durability of second regimen
- Risk factors associated with switch to third regimen

### **6.1 Methods**

First combination ART was defined as the first triple combination. Switch to second and third ART was considered to be a change of drug class or a change of two or more drugs. The proportions of patients starting an antiretroviral/antiretroviral combination by period are presented in Figure 17 to Figure 20. Mortality on second regimen and durability of the second regimen were evaluated using Kaplan-Meier curves (Figure 21 to Figure 24). Risk factors for treatment switch were assessed using Cox regression models stratified by site. The multivariate model is adjusted for all variables shown (Table 4).

### **6.2 Summary of results**

A total of 5,034 patients initiated a second ART regimen. Use of d4T declined after 2003-05 coinciding with an increase in TDF and ABC use. Second regimen use of TDF in Cambodia remains negligible. Non-use of a PI in the second regimen is very common in all countries although overall use of ATV and LPV is increasing.

The country-specific time to event analyses were limited by low patient numbers. Nevertheless, mortality rates were generally highest in earlier time periods. Time to second treatment switch was quickest in higher income countries (Hong Kong and Singapore) and India. Significant risk factors associated with second treatment modification included earlier period of ART initiation, younger age, mode of HIV exposure other than unknown/other, low baseline CD4 cell count, second regimen not containing a PI, and previous mono/duo exposure.

Figure 17. Second regimen NRTI combination for each country by time period.

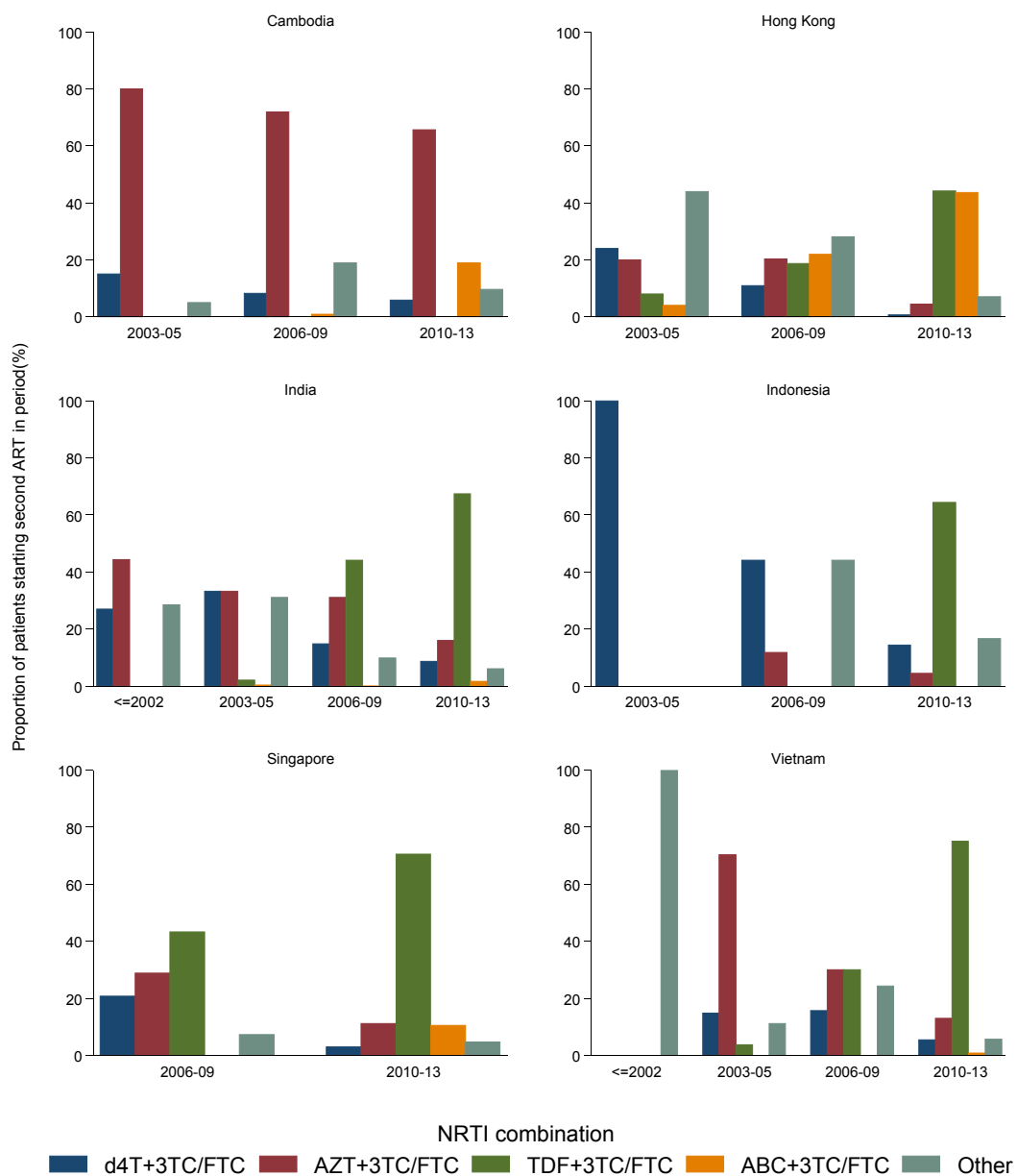


Figure 18. Second regimen NRTI combination for all countries by time period.

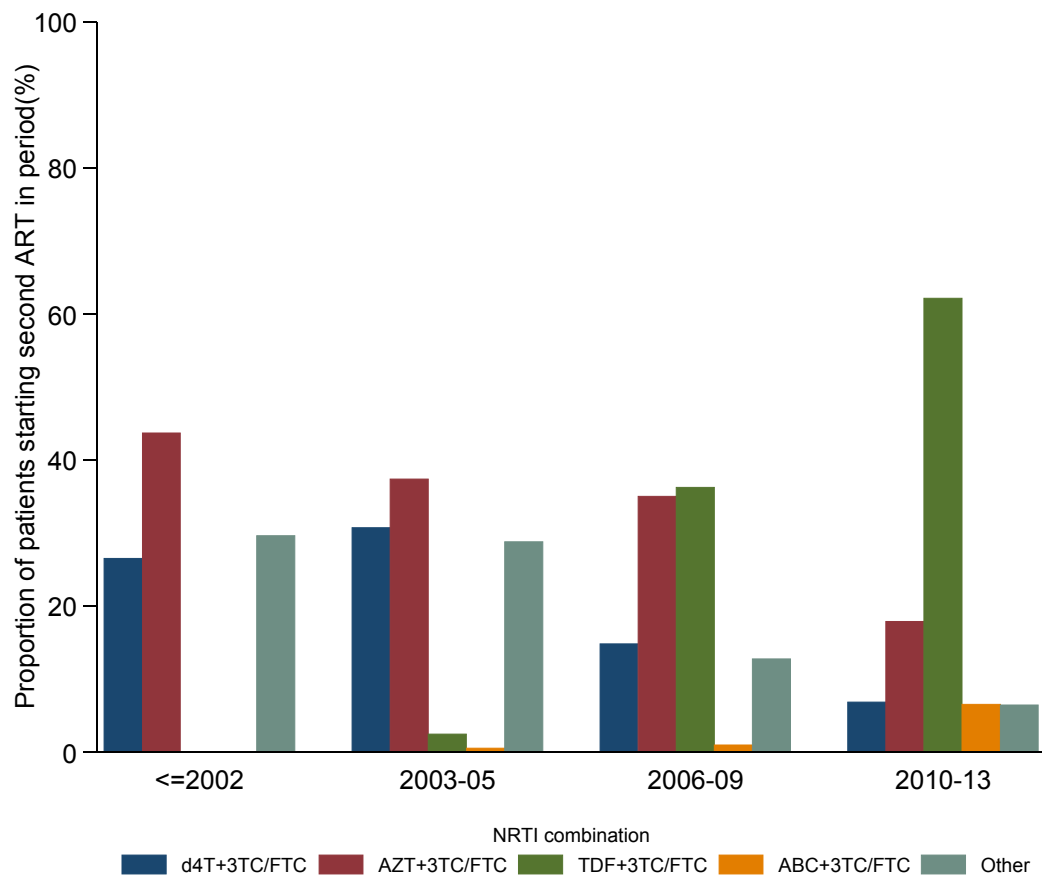


Figure 19. Second regimen PI for each country by time period.

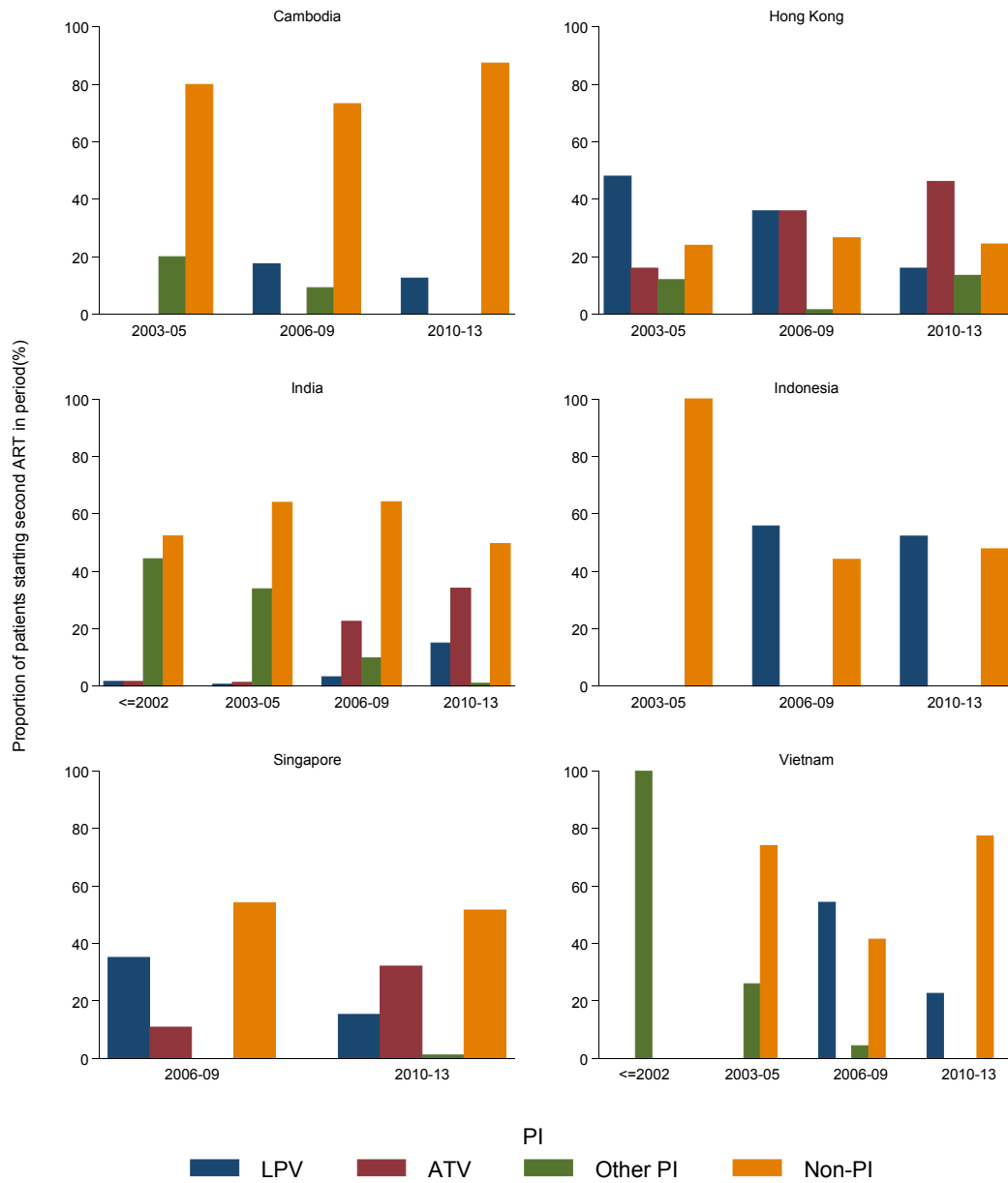


Figure 20. Second regimen PI for all countries by time period.

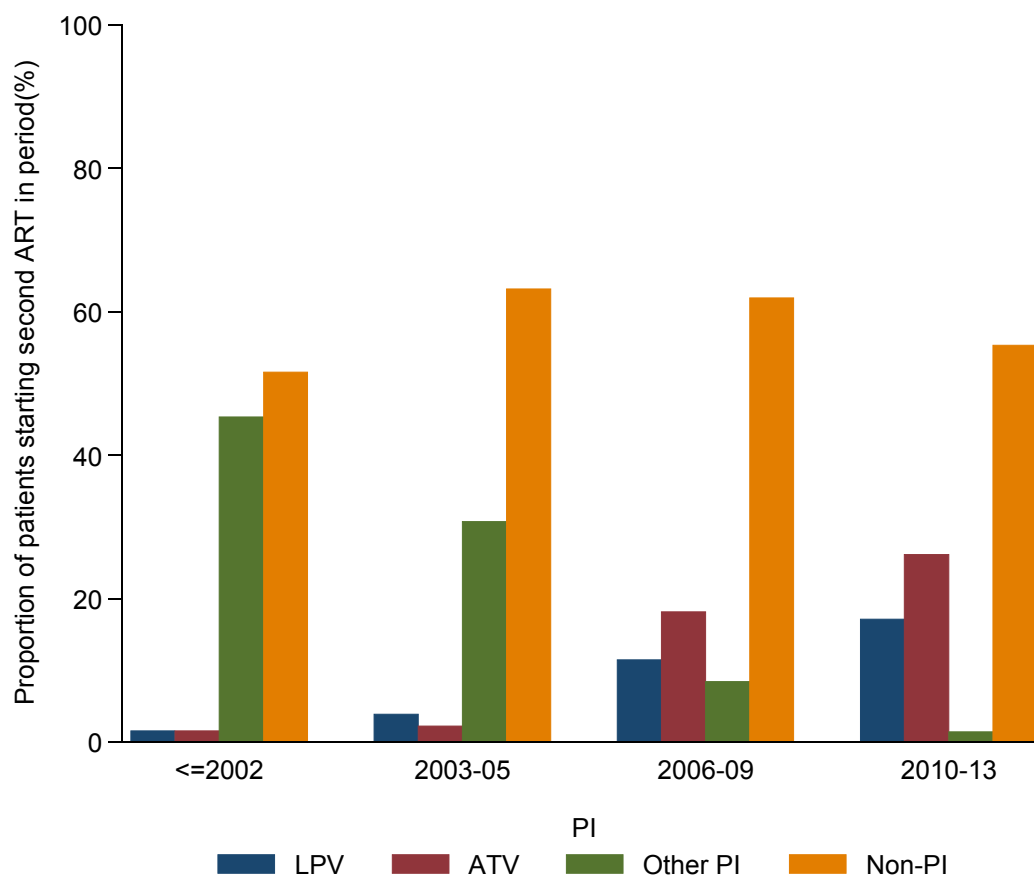




Figure 21. Mortality on second ART for each country by time period.

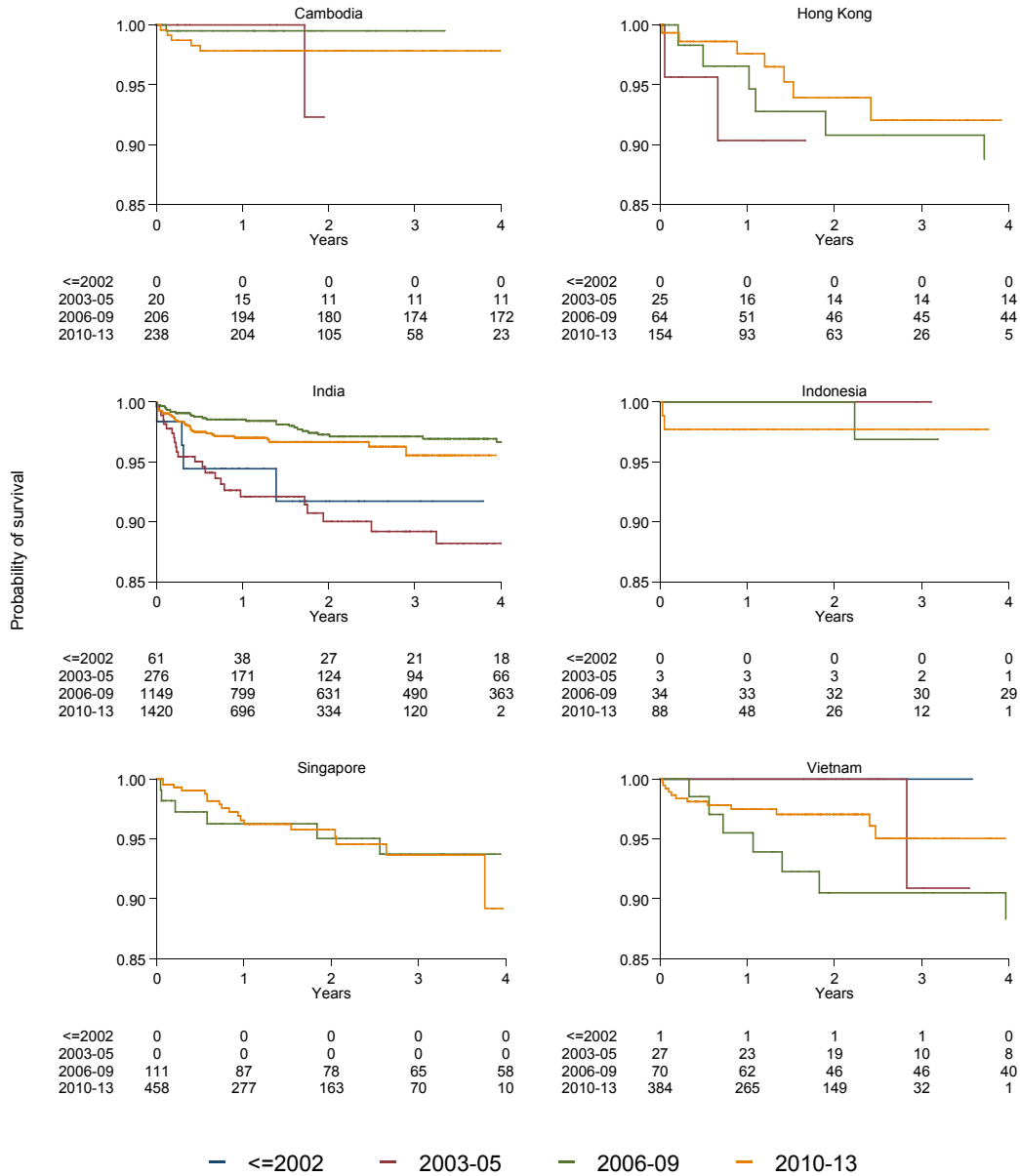
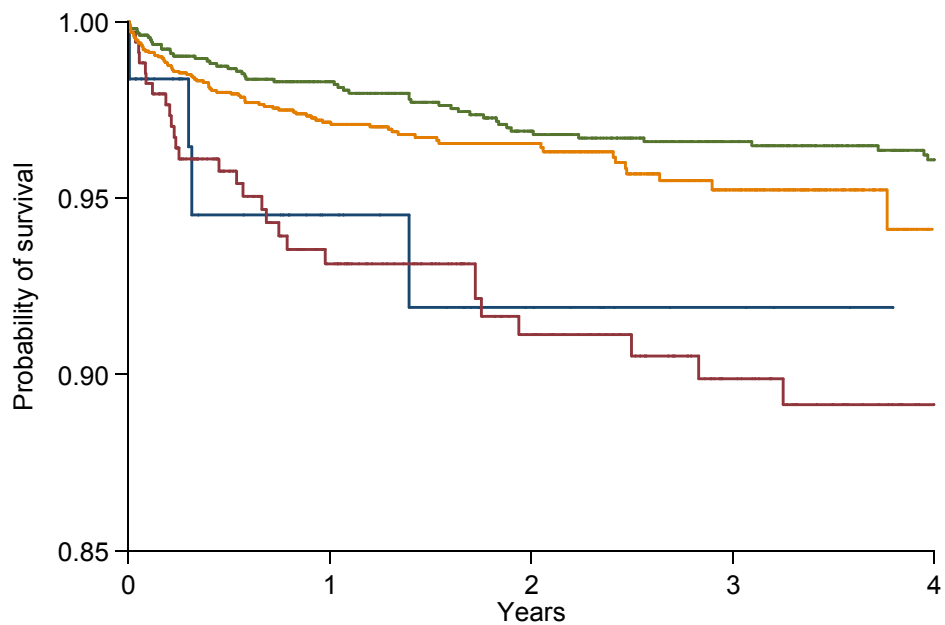


Figure 22. Mortality on second ART for all countries by time period.



|         |      |      |      |     |     |
|---------|------|------|------|-----|-----|
| <=2002  | 62   | 39   | 28   | 22  | 18  |
| 2003-05 | 351  | 228  | 171  | 131 | 100 |
| 2006-09 | 1634 | 1226 | 1013 | 850 | 706 |
| 2010-13 | 2742 | 1583 | 840  | 318 | 42  |

— <=2002    — 2003-05    — 2006-09    — 2010-13

Figure 23. Second ART durability (time to 3<sup>rd</sup> ART) for each country by time period.

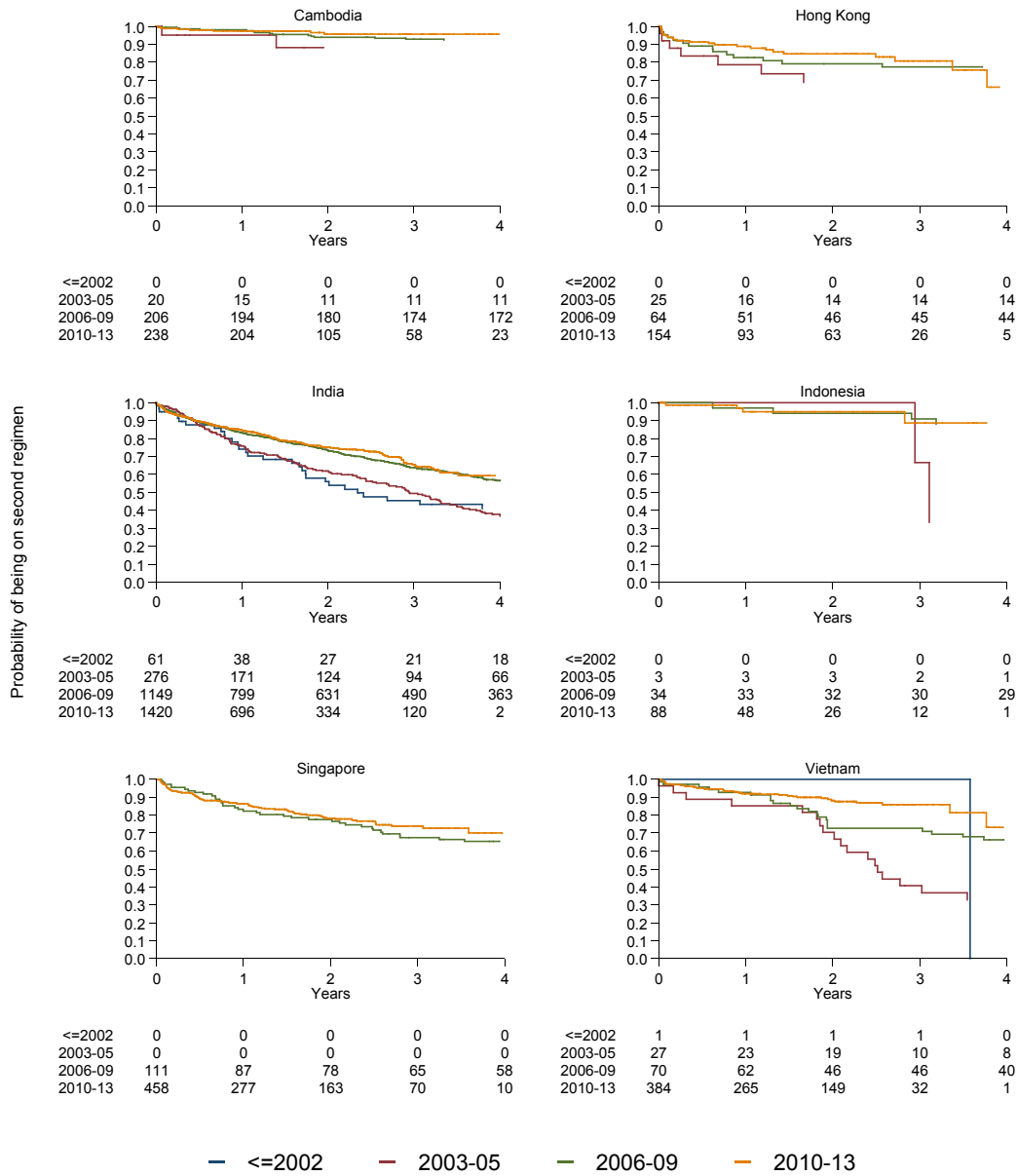
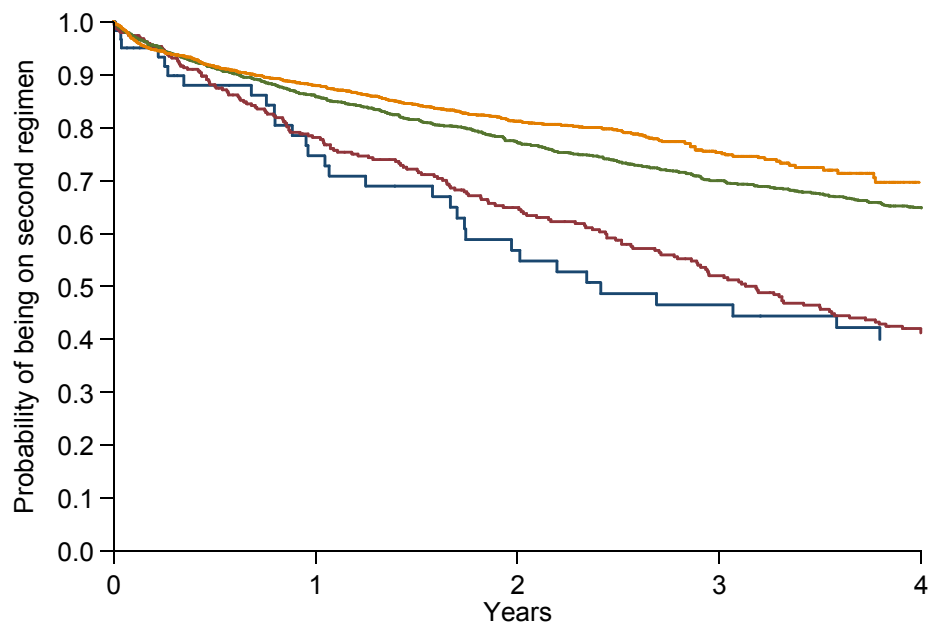


Figure 24. Second ART durability (time to 3<sup>rd</sup> ART) for all countries by time period.



|         |      |      |      |     |     |
|---------|------|------|------|-----|-----|
| <=2002  | 62   | 39   | 28   | 22  | 18  |
| 2003-05 | 351  | 228  | 171  | 131 | 100 |
| 2006-09 | 1634 | 1226 | 1013 | 850 | 706 |
| 2010-13 | 2742 | 1583 | 840  | 318 | 42  |

— <=2002    — 2003-05    — 2006-09    — 2010-13

Table 4. Risk factors for time to second switch for all countries.

|  | Number of events | Patient-years | Rate per 100 patient-years | Univariate |              |        | Multivariate |                     |              |
|--|------------------|---------------|----------------------------|------------|--------------|--------|--------------|---------------------|--------------|
|  |                  |               |                            | HR         | 95% CI       | p      | HR           | 95% CI              | p            |
| <b>Total</b>                                 | 1241             | 10500.2       | 11.82                      |            |              |        |              |                     |              |
| <b>Year of second ART initiation</b>         |                  |               |                            |            |              | <0.001 |              |                     | <b>0.001</b> |
| ≤2002  | 43               | 177.7         | 24.19                      | 1          |              |        | 1            |                     |              |
| 2003-2005                                    | 204              | 1037.3        | 19.67                      | 1.00       | (0.72, 1.39) | 0.988  | 0.89         | (0.64, 1.25)        | 0.507        |
| 2006-2009                                    | 563              | 5299.2        | 10.62                      | 0.61       | (0.44, 0.84) | 0.002  | <b>0.68</b>  | <b>(0.49, 0.94)</b> | <b>0.020</b> |
| 2010-2013                                    | 431              | 3986.0        | 10.81                      | 0.55       | (0.40, 0.77) | <0.001 | <b>0.66</b>  | <b>(0.47, 0.92)</b> | <b>0.014</b> |
| <b>Age at second ART initiation (years)</b>  |                  |               |                            |            |              | 0.002  |              |                     | <b>0.034</b> |
| ≤30  | 175              | 1367.2        | 12.80                      | 1          |              |        | 1            |                     |              |
| 31-40  | 613              | 5033.3        | 12.18                      | 0.92       | (0.78, 1.09) | 0.361  | 0.97         | (0.82, 1.16)        | 0.775        |
| 41-50  | 323              | 2781.3        | 11.61                      | 0.83       | (0.69, 1.01) | 0.057  | 0.89         | (0.73, 1.08)        | 0.244        |
| 51+  | 130              | 1318.4        | 9.86                       | 0.71       | (0.57, 0.90) | 0.005  | 0.80         | (0.63, 1.02)        | 0.078        |
| <b>Sex</b>                                   |                  |               |                            |            |              |        |              |                     |              |
| Male   | 930              | 7544.6        | 12.33                      | 1          |              |        | 1            |                     |              |
| Female                                       | 311              | 2955.6        | 10.52                      | 0.95       | (0.83, 1.08) | 0.422  | 1.01         | (0.88, 1.16)        | 0.858        |
| <b>Mode of HIV Exposure</b>                  |                  |               |                            |            |              | 0.012  |              |                     | <b>0.014</b> |
| Heterosexual                                 | 1070             | 8614.1        | 12.42                      | 1          |              |        | 1            |                     |              |
| Homosexual                                   | 63               | 588.6         | 10.70                      | 1.01       | (0.75, 1.36) | 0.972  | 1.04         | (0.76, 1.41)        | 0.817        |
| Injecting drug use                           | 43               | 566.5         | 7.59                       | 0.87       | (0.61, 1.23) | 0.419  | 0.82         | (0.56, 1.22)        | 0.331        |
| Other/unknown                                | 65               | 731.0         | 8.89                       | 0.66       | (0.51, 0.85) | 0.001  | <b>0.67</b>  | <b>(0.52, 0.86)</b> | <b>0.002</b> |
| <b>Pre-second ART viral load (copies/mL)</b> |                  |               |                            |            |              |        |              |                     |              |
| ≤100,000                                     | 197              | 2426.8        | 8.12                       | 1          |              |        | 1            |                     |              |
| >100,000                                     | 81               | 745.4         | 10.87                      | 1.34       | (1.03, 1.74) | 0.029  | 1.14         | (0.87, 1.50)        | 0.342        |
| Missing                                      | 963              | 7328.0        | 13.14                      | -          |              |        | -            |                     |              |

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|   |      |        |       |      |              |        |             |                     |                  |                  |
|---|------|--------|-------|------|--------------|--------|-------------|---------------------|------------------|------------------|
| <b>Pre-second ART CD4 (cells/<math>\mu</math>L)</b> |      |        |       |      |              |        |             |                     |                  |                  |
| $\leq 50$   | 188  | 1234.6 | 15.23 | 1    |              |        |             |                     |                  | <b>&lt;0.001</b> |
| 51-100  | 146  | 1100.2 | 13.27 | 0.82 | (0.66, 1.02) | 0.073  | 1           |                     |                  | <b>&lt;0.001</b> |
| 101-200   | 239  | 2209.7 | 10.82 | 0.75 | (0.61, 0.90) | 0.003  | 0.83        | (0.67, 1.04)        | 0.107            |                  |
| 201+  | 452  | 4932.9 | 9.16  | 0.58 | (0.48, 0.69) | <0.001 | <b>0.70</b> | <b>(0.57, 0.86)</b> | <b>0.001</b>     |                  |
| Missing   | 216  | 1022.8 | 21.12 | -    |              |        | <b>0.55</b> | <b>(0.45, 0.66)</b> | <b>&lt;0.001</b> |                  |
| <b>Second ART</b>                                   |      |        |       |      |              |        |             |                     |                  |                  |
| NRTI+PI   | 425  | 3900.7 | 10.90 | 1    |              |        |             |                     |                  | <b>&lt;0.001</b> |
| NRTI+NNRTI  | 756  | 6277.6 | 12.04 | 1.24 | (1.10, 1.41) | <0.001 | 1           |                     |                  | <b>&lt;0.001</b> |
| Other   | 60   | 321.9  | 18.64 | 1.73 | (1.32, 2.27) | <0.001 | <b>1.45</b> | <b>(1.27, 1.66)</b> | <b>&lt;0.001</b> |                  |
| <b>Previous mono/duo</b>                            |      |        |       |      |              |        |             |                     |                  |                  |
| No  | 1083 | 9718.8 | 11.14 | 1    |              |        | 1           |                     |                  |                  |
| Yes   | 158  | 781.4  | 20.22 | 1.54 | (1.30, 1.82) | <0.001 | <b>1.48</b> | <b>(1.24, 1.76)</b> | <b>&lt;0.001</b> |                  |
| <b>HBV infection</b>                                |      |        |       |      |              |        |             |                     |                  |                  |
| Negative  | 227  | 3476.2 | 6.53  | 1    |              |        | 1           |                     |                  |                  |
| Positive  | 19   | 454.0  | 4.18  | 0.74 | (0.46, 1.19) | 0.216  | 0.69        | (0.43, 1.11)        | 0.122            |                  |
| Not tested  | 995  | 6569.9 | 15.14 | -    |              |        | -           |                     |                  |                  |
| <b>HCV infection</b>                                |      |        |       |      |              |        |             |                     |                  |                  |
| Negative  | 402  | 4580.0 | 8.78  | 1    |              |        | 1           |                     |                  |                  |
| Positive  | 59   | 745.2  | 7.92  | 0.97 | (0.72, 1.32) | 0.867  | 1.05        | (0.75, 1.47)        | 0.781            |                  |
| Not tested  | 780  | 5175.0 | 15.07 | -    |              |        | -           |                     |                  |                  |

Note: Global p-values for year of ART initiation, age and pre-ART CD4 count are test for trend. Other global p-values are test for heterogeneity.

## **7. Rates of CD4 and viral load testing**

- Rates of CD4 testing.
- Rates of viral load testing.

### **7.1 Methods**

Rates of CD4 testing after ART initiation are presented according to each follow-up time period, in Figure 25 and Figure 26. CD4 tests prior to ART initiation were excluded. Rates and their 95% CI were plotted per person year of follow-up (pys).

Rates of viral load (VL) testing after ART initiation are presented according to each follow-up time period, in Figure 27 and Figure 28. VL tests prior to ART initiation were excluded. Rates and their 95% CI were plotted per person year of follow-up (pys).

### **7.2 Summary of results**

The crude rate of CD4 testing for all countries and all time periods was 1.8 pys with 95% CI (1.82-1.84). Hong Kong and Singapore show rates above 2.0 pys for all time periods. Cambodia and India show increasing rates of CD4 testing from approximately 1.0 pys in the period 2003-2005 to approximately 2.0 pys in the 2006-2009 time period. Rates in Vietnam were approaching 2.0 pys in 2010-2013. Indonesia has had stable CD4 testing rates at approximately 1.0 pys for all time periods. Overall, a sharp increase was seen between 2003-2005 and 2006-2009 time periods.

The crude rate of VL testing for all countries and all time periods was 0.6 pys with 95% CI (0.61-0.62). Hong Kong showed rates above 1.0 pys for all time periods, while Singapore showed a VL testing increase between 2006-2009 and 2010-2013. All other countries have rates at approximately 1.0 pys or less for all time periods. Overall in all countries combined, rates of VL testing have increased across time periods.

Figure 25. Rates of CD4 testing for each country by time period.

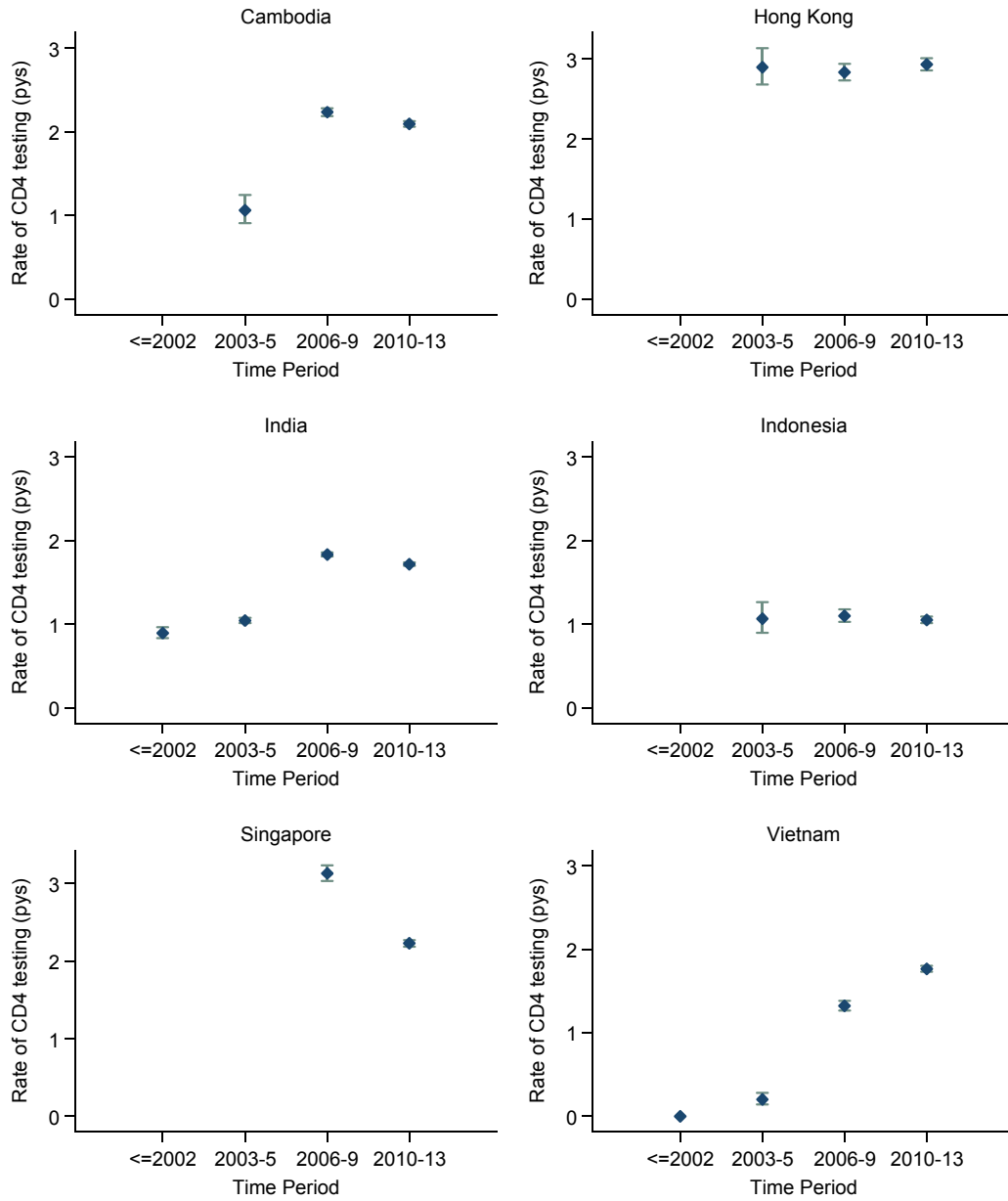




Figure 26. Rates of CD4 testing for all countries by time period.

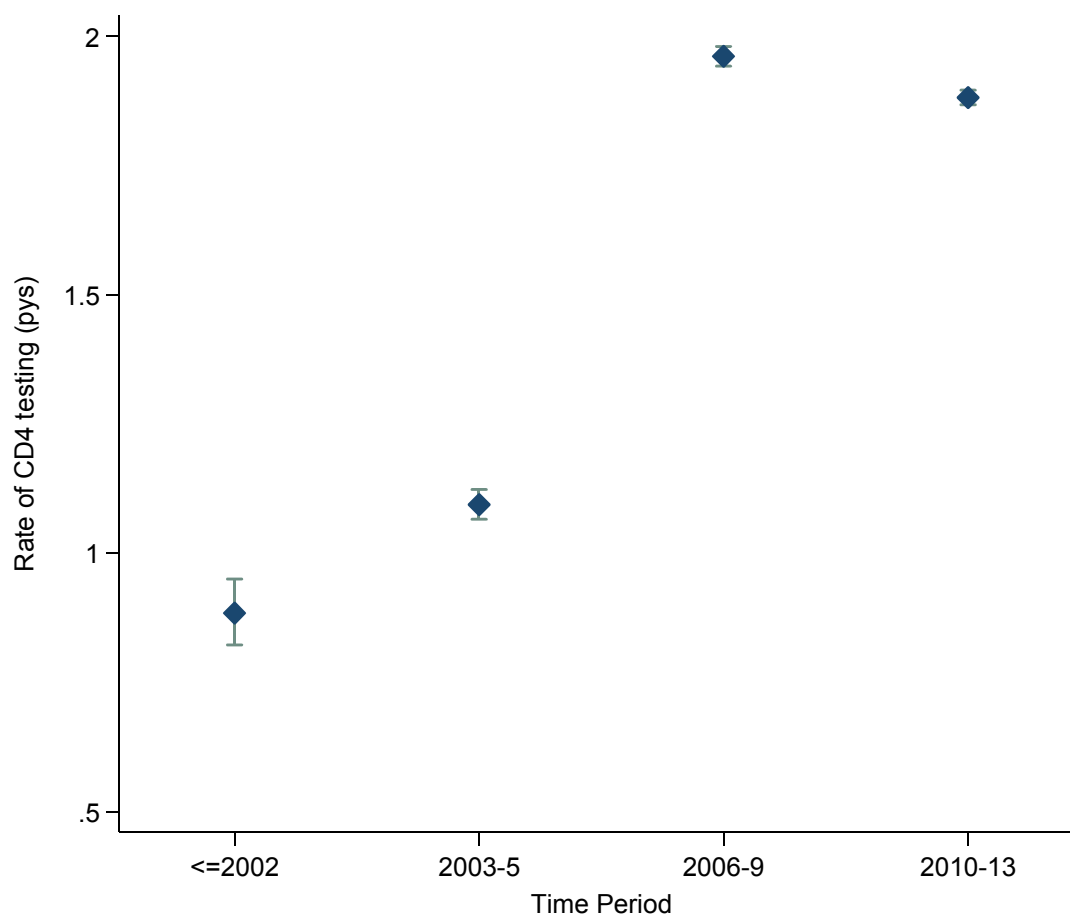


Figure 27. Rates of HIV viral load testing for each country by time period.

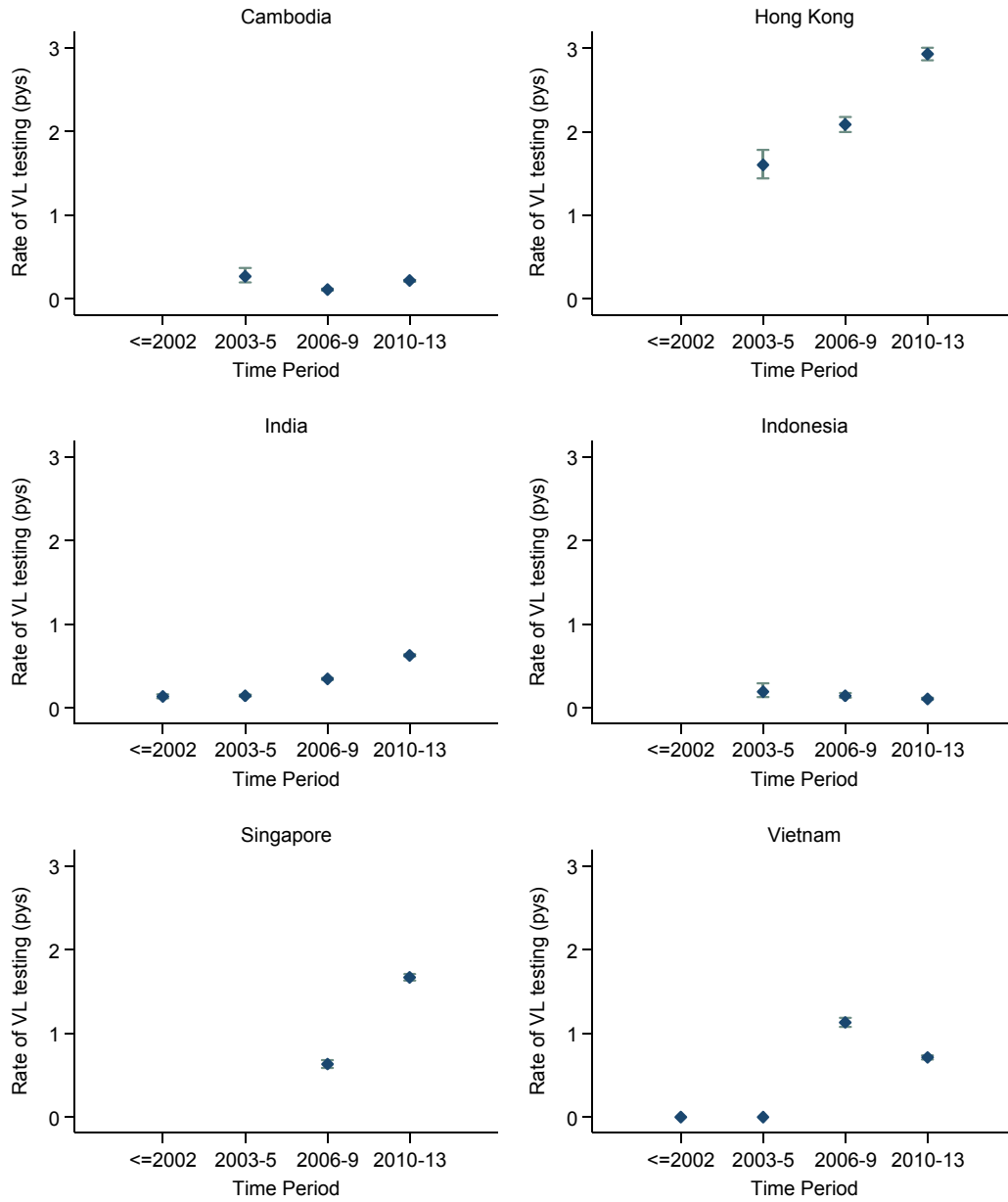
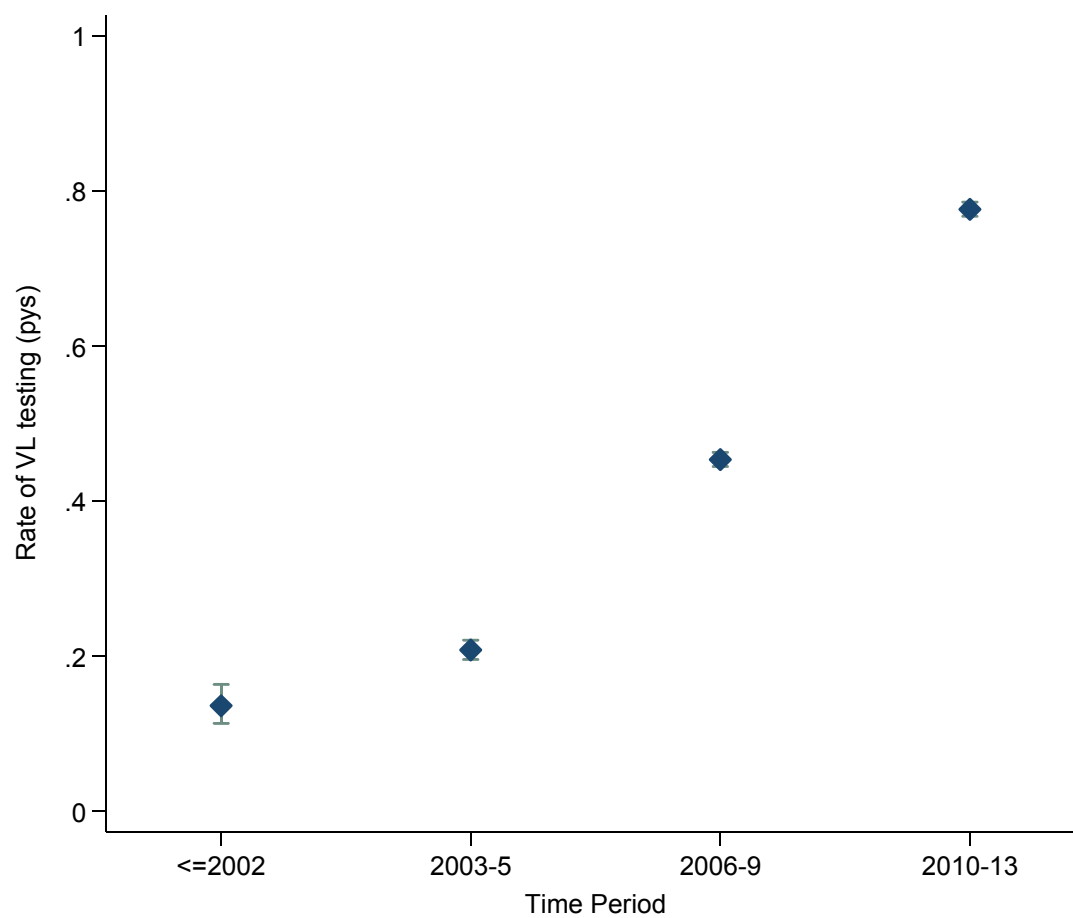


Figure 28. Rates of HIV viral load testing for all countries by time period.



## **8. CD4 response from ART initiation**

- CD4 changes from ART initiation.
- Factors associated with CD4 increase at 12 months after ART initiation.

### **8.1 Methods**

Patients with a CD4 count within 6 months prior to ART initiation and at least one CD4 count after ART initiation were included. Changes in CD4 count was defined as the difference between CD4 count at a given time period and the pre-treatment value. Positive CD4 changes indicate increases in CD4 count from pre-treatment levels. Mean CD4 changes were graphically displayed according to year of ART initiation and time from ART start, in Figure 29 and Figure 30. Factors associated with mean CD4 change/increase at 12 months from ART initiation were analysed using linear regression adjusted for site. Only patients with a CD4 count at 12 months from ART initiation were included in the regression models. The multivariate model is adjusted for all variables shown in Table 5.

### **8.2 Summary of results**

The average increase in CD4 count at 12 months after ART initiation was 181 cells/ $\mu$ L. In the multivariate analysis, year of ART initiation was not significantly associated with mean CD4 increase at 12 months. However age, sex, HIV mode of exposure, pre-treatment viral load and CD4 count, prior mono/duo therapy and hepatitis C co-infection were significant predictors of CD4 increase, adjusting for all other covariates.

Figure 29. CD4 changes from ART initiation for each country by time period.

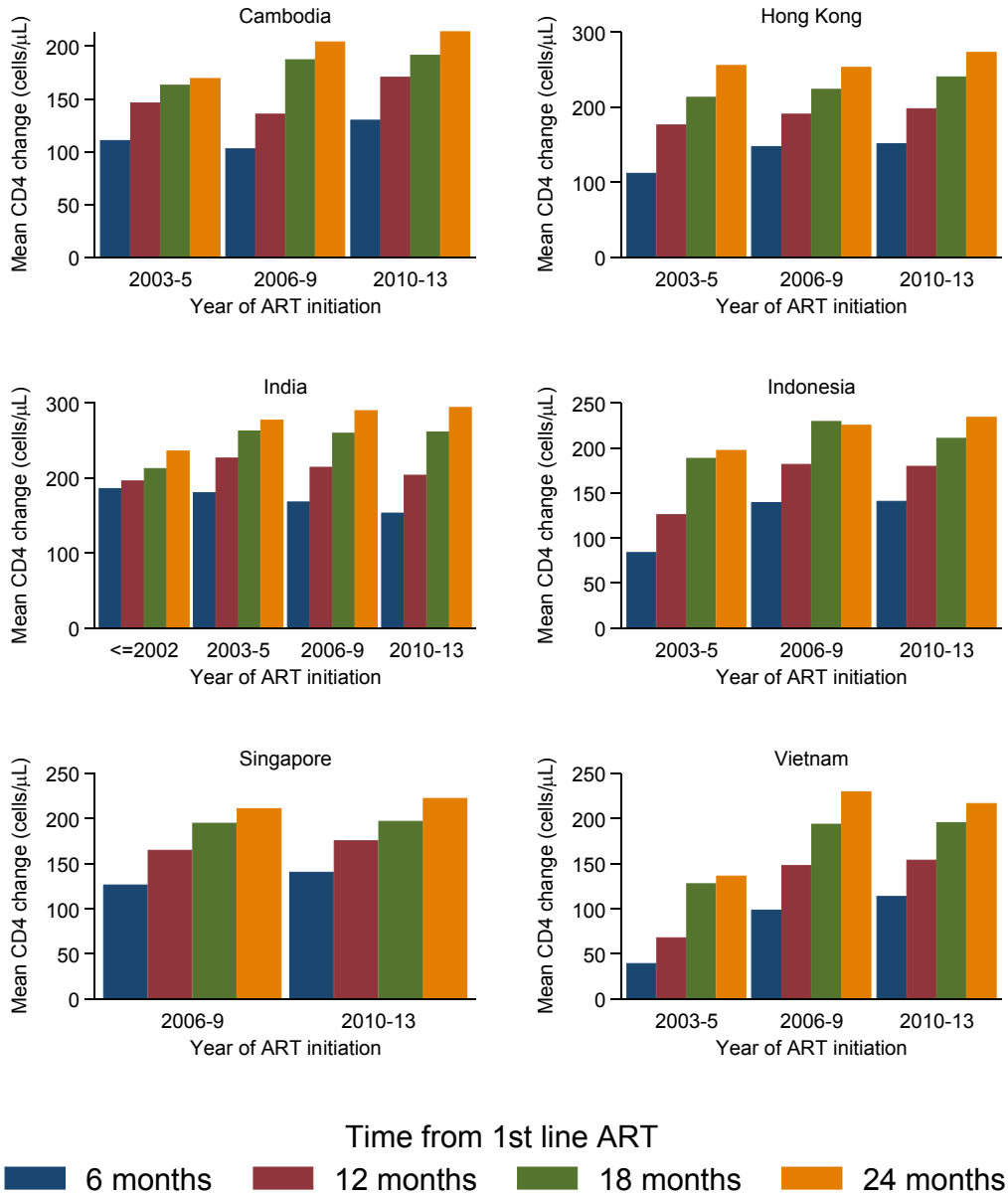


Figure 30. CD4 changes from ART initiation for all countries by time period.

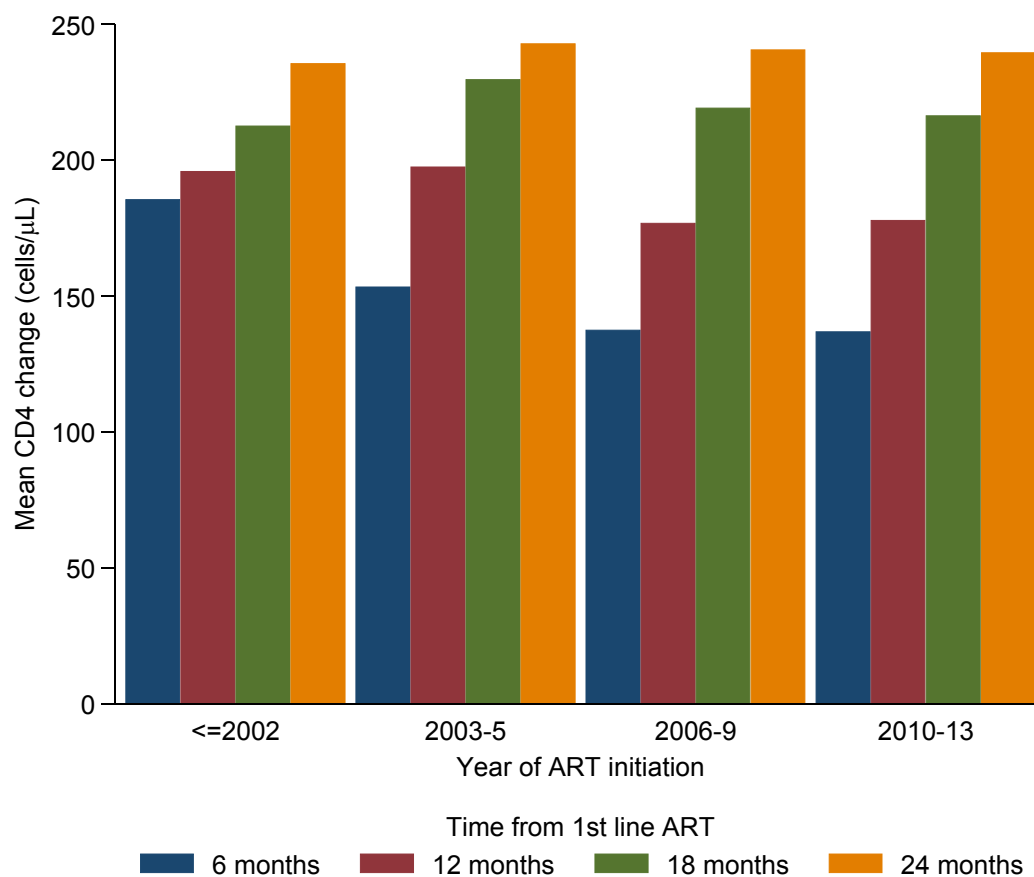


Table 5. CD4 increase at 12 months after ART initiation.

|                                       | Number of patients | Mean CD4 increase | Univariate |            |        | Multivariate |                   |                  |
|---------------------------------------|--------------------|-------------------|------------|------------|--------|--------------|-------------------|------------------|
|                                       |                    |                   | Diff       | 95% CI     | p      | Diff         | 95% CI            | p                |
| <b>Total</b>                          | 9111               | 181               |            |            |        |              |                   |                  |
| <b>Year of ART initiation</b>         |                    |                   |            |            | 0.089  |              |                   | 0.084            |
| ≤2002                                 | 271                | 196               | -20        | (-42, 2)   | 0.072  | -16          | (-38, 6)          | 0.167            |
| 2003-2005                             | 1280               | 197               | Ref        |            |        | Ref          |                   |                  |
| 2006-2009                             | 3634               | 177               | -7         | (-18, 4)   | 0.212  | -9           | (-20, 2)          | 0.097            |
| 2010-2013                             | 3926               | 178               | 2          | (-9, 13)   | 0.738  | 3            | (-9, 15)          | 0.609            |
| <b>Age at ART initiation (years)</b>  |                    |                   |            |            | <0.001 |              |                   | <0.001           |
| ≤30                                   | 2454               | 188               | Ref        |            |        | Ref          |                   |                  |
| 31-40                                 | 3987               | 184               | -10        | (-18, -1)  | 0.025  | -8           | (-17, 0)          | 0.060            |
| 41-50                                 | 1754               | 170               | -25        | (-36, -15) | <0.001 | <b>-25</b>   | <b>(-35, -14)</b> | <b>&lt;0.001</b> |
| 51+                                   | 916                | 165               | -28        | (-41, -15) | <0.001 | <b>-28</b>   | <b>(-42, -15)</b> | <b>&lt;0.001</b> |
| <b>Sex</b>                            |                    |                   |            |            |        |              |                   |                  |
| Male                                  | 6105               | 177               | Ref        |            |        | Ref          |                   |                  |
| Female                                | 3006               | 189               | 20         | (12, 27)   | <0.001 | <b>16</b>    | <b>(8, 24)</b>    | <b>&lt;0.001</b> |
| <b>Mode of HIV Exposure</b>           |                    |                   |            |            | <0.001 |              |                   | <b>0.040</b>     |
| Heterosexual contact                  | 7289               | 183               | Ref        |            |        | Ref          |                   |                  |
| Homosexual contact                    | 708                | 187               | 15         | (0, 31)    | 0.048  | 12           | (-3, 28)          | 0.125            |
| Injecting drug use                    | 493                | 128               | -37        | (-54, -20) | <0.001 | <b>-20</b>   | <b>(-40, 0)</b>   | <b>0.050</b>     |
| Other/unknown                         | 621                | 192               | 11         | (-3, 25)   | 0.129  | 10           | (-4, 24)          | 0.166            |
| <b>Pre-ART viral load (copies/mL)</b> |                    |                   |            |            |        |              |                   |                  |
| ≤100000                               | 1009               | 165               | Ref        |            |        | Ref          |                   |                  |
| >100000                               | 1223               | 211               | 46         | (32, 60)   | <0.001 | <b>43</b>    | <b>(29, 57)</b>   | <b>&lt;0.001</b> |
| Missing                               | 6879               | 178               |            |            |        |              |                   |                  |

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|                                   |      |     |     |            |        |            |                   |                  |
|-----------------------------------|------|-----|-----|------------|--------|------------|-------------------|------------------|
| <b>Pre-ART CD4 (cells/μL)</b>     |      |     |     |            | 0.006  |            |                   | <b>0.001</b>     |
| ≤50                               | 2344 | 175 | Ref |            |        | Ref        |                   |                  |
| 51-100                            | 1404 | 189 | 4   | (-7, 15)   | 0.500  | 3          | (-8, 15)          | 0.543            |
| 101-200                           | 2479 | 192 | 3   | (-7, 13)   | 0.575  | 1          | (-9, 11)          | 0.868            |
| 201+                              | 2884 | 172 | -13 | (-22, -3)  | 0.007  | <b>-16</b> | <b>(-26, -7)</b>  | <b>0.001</b>     |
| <b>First ART regimen</b>          |      |     |     |            | 0.084  |            |                   | 0.234            |
| NRTI+NNRTI                        | 8738 | 181 | Ref |            |        | Ref        |                   |                  |
| NRTI+PI                           | 341  | 191 | -1  | (-19, 18)  | 0.929  | 6          | (-13, 24)         | 0.548            |
| Other                             | 32   | 109 | -66 | (-124, -8) | 0.026  | -46        | (-104, 11)        | 0.115            |
| <b>Previous mono/duo exposure</b> |      |     |     |            |        |            |                   |                  |
| No                                | 8761 | 182 | Ref |            |        | Ref        |                   |                  |
| Yes                               | 350  | 147 | -53 | (-71, -35) | <0.001 | <b>-47</b> | <b>(-65, -29)</b> | <b>&lt;0.001</b> |
| <b>Hepatitis B co-infection</b>   |      |     |     |            |        |            |                   |                  |
| Negative                          | 4199 | 166 | Ref |            |        | Ref        |                   |                  |
| Positive                          | 472  | 148 | -16 | (-32, 0)   | 0.052  | -14        | (-30, 1)          | 0.074            |
| Not tested                        | 4440 | 198 |     |            |        |            |                   |                  |
| <b>Hepatitis C co-infection</b>   |      |     |     |            |        |            |                   |                  |
| Negative                          | 4858 | 170 | Ref |            |        | Ref        |                   |                  |
| Positive                          | 757  | 135 | -30 | (-44, -16) | <0.001 | <b>-17</b> | <b>(-34, -0)</b>  | <b>0.045</b>     |
| Not tested                        | 3496 | 205 |     |            |        |            |                   |                  |

Note: Global p-values for year of ART initiation, age and pre-ART CD4 count are test for trend. Other global p-values are test for heterogeneity.



## **9. Viral load response from ART initiation**

- VL <400 copies/mL from ART initiation.
- Factors associated with VL <400 copies/mL at 12 months after ART initiation.

### **9.1 Methods**

Patients with at least one viral load (VL) test after ART initiation were included. The proportion of VL <400 copies/ml was calculated as a fraction of patients with VL <400 copies/ml out of those who had VL testing for each time period, in Figure 31 and Figure 32. Factors associated with VL <400 copies/ml at 12 months after ART initiation was analysed using logistic regression with site modelled as a random effect. Only patients with a VL test at 12 months after ART initiation were included in the regression analysis. The multivariate model is adjusted for all variables shown in Table 6.

### **9.2 Summary of results**

The number of patients with VL testing in the early periods of ART in lower income countries were relatively small compared to higher income countries. Therefore the small proportions of patients with VL <400 copies/ml seen in these countries reflect the small number of patients tested, and most likely targeted testing of those most at risk of treatment failure, rather than suboptimal treatment response. It is important to note that these graphical displays (Figure 31 and Figure 32) illustrate available data from the sites and should not be overly interpreted due to biases associated with targeted VL testing within these sites.

Overall, the proportion of patients with VL <400 copies/ml increased for each time period of ART initiation, but have remained steady at each treatment duration interval. The regression model indicates that patients starting ART in later years were more likely to reach VL <400 copies/ml at 12 months. Being female was also associated with favourable VL outcome.

Figure 31. VL <400 copies/mL from ART initiation for each country by time period.

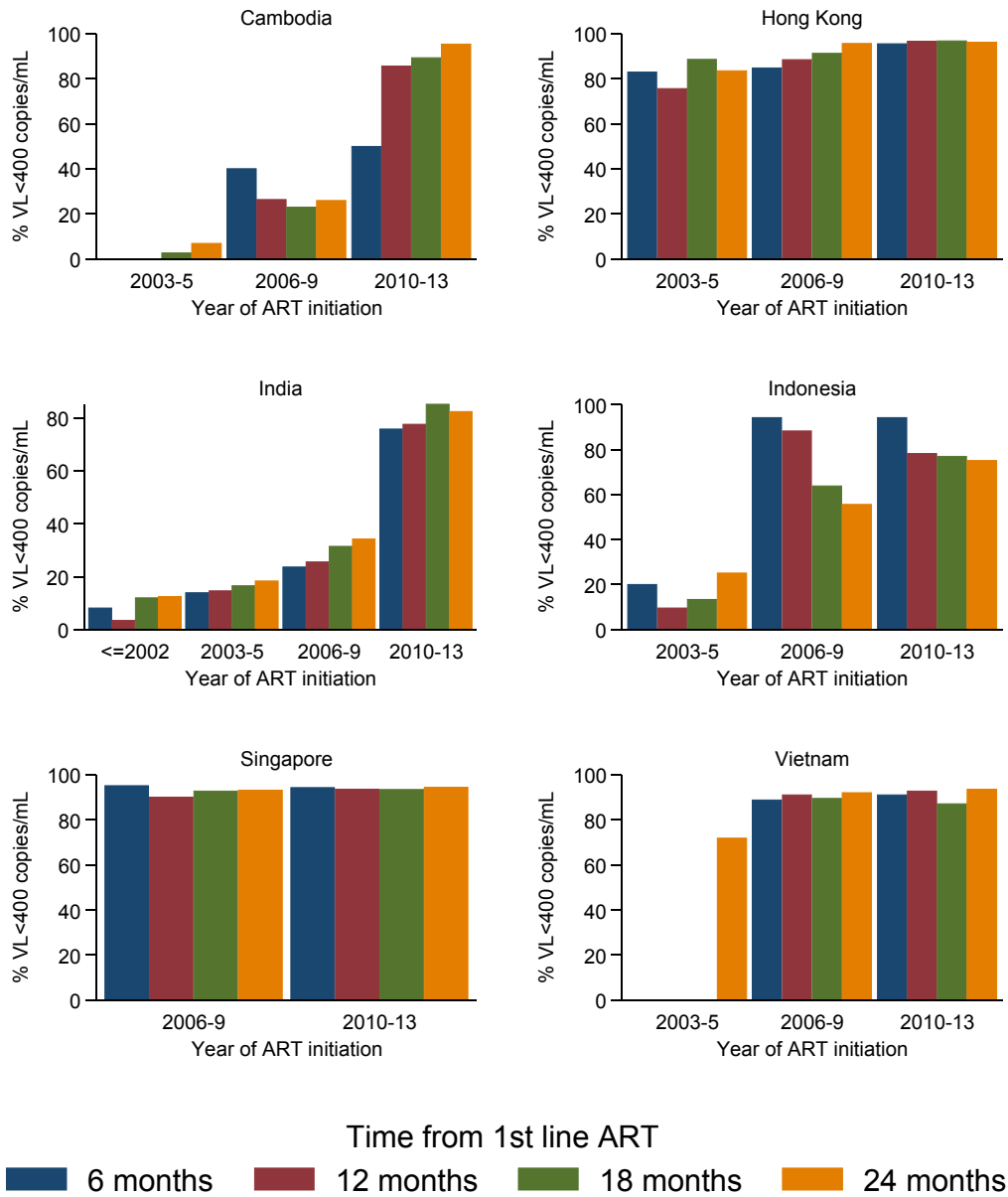


Figure 32. VL <400 copies/mL from ART initiation for all countries by time period.

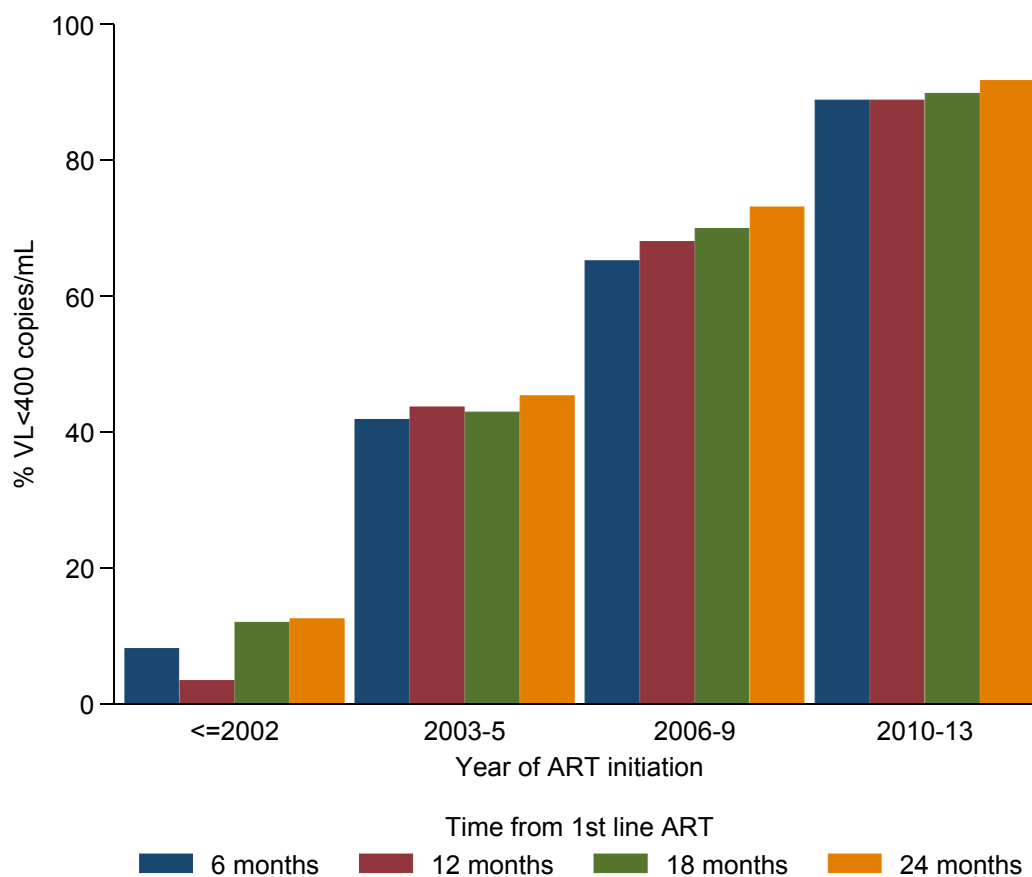


Table 6. VL <400 copies/mL at 12 months after ART initiation.

|                                       | Number of patients | Number with VL <400 copies/mL | Univariate |              |        | Multivariate |                     |                  |
|---------------------------------------|--------------------|-------------------------------|------------|--------------|--------|--------------|---------------------|------------------|
|                                       |                    |                               | OR         | 95% CI       | p      | OR           | 95% CI              | p                |
| <b>Total</b>                          | 3152               | 2457                          |            |              |        |              |                     |                  |
| <b>Year of ART initiation</b>         |                    |                               |            |              | <0.001 |              |                     | <0.001           |
| ≤2002                                 | 29                 | 1                             | 0.2        | (0.0, 1.6)   | 0.134  | 0.3          | (0.0, 2.3)          | 0.234            |
| 2003-2005                             | 229                | 100                           | 1          |              |        | 1            |                     |                  |
| 2006-2009                             | 1032               | 702                           | 3.1        | (2.1, 4.5)   | <0.001 | <b>3.6</b>   | <b>(2.3, 5.5)</b>   | <b>&lt;0.001</b> |
| 2010-2013                             | 1862               | 1654                          | 15.9       | (10.6, 23.8) | <0.001 | <b>20.4</b>  | <b>(13.0, 32.2)</b> | <b>&lt;0.001</b> |
| <b>Age at ART initiation (years)</b>  |                    |                               |            |              | 0.561  |              |                     | 0.331            |
| ≤30                                   | 793                | 614                           | 1          |              |        | 1            |                     |                  |
| 31-40                                 | 1285               | 958                           | 1.1        | (0.8, 1.4)   | 0.568  | 1.2          | (0.9, 1.6)          | 0.209            |
| 41-50                                 | 661                | 536                           | 1.3        | (0.9, 1.7)   | 0.121  | 1.3          | (0.9, 1.8)          | 0.134            |
| 51+                                   | 413                | 349                           | 1.0        | (0.7, 1.4)   | 0.799  | 1.1          | (0.7, 1.7)          | 0.569            |
| <b>Sex</b>                            |                    |                               |            |              |        |              |                     |                  |
| Male                                  | 2346               | 1844                          | 1          |              |        | 1            |                     |                  |
| Female                                | 806                | 613                           | 1.5        | (1.2, 1.9)   | <0.001 | <b>1.5</b>   | <b>(1.2, 2.0)</b>   | <b>0.001</b>     |
| <b>Mode of HIV Exposure</b>           |                    |                               |            |              | 0.005  |              |                     | 0.314            |
| Heterosexual contact                  | 2062               | 1492                          | 1          |              |        | 1            |                     |                  |
| Homosexual contact                    | 570                | 531                           | 1.7        | (1.1, 2.5)   | 0.010  | 1.3          | (0.8, 2.0)          | 0.230            |
| Injecting drug use                    | 235                | 200                           | 0.6        | (0.4, 0.9)   | 0.027  | 0.8          | (0.4, 1.5)          | 0.498            |
| Other/unknown                         | 285                | 234                           | 1.1        | (0.8, 1.6)   | 0.475  | 1.3          | (0.9, 2.0)          | 0.187            |
| <b>Pre-ART viral load (copies/mL)</b> |                    |                               |            |              |        |              |                     |                  |
| ≤100000                               | 800                | 616                           | 1          |              |        | 1            |                     |                  |
| >100000                               | 931                | 713                           | 1.0        | (0.8, 1.3)   | 0.885  | 0.9          | (0.7, 1.2)          | 0.585            |
| Missing                               | 1421               | 1128                          |            |              |        |              |                     |                  |

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|  |      |      |     |            |        |     |            |       |
|--|------|------|-----|------------|--------|-----|------------|-------|
| <b>Pre-ART CD4 (cells/<math>\mu</math>L)</b> |      |      |     |            | 0.040  |     |            | 0.632 |
| ≤50  | 744  | 630  | 1   |            |        | 1   |            |       |
| 51-100                                       | 376  | 308  | 1.3 | (0.9, 1.9) | 0.187  | 1.2 | (0.8, 1.8) | 0.342 |
| 101-200                                      | 622  | 481  | 1.4 | (1.0, 1.9) | 0.042  | 1.3 | (0.9, 1.9) | 0.132 |
| 201+   | 1192 | 874  | 1.3 | (1.0, 1.8) | 0.058  | 0.9 | (0.6, 1.2) | 0.474 |
| Missing                                      | 218  | 164  |     |            |        |     |            |       |
| <b>First ART regimen</b>                     |      |      |     |            | 0.001  |     |            | 0.152 |
| NRTI+NNRTI                                   | 2892 | 2268 | 1   |            |        | 1   |            |       |
| NRTI+PI                                      | 237  | 171  | 0.5 | (0.4, 0.7) | <0.001 | 0.6 | (0.4, 1.0) | 0.056 |
| Other  | 23   | 18   | 0.5 | (0.2, 1.7) | 0.285  | 0.8 | (0.2, 2.7) | 0.668 |
| <b>Previous mono/duo exposure</b>            |      |      |     |            |        |     |            |       |
| No   | 3046 | 2384 | 1   |            |        | 1   |            |       |
| Yes  | 106  | 73   | 0.5 | (0.3, 0.8) | 0.005  | 1.0 | (0.6, 1.9) | 0.944 |
| <b>Hepatitis B co-infection</b>              |      |      |     |            |        |     |            |       |
| Negative                                     | 1669 | 1501 | 1   |            |        | 1   |            |       |
| Positive                                     | 157  | 139  | 0.9 | (0.5, 1.5) | 0.589  | 1.1 | (0.6, 2.0) | 0.646 |
| Not tested                                   | 1326 | 817  |     |            |        |     |            |       |
| <b>Hepatitis C co-infection</b>              |      |      |     |            |        |     |            |       |
| Negative                                     | 1980 | 1749 | 1   |            |        | 1   |            |       |
| Positive                                     | 344  | 299  | 0.6 | (0.4, 0.9) | 0.015  | 1.0 | (0.6, 1.7) | 0.981 |
| Not tested                                   | 828  | 409  |     |            |        |     |            |       |

Note: Global p-values for year of ART initiation, age and pre-ART CD4 count are test for trend. Other global p-values are test for heterogeneity.

## **10. CD4 response from start of second ART regimen**

- CD4 changes from start of second ART regimen.
- Factors associated with CD4 increase at 12 months from start of second ART regimen.

### **10.1 Methods**

Patients who have switched to second ART regimen with at least one CD4 count within 6 months prior to switch and at least one CD4 count after switch to second ART were included. Second ART regimen was defined as a change of 2 drugs or 1 drug class change due to any reason. Change in CD4 count was defined as the difference between CD4 count at a given time period and the CD4 count prior to switch. Positive CD4 changes indicate increases in CD4 count. Mean CD4 changes were graphically displayed according to year of starting second ART regimen in Figure 33 and Figure 34. Factors associated with mean CD4 change/increase at 12 months from start of second ART were analysed using linear regression adjusted for site. Only patients with a CD4 count at 12 months from the switch date were included in the regression model. The multivariate model is adjusted for all variables shown in Table 7.

### **10.2 Summary of results**

There has been an increase in CD4 count from second ART regimen for all countries across all time periods. In Cambodia, those who switched between 2003-2005 had a drop in the increase of CD4 at 18 months compared to 12 months. Similarly for India, there has been a slight drop in CD4 increase at 18 months for those who switched prior to 2003, and at 24 months for those who switched to second ART between 2003-2005. For Indonesia, a slight drop can be seen at month 18 for those who switched between 2010-2013. Vietnam also shows a drop in CD4 increase at 24 months for 2003-2005. Overall, CD4 has been increasing for all time periods with the exception of those who switched prior 2003, where the average increase are scattered across treatment duration. The multivariate results show that the average increase in CD4 count at 12 months after starting second ART was higher in females, those with higher VL and lower CD4 count and those on regimen other than NRTI+NNRTI . Year of starting second ART was not a statistically significant factor.

**Figure 33. CD4 changes from start of second ART regimen for each country by time period.**

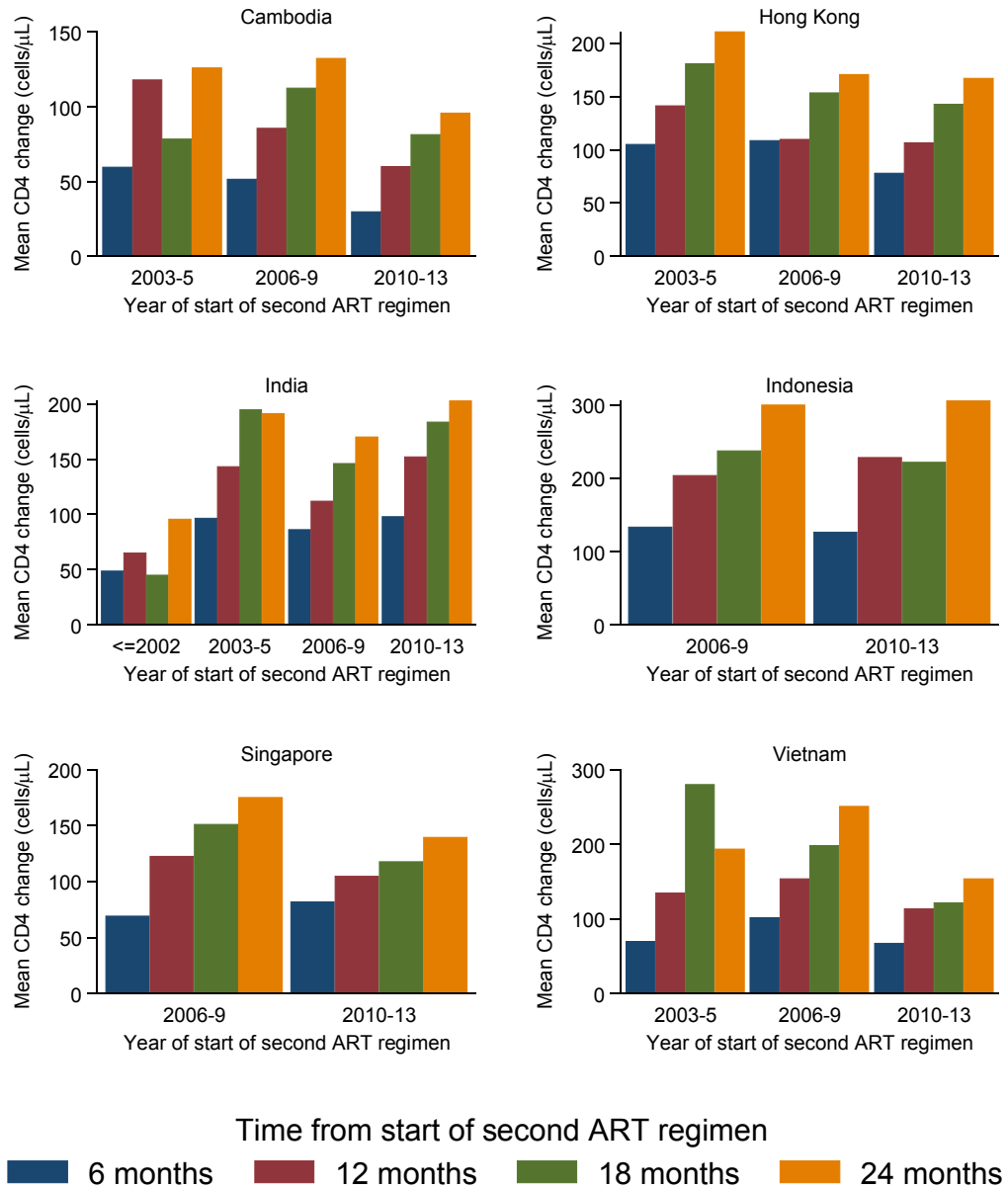


Figure 34. CD4 changes from start of second ART regimen for all countries by time period.

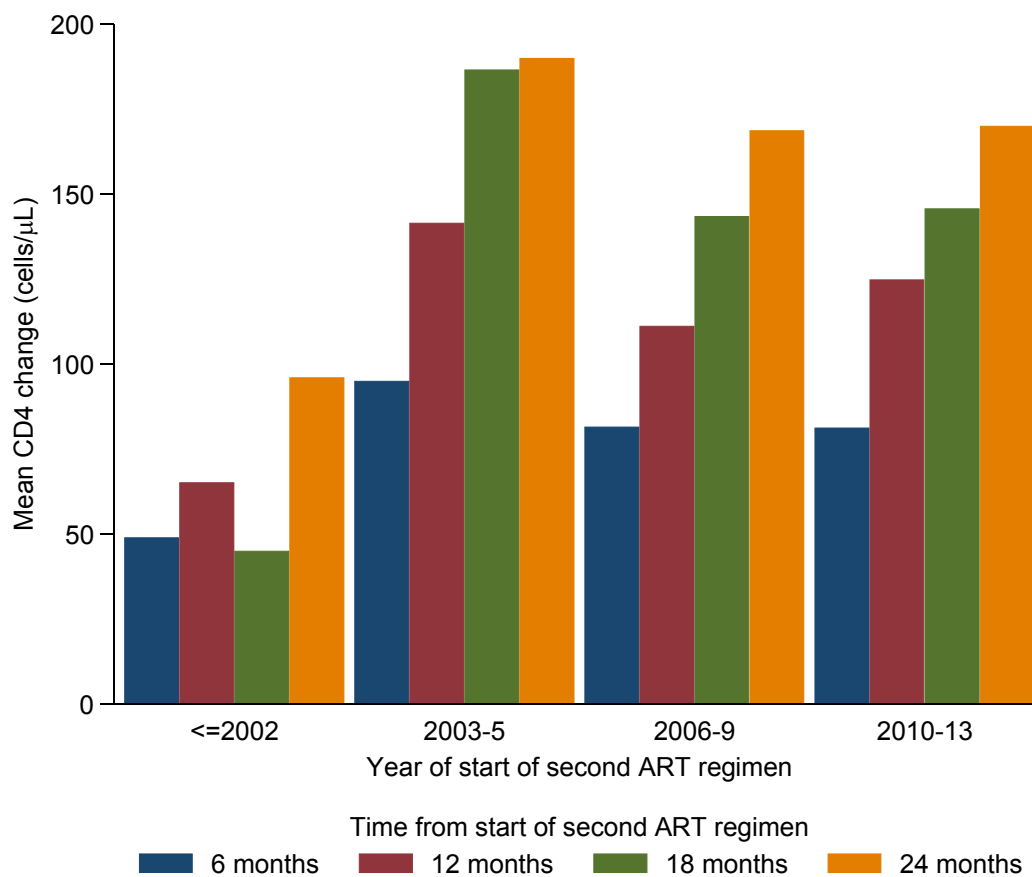




Table 7. CD4 increase at 12 months from start of second ART regimen.

|  | Number of patients | Mean CD4 increase | Univariate |           |        | Multivariate |                  |              |
|--|--------------------|-------------------|------------|-----------|--------|--------------|------------------|--------------|
|  |                    |                   | Diff       | 95% CI    | p      | Diff         | 95% CI           | p            |
| <b>Total</b>   | 2456               | 120               |            |           |        |              |                  |              |
| <b>Year of start of second ART regimen</b>                   |                    |                   |            |           | 0.281  |              |                  | 0.101        |
| ≤2002  | 32                 | 65                | -82        | (-172, 8) | 0.075  | -79          | (-167, 9)        | 0.078        |
| 2003-2005  | 190                | 141               | Ref        |           |        | Ref          |                  |              |
| 2006-2009  | 1027               | 111               | -24        | (-62, 13) | 0.203  | -6           | (-43, 32)        | 0.771        |
| 2010-2013  | 1207               | 125               | -9         | (-47, 29) | 0.648  | 8            | (-31, 47)        | 0.677        |
| <b>Age at switch of second ART regimen (years)</b>           |                    |                   |            |           | 0.032  |              |                  | 0.057        |
| ≤30  | 391                | 133               | Ref        |           |        | Ref          |                  |              |
| 31-40  | 1160               | 123               | -10        | (-38, 18) | 0.472  | -9           | (-37, 18)        | 0.509        |
| 41-50  | 588                | 123               | -10        | (-41, 22) | 0.548  | -8           | (-40, 23)        | 0.603        |
| 51+  | 317                | 86                | -46        | (-83, -9) | 0.014  | <b>-41</b>   | <b>(-78, -5)</b> | <b>0.028</b> |
| <b>Sex</b>   |                    |                   |            |           |        |              |                  |              |
| Male   | 1786               | 119               | Ref        |           |        | Ref          |                  |              |
| Female   | 670                | 121               | 7          | (-15, 29) | 0.536  | <b>23</b>    | <b>(0, 46)</b>   | <b>0.048</b> |
| <b>Mode of HIV Exposure</b>                                  |                    |                   |            |           | 0.318  |              |                  | 0.343        |
| Heterosexual contact   | 2007               | 118               | Ref        |           |        | Ref          |                  |              |
| Homosexual contact   | 174                | 134               | 33         | (-10, 76) | 0.134  | 40           | (-3, 84)         | 0.069        |
| Injecting drug use   | 109                | 107               | -26        | (-79, 26) | 0.325  | 5            | (-54, 64)        | 0.862        |
| Other/unknown  | 166                | 127               | 9          | (-30, 48) | 0.653  | 8            | (-30, 46)        | 0.680        |
| <b>Viral load at start of second ART regimen (copies/mL)</b> |                    |                   |            |           |        |              |                  |              |
| ≤100000  | 698                | 105               | Ref        |           |        | Ref          |                  |              |
| >100000  | 226                | 211               | 102        | (66, 138) | <0.001 | <b>49</b>    | <b>(13, 86)</b>  | <b>0.009</b> |
| Missing  | 1532               | 113               |            |           |        |              |                  |              |

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|  |      |     |      |              |        |      |             |        |
|--|------|-----|------|--------------|--------|------|-------------|--------|
| <b>CD4 at second ART regimen (cells/<math>\mu</math>L)</b> |      |     |      |              |        |      |             |        |
| $\leq 50$  | 344  | 212 | Ref  |              | <0.001 | Ref  |             | <0.001 |
| 51-100   | 292  | 172 | -40  | (-77, -3)    | 0.035  | -37  | (-74, 1)    | 0.054  |
| 101-200  | 546  | 137 | -72  | (-104, -40)  | <0.001 | -55  | (-88, -22)  | 0.001  |
| 201+   | 1274 | 75  | -135 | (-163, -106) | <0.001 | -115 | (-147, -83) | <0.001 |
| <b>Second ART regimen</b>                                  |      |     |      |              |        |      |             | <0.001 |
| NRTI+NNRTI   | 1366 | 83  | Ref  |              |        | Ref  |             |        |
| NRTI+PI  | 973  | 165 | 85   | (64, 105)    | <0.001 | 48   | (26, 71)    | <0.001 |
| Other  | 117  | 174 | 91   | (46, 136)    | <0.001 | 71   | (24, 117)   | 0.003  |
| <b>Previous mono/duo exposure</b>                          |      |     |      |              |        |      |             |        |
| No   | 2272 | 119 | Ref  |              |        | Ref  |             |        |
| Yes  | 184  | 126 | -5   | (-41, 32)    | 0.803  | -18  | (-55, 19)   | 0.340  |
| <b>Hepatitis B co-infection</b>                            |      |     |      |              |        |      |             |        |
| Negative   | 843  | 99  | Ref  |              |        | Ref  |             |        |
| Positive   | 102  | 116 | 19   | (-31, 68)    | 0.463  | 34   | (-15, 82)   | 0.171  |
| Not tested   | 1511 | 131 |      |              |        |      |             |        |
| <b>Hepatitis C co-infection</b>                            |      |     |      |              |        |      |             |        |
| Negative   | 1118 | 109 | Ref  |              |        | Ref  |             |        |
| Positive   | 151  | 90  | -35  | (-80, 9)     | 0.117  | -35  | (-85, 14)   | 0.160  |
| Not tested   | 1187 | 133 |      |              |        |      |             |        |

Note: Global p-values for year of ART initiation, age and pre-ART CD4 count are test for trend. Other global p-values are test for heterogeneity.

## **11. Viral load response from start of second ART regimen**

- VL <400 copies/mL from start of second ART regimen.
- Factors associated with VL <400 copies/mL at 12 months from start of second ART regimen.

### **11.1 Methods**

Patients with at least one VL test after start of second ART regimen were included. Second ART was defined as a change of 2 drugs or 1 drug class change due to any reason. The proportion of VL <400 copies/ml was calculated as a fraction of patients with VL <400 copies/ml out of those who had VL testing for each time period, in Figure 35 and Figure 36. Factors associated with VL <400 copies/ml at 12 months after start of second ART was analysed using logistic regression model with site modelled as a random effect. Only patients with a VL test at 12 months after start of second ART were included in the regression analysis. The multivariate model is adjusted for all variables shown in Table 8.

### **11.2 Summary of results**

The lower number of VL testing for patients in Cambodia, India, Indonesia and Vietnam who started second ART in the earlier years means the proportions of undetectable VL may be underestimated for these countries and care should be taken when interpreting the graphs. However, overall there seems to be an increase in the proportion of VL <400 copies/ml for those who switched between 2010-2013 compared to previous years. The multivariate model shows that starting a second ART between 2010-2013, with higher CD4 count at time of switch, and no prior mono/duo therapy were associated with having VL <400 copies/ml at 12 months from second ART. Favourable VL outcome (ie.<400 copies/mL) was also associated with those undertaking a combination therapy other than NRTI and NNRTI or PI based, though this occurred in only 101 cases.

Figure 35. VL <400 copies/mL from start of second ART regimen for each country by time period.

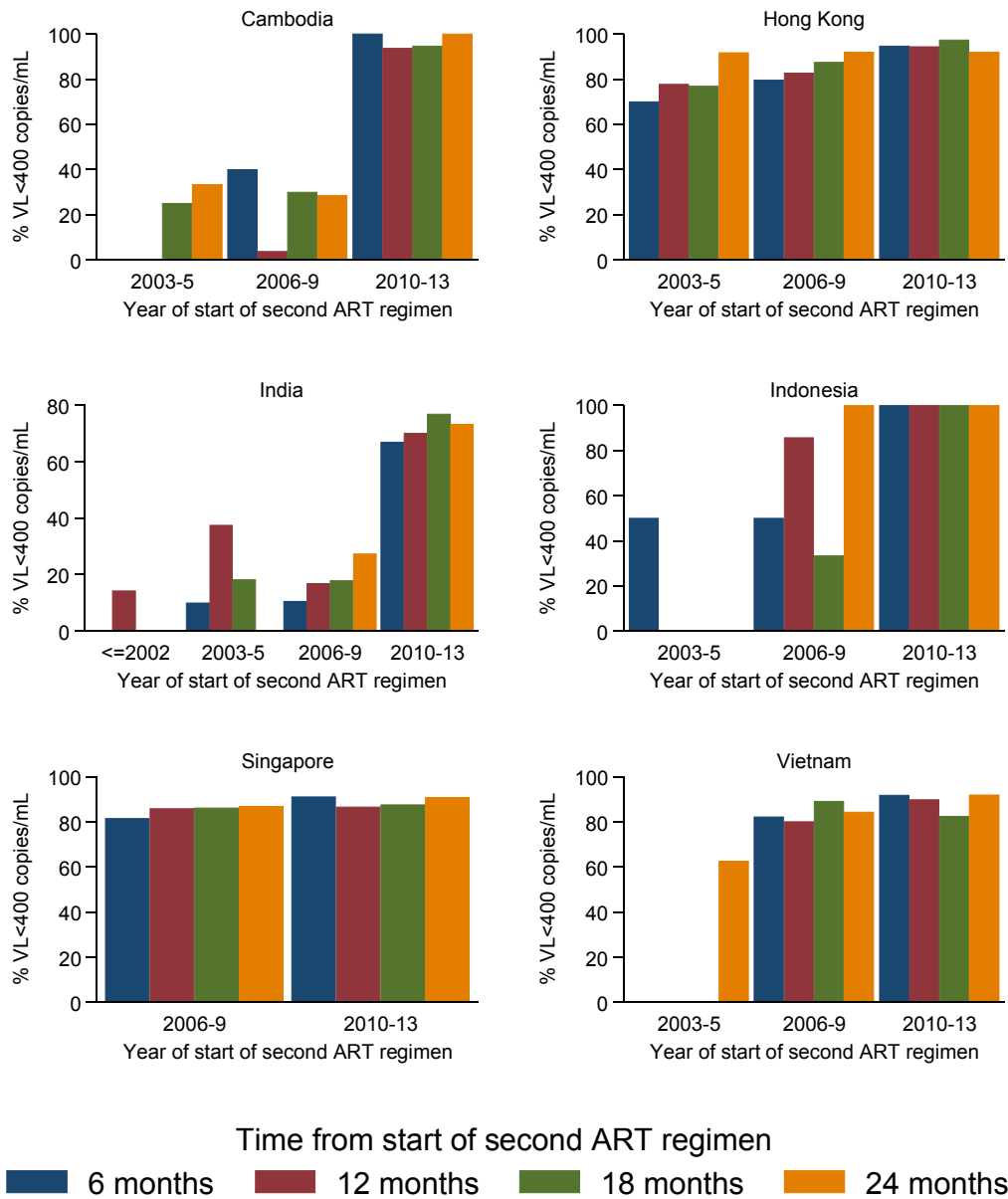


Figure 36. VL <400 copies/mL from start of second ART regimen for all countries by time period.

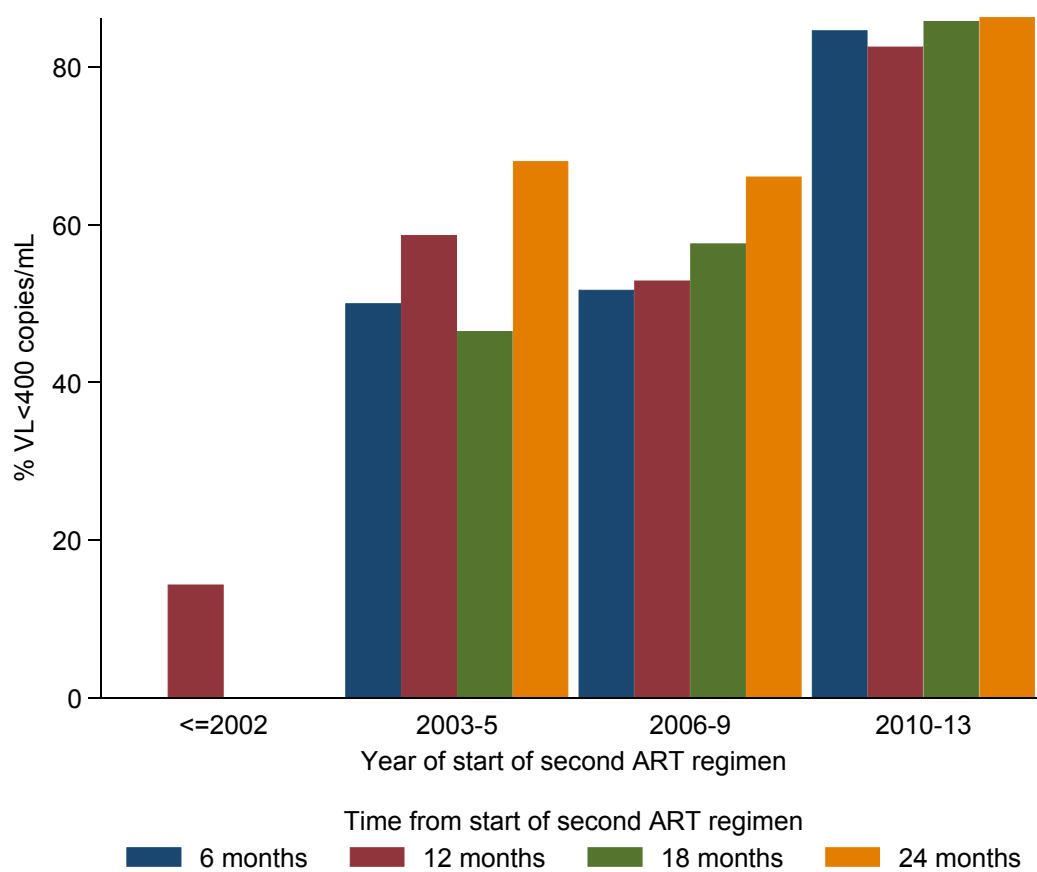


Table 8. VL <400 copies/mL at 12 months from start of second ART regimen.

|   | Number of patients | Number with VL <400 copies/mL | Univariate |             |        | Multivariate |                    |                  |
|---|--------------------|-------------------------------|------------|-------------|--------|--------------|--------------------|------------------|
|   |                    |                               | OR         | 95% CI      | p      | OR           | 95% CI             | p                |
| <b>Total</b>  | 992                | 724                           |            |             |        |              |                    |                  |
| <b>Year of start of second ART regimen</b>                  |                    |                               |            |             | <0.001 |              |                    | <b>&lt;0.001</b> |
| ≤2002   | 7                  | 1                             | 0.5        | (0.1, 5.4)  | 0.598  | 0.5          | (0.0, 5.4)         | 0.572            |
| 2003-2005   | 29                 | 17                            | 1          |             |        | 1            |                    |                  |
| 2006-2009   | 280                | 148                           | 1.2        | (0.5, 3.1)  | 0.658  | 1.0          | (0.4, 2.5)         | 0.941            |
| 2010-2013   | 676                | 558                           | 6.5        | (2.5, 16.6) | <0.001 | <b>4.4</b>   | <b>(1.7, 11.6)</b> | <b>0.003</b>     |
| <b>Age at start of second ART (years)</b>                   |                    |                               |            |             | 0.031  |              |                    | 0.158            |
| ≤30   | 164                | 105                           | 1          |             |        | 1            |                    |                  |
| 31-40   | 412                | 295                           | 1.7        | (1.1, 2.6)  | 0.015  | 1.3          | (0.8, 2.1)         | 0.247            |
| 41-50   | 241                | 182                           | 1.9        | (1.2, 3.1)  | 0.008  | 1.6          | (0.9, 2.8)         | 0.099            |
| 51+   | 175                | 142                           | 1.8        | (1.0, 3.1)  | 0.039  | 1.5          | (0.8, 2.9)         | 0.232            |
| <b>Sex</b>  |                    |                               |            |             |        |              |                    |                  |
| Male  | 758                | 566                           | 1          |             |        | 1            |                    |                  |
| Female  | 234                | 158                           | 0.90       | (0.7, 1.3)  | 0.701  | 1.0          | (0.6, 1.5)         | 0.824            |
| <b>Mode of HIV Exposure</b>                                 |                    |                               |            |             | 0.019  |              |                    | 0.095            |
| Heterosexual contact  | 707                | 481                           | 1          |             |        | 1            |                    |                  |
| Homosexual contact  | 150                | 138                           | 2.1        | (1.1, 4.2)  | 0.029  | 1.8          | (0.9, 3.8)         | 0.109            |
| Injecting drug use  | 58                 | 43                            | 0.5        | (0.2, 1.0)  | 0.044  | 0.5          | (0.2, 1.3)         | 0.163            |
| Other/unknown   | 77                 | 62                            | 1.2        | (0.6, 2.3)  | 0.573  | 1.6          | (0.8, 3.4)         | 0.213            |
| <b>Viral load at start of second ART regimen(copies/mL)</b> |                    |                               |            |             |        |              |                    |                  |
| ≤100000   | 557                | 429                           | 1          |             |        | 1            |                    |                  |
| >100000   | 150                | 108                           | 0.9        | (0.6, 1.4)  | 0.655  | 0.6          | (0.4, 1.1)         | 0.107            |
| Missing   | 285                | 187                           |            |             |        |              |                    |                  |

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|   |     |     |     |             |        |            |                   |              |
|---|-----|-----|-----|-------------|--------|------------|-------------------|--------------|
| <b>CD4 at start of second ART regimen (cells/<math>\mu</math>L)</b> |     |     |     |             | <0.001 |            |                   | <b>0.004</b> |
| ≤50   | 127 | 85  | 1   |             |        | 1          |                   |              |
| 51-100  | 99  | 66  | 1.5 | (0.8, 2.8)  | 0.199  | 1.2        | (0.6, 2.3)        | 0.644        |
| 101-200   | 178 | 140 | 3.1 | (1.7, 5.7)  | <0.001 | <b>3.0</b> | <b>(1.5, 5.7)</b> | <b>0.001</b> |
| 201+  | 515 | 379 | 2.5 | (1.6, 4.1)  | <0.001 | <b>2.2</b> | <b>(1.2, 4.0)</b> | <b>0.010</b> |
| Missing   | 73  | 54  |     |             |        |            |                   |              |
| <b>Second ART regimen</b>   |     |     |     |             | <0.001 |            |                   | <b>0.005</b> |
| NRTI+NNRTI  | 410 | 272 | 1   |             |        | 1          |                   |              |
| NRTI+PI   | 481 | 364 | 1.2 | (0.9, 1.7)  | 0.204  | 1.2        | (0.8, 1.7)        | 0.462        |
| Other   | 101 | 88  | 5.7 | (3.0, 11.0) | <0.001 | <b>3.3</b> | <b>(1.6, 6.8)</b> | <b>0.001</b> |
| <b>Previous mono/duo exposure</b>                                   |     |     |     |             |        |            |                   |              |
| No  | 936 | 690 | 1   |             |        | 1          |                   |              |
| Yes   | 56  | 34  | 0.4 | (0.2, 0.7)  | 0.001  | <b>0.4</b> | <b>(0.2, 0.8)</b> | <b>0.006</b> |
| <b>Hepatitis B co-infection</b>                                     |     |     |     |             |        |            |                   |              |
| Negative  | 483 | 393 | 1   |             |        | 1          |                   |              |
| Positive  | 44  | 36  | 0.9 | (0.4, 2.0)  | 0.744  | 1.0        | (0.4, 2.5)        | 0.971        |
| Not tested  | 465 | 295 |     |             |        |            |                   |              |
| <b>Hepatitis C co-infection</b>                                     |     |     |     |             |        |            |                   |              |
| Negative  | 611 | 501 | 1   |             |        | 1          |                   |              |
| Positive  | 91  | 72  | 0.6 | (0.3, 1.1)  | 0.109  | 0.8        | (0.3, 1.8)        | 0.572        |
| Not tested  | 290 | 151 |     |             |        |            |                   |              |

Note: Global p-values for year of ART initiation, age and pre-ART CD4 count are test for trend. Other global p-values are test for heterogeneity.

## **12. Mortality for ART patients**

- Mortality from first regimen.
- Risk factors associated with mortality.

### **12.1 Methods**

ART patients were excluded from the mortality analysis if their most recent clinic visit occurred on the same date as their ART initiation or if patients from Vietnam initiated ART prior to 2010. Patient follow up was censored at patient follow up time or most recent clinic visit (N=17 451). Mortality from first ART start for each country and for all countries by time period was summarized using Kaplan-Meier curves in Figure 37 and Figure 38. Risk factors associated with mortality were assessed using Cox regression models stratified by site. The multivariate model is adjusted for all variables shown in Table 9.

### **12.2 Summary of results**

For all countries, mortality rates were lowest in more recent time periods. Overall, mortality rates for those initiating ART prior to 2002 appear to be higher than those initiating ART between 2003-2005. However, this should not be overly interpreted as India is the only country contributing data for this time period and so, it is not representing an average across all the countries. Factors associated with increased risk of mortality include earlier years of ART initiation, older age at ART initiation, male gender, injecting drug use mode of HIV exposure, lower pre-ART CD4 cell count, first regimen containing NRTI+PI and previous mono/duo exposure.



Figure 37. Survival estimates for each country by time period.

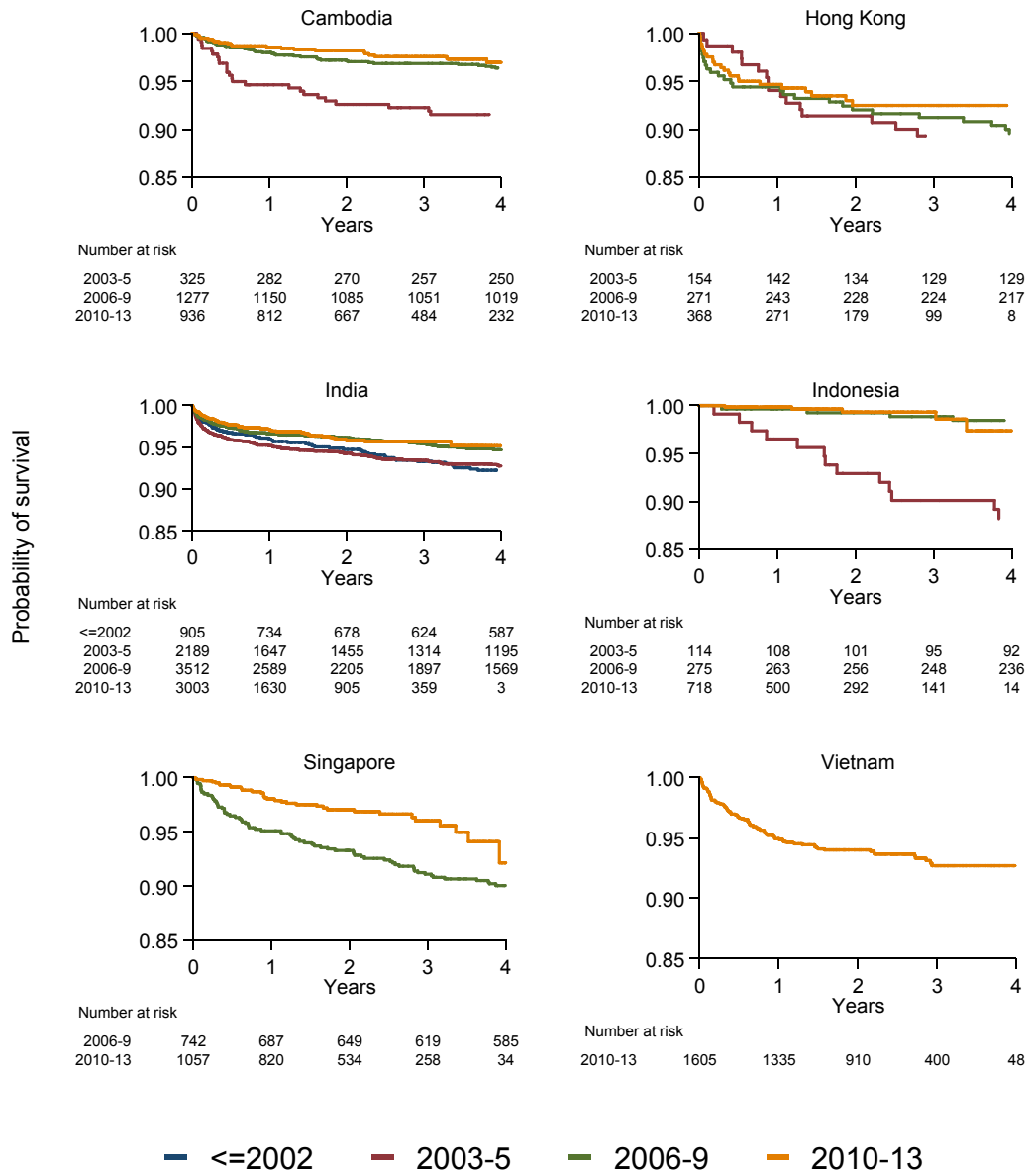


Figure 38. Survival estimates for all countries by time period.

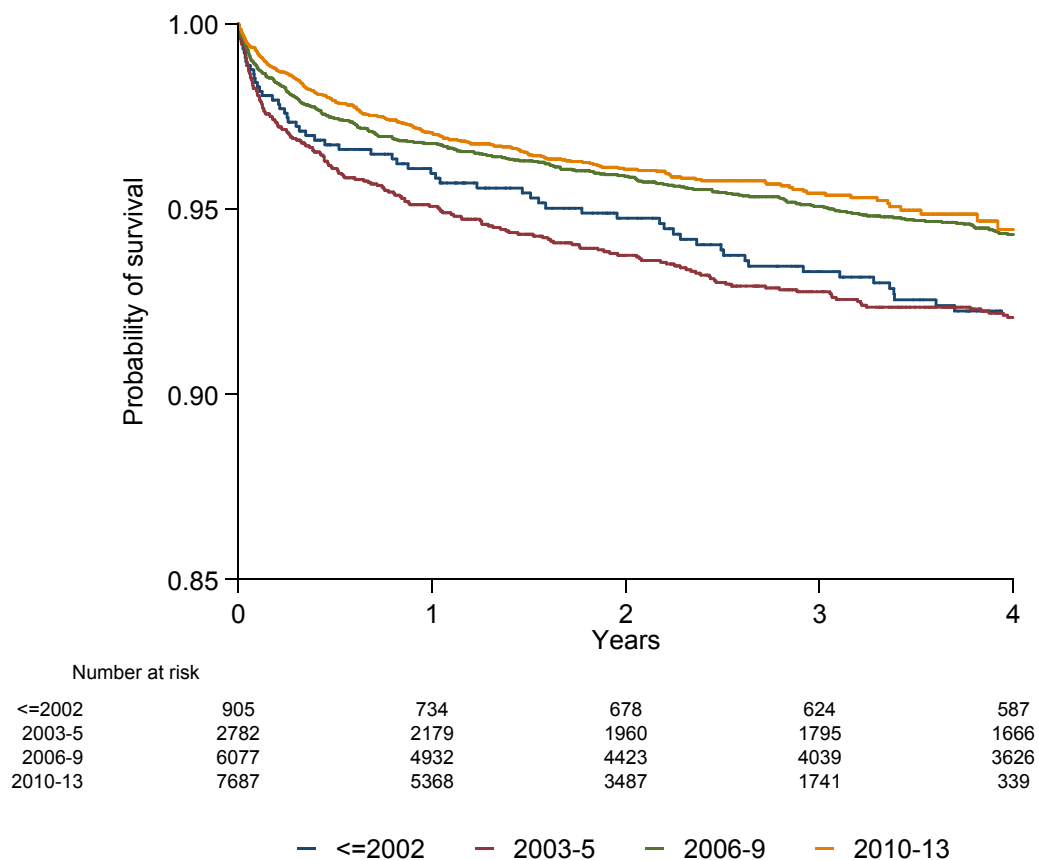


Table 9. Risk factors associated with mortality.

|                                       | Number of patients | Deaths | Univariate |              |        | Multivariate |                     |                  |
|---------------------------------------|--------------------|--------|------------|--------------|--------|--------------|---------------------|------------------|
|                                       |                    |        | HR         | 95% CI       | p      | HR           | 95% CI              | p                |
| <b>Total</b>                          | 17451              | 971    |            |              |        |              |                     |                  |
| <b>Year of ART Initiation</b>         |                    |        |            |              | <0.001 |              |                     | <b>0.005</b>     |
| ≤2002                                 | 905                | 91     | 0.89       | (0.70, 1.15) | 0.383  | 0.95         | (0.73, 1.22)        | 0.680            |
| 2003-2005                             | 2782               | 257    | 1          |              |        | 1            |                     |                  |
| 2006-2009                             | 6077               | 348    | 0.67       | (0.56, 0.79) | <0.001 | <b>0.77</b>  | <b>(0.65, 0.92)</b> | <b>0.004</b>     |
| 2010-2013                             | 7687               | 275    | 0.52       | (0.42, 0.64) | <0.001 | <b>0.72</b>  | <b>(0.58, 0.90)</b> | <b>0.004</b>     |
| <b>Age at ART initiation (years)</b>  |                    |        |            |              | <0.001 |              |                     | <b>&lt;0.001</b> |
| ≤30                                   | 4874               | 210    | 1          |              |        | 1            |                     |                  |
| 31-40                                 | 7820               | 408    | 1.21       | (1.02, 1.43) | 0.025  | 1.09         | (0.92, 1.30)        | 0.306            |
| 41-50                                 | 3234               | 191    | 1.40       | (1.14, 1.70) | 0.001  | <b>1.25</b>  | <b>(1.02, 1.54)</b> | <b>0.030</b>     |
| 51+                                   | 1523               | 162    | 2.47       | (1.99, 3.06) | <0.001 | <b>2.23</b>  | <b>(1.78, 2.79)</b> | <b>&lt;0.001</b> |
| <b>Sex</b>                            |                    |        |            |              |        |              |                     |                  |
| Male                                  | 12021              | 783    | 1          |              |        | 1            |                     |                  |
| Female                                | 5430               | 188    | 0.58       | (0.49, 0.69) | <0.001 | <b>0.73</b>  | <b>(0.62, 0.87)</b> | <b>&lt;0.001</b> |
| <b>Mode of HIV Exposure</b>           |                    |        |            |              | <0.001 |              |                     | <b>&lt;0.001</b> |
| Heterosexual contact                  | 14357              | 773    | 1          |              |        | 1            |                     |                  |
| Homosexual contact                    | 1087               | 44     | 0.52       | (0.37, 0.73) | <0.001 | 0.78         | (0.56, 1.10)        | 0.160            |
| Injecting drug use                    | 781                | 87     | 2.20       | (1.67, 2.88) | <0.001 | <b>1.95</b>  | <b>(1.40, 2.74)</b> | <b>&lt;0.001</b> |
| Other/unknown                         | 1226               | 67     | 0.93       | (0.72, 1.20) | 0.599  | 1.00         | (0.77, 1.29)        | 0.983            |
| <b>Pre-ART viral load (copies/mL)</b> |                    |        |            |              |        |              |                     |                  |
| ≤100000                               | 1577               | 59     | 1          |              |        | 1            |                     |                  |
| >100000                               | 1838               | 122    | 1.76       | (1.29, 2.41) | <0.001 | 1.25         | (0.91, 1.71)        | 0.169            |
| Missing                               | 14036              | 790    |            |              |        |              |                     |                  |
| <b>Pre-ART CD4 (cells/μL)</b>         |                    |        |            |              | <0.001 |              |                     | <b>&lt;0.001</b> |
| ≤50                                   | 3758               | 350    | 1          |              |        | 1            |                     |                  |
| 51-100                                | 2404               | 198    | 0.83       | (0.69, 0.99) | 0.038  | 0.85         | (0.71, 1.01)        | 0.069            |
| 101-200                               | 4018               | 194    | 0.46       | (0.38, 0.55) | <0.001 | <b>0.50</b>  | <b>(0.41, 0.60)</b> | <b>&lt;0.001</b> |
| 201+                                  | 4693               | 95     | 0.22       | (0.17, 0.27) | <0.001 | <b>0.27</b>  | <b>(0.21, 0.34)</b> | <b>&lt;0.001</b> |
| Missing                               | 2578               | 134    |            |              |        |              |                     |                  |

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|                                   |       |     |      |              |        |             |                     |              |
|-----------------------------------|-------|-----|------|--------------|--------|-------------|---------------------|--------------|
| <b>First ART regimen</b>          |       |     |      |              |        |             |                     |              |
| NRTI+NNRTI                        | 16743 | 911 | 1    |              | 0.130  | 1           |                     | <b>0.032</b> |
| NRTI+PI                           | 639   | 56  | 1.33 | (1.01, 1.76) | 0.044  | <b>1.46</b> | <b>(1.10, 1.94)</b> | <b>0.009</b> |
| Other/unknown                     | 69    | 4   | 0.96 | (0.36, 2.58) | 0.938  | 1.25        | (0.47, 3.37)        | 0.655        |
| <b>Previous mono/duo exposure</b> |       |     |      |              |        |             |                     |              |
| No                                | 16728 | 897 | 1    |              |        | 1           |                     |              |
| Yes                               | 723   | 74  | 1.52 | (1.19, 1.93) | 0.001  | <b>1.39</b> | <b>(1.09, 1.78)</b> | <b>0.009</b> |
| <b>Hepatitis B co-infection</b>   |       |     |      |              |        |             |                     |              |
| Negative                          | 5811  | 331 | 1    |              |        | 1           |                     |              |
| Positive                          | 647   | 49  | 1.26 | (0.94, 1.71) | 0.126  | 1.15        | (0.85, 1.56)        | 0.363        |
| Not tested                        | 10993 | 591 |      |              |        |             |                     |              |
| <b>Hepatitis C co-infection</b>   |       |     |      |              |        |             |                     |              |
| Negative                          | 7199  | 383 | 1    |              |        | 1           |                     |              |
| Positive                          | 1029  | 88  | 1.69 | (1.30, 2.20) | <0.001 | 1.08        | (0.78, 1.49)        | 0.658        |
| Not tested                        | 9223  | 500 |      |              |        |             |                     |              |

Note: Global p-values for year of ART initiation, age and pre-ART CD4 count are test for trend. Other global p-values are test for heterogeneity.

## **13. Lost to Follow Up for ART patients**

- Time to lost to follow up (LTFU) from first regimen.
- Risk factors associated with LTFU.

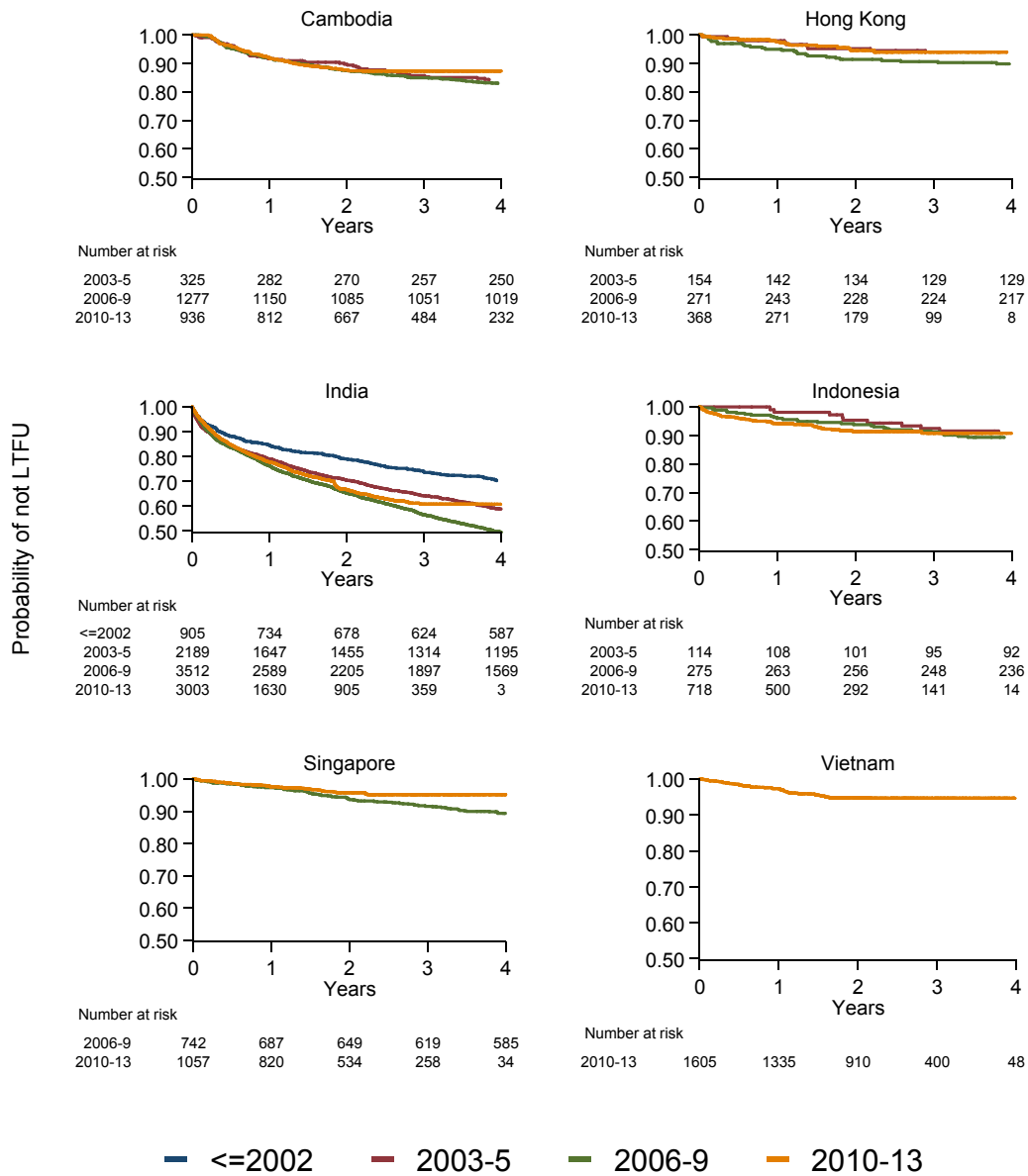
### **13.1 Methods**

ART patients were considered LTFU if their most recent clinic visit was prior to 2013. ART patients were excluded from the LTFU analysis if their most recent clinic visit occurred on the same date as their ART initiation or if patients, from Vietnam, initiated ART prior to 2010. Patient follow up was censored at death or most recent clinic visit (N=17 451). The time to LTFU from first ART start for each country by time period was summarized using Kaplan-Meier curves in Figure 39. Risk factors associated with LTFU were assessed using Cox regression models stratified by site. The multivariate model is adjusted for all variables shown in Table 10.

### **13.2 Summary of results**

LTFU rates show quite heterogeneous patterns across countries and time periods (Figure 39). India had the highest LTFU rates in comparison to all other countries with increasing LTFU rates in more recent time periods. However, many of these patients were transferred to other clinical sites in India, but this was not distinguishable from true LTFU in the current dataset. For other countries the rate of lost to follow-up was generally low across all time periods, mostly around 10% by four years. The combined figure of time to LTFU (Figure 40) is included for completeness and consistency with other sections of this report, but care should be taken not to over interpret this figure. The apparently clear improvement in LTFU in the most recent time period is almost certainly an artefact of combining such heterogeneous country data. In multivariate analyses, the most recent time periods had modestly increased rates of LTFU, probably mostly due to the influence of data from India. Other factors associated with increased risk of LTFU include younger age, male gender, injecting drug use mode of HIV exposure, lower pre-ART CD4 cell count, no previous mono/duo exposure and hepatitis C co-infection.

**Figure 39. Time to lost to follow up from first ART start for each country by time period.**



**Figure 40. Time to lost to follow up from first ART start for all countries by time period.**

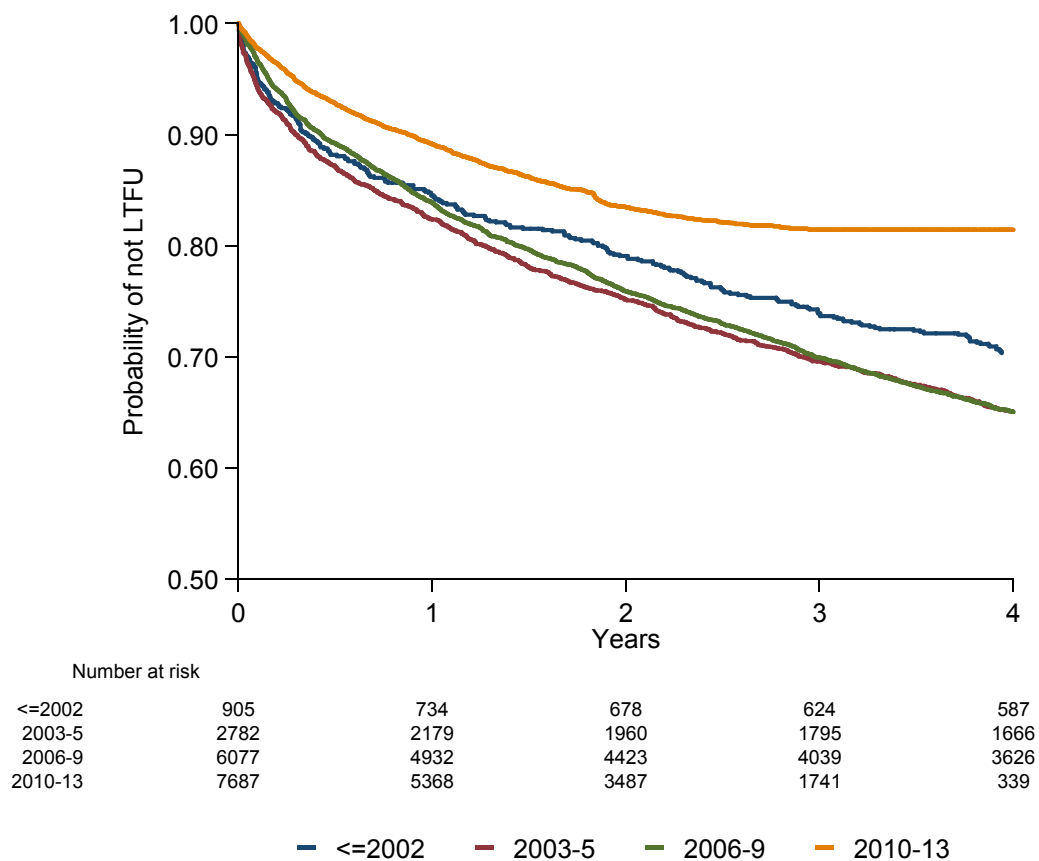


Table 10. Risk factors associated to lost to follow up for each country.

|                                       | Number of patients | LTFU | Univariate |              |        | Multivariate |                     |              |
|---------------------------------------|--------------------|------|------------|--------------|--------|--------------|---------------------|--------------|
|                                       |                    |      | HR         | 95% CI       | p      | HR           | 95% CI              | p            |
| <b>Total</b>                          | 17451              | 5526 |            |              |        |              |                     |              |
| <b>Year of ART Initiation</b>         |                    |      |            |              | <0.001 |              |                     | <0.001       |
| ≤2002                                 | 905                | 500  | 0.63       | (0.57, 0.70) | <0.001 | <b>0.67</b>  | <b>(0.60, 0.74)</b> | <0.001       |
| 2003-2005                             | 2782               | 1494 | 1          |              |        | 1.00         |                     |              |
| 2006-2009                             | 6077               | 2406 | 1.19       | (1.11, 1.27) | <0.001 | <b>1.27</b>  | <b>(1.18, 1.36)</b> | <0.001       |
| 2010-2013                             | 7687               | 1126 | 1.02       | (0.94, 1.12) | 0.586  | <b>1.22</b>  | <b>(1.12, 1.33)</b> | <0.001       |
| <b>Age at ART initiation (years)</b>  |                    |      |            |              | 0.216  |              |                     | <b>0.001</b> |
| ≤30                                   | 4874               | 1611 | 1          |              |        | 1.00         |                     |              |
| 31-40                                 | 7820               | 2665 | 0.98       | (0.92, 1.04) | 0.568  | <b>0.91</b>  | <b>(0.85, 0.97)</b> | <b>0.004</b> |
| 41-50                                 | 3234               | 914  | 0.95       | (0.88, 1.03) | 0.234  | <b>0.87</b>  | <b>(0.80, 0.95)</b> | <b>0.001</b> |
| 51+                                   | 1523               | 336  | 0.95       | (0.85, 1.07) | 0.433  | <b>0.88</b>  | <b>(0.78, 0.99)</b> | <b>0.032</b> |
| <b>Sex</b>                            |                    |      |            |              |        |              |                     |              |
| Male                                  | 12021              | 3937 | 1          |              |        | 1.00         |                     |              |
| Female                                | 5430               | 1589 | 0.92       | (0.87, 0.98) | 0.008  | <b>0.91</b>  | <b>(0.86, 0.97)</b> | <b>0.003</b> |
| <b>Mode of HIV Exposure</b>           |                    |      |            |              | <0.001 |              |                     | <0.001       |
| Heterosexual contact                  | 14357              | 5039 | 1          |              |        | 1.00         |                     |              |
| Homosexual contact                    | 1087               | 87   | 0.98       | (0.77, 1.25) | 0.879  | 1.02         | (0.80, 1.29)        | 0.890        |
| Injecting drug use                    | 781                | 125  | 1.73       | (1.42, 2.11) | <0.001 | <b>1.60</b>  | <b>(1.28, 2.01)</b> | <0.001       |
| Other/unknown                         | 1226               | 275  | 0.82       | (0.73, 0.93) | 0.001  | <b>0.85</b>  | <b>(0.75, 0.96)</b> | <b>0.007</b> |
| <b>Pre-ART viral load (copies/mL)</b> |                    |      |            |              |        |              |                     |              |
| ≤100000                               | 1577               | 218  | 1          |              |        | 1.00         |                     |              |
| >100000                               | 1838               | 296  | 1.14       | (0.96, 1.36) | 0.136  | 1.04         | (0.87, 1.24)        | 0.698        |
| Missing                               | 14036              | 5012 |            |              |        |              |                     |              |
| <b>Pre-ART CD4 (cells/μL)</b>         |                    |      |            |              | <0.001 |              |                     | <0.001       |
| ≤50                                   | 3758               | 977  | 1          |              |        | 1.00         |                     |              |
| 51-100                                | 2404               | 891  | 0.91       | (0.83, 1.00) | 0.054  | <b>0.90</b>  | <b>(0.82, 0.99)</b> | <b>0.029</b> |
| 101-200                               | 4018               | 1523 | 0.80       | (0.73, 0.86) | <0.001 | <b>0.78</b>  | <b>(0.71, 0.84)</b> | <0.001       |
| 201+                                  | 4693               | 1032 | 0.63       | (0.58, 0.69) | <0.001 | <b>0.67</b>  | <b>(0.61, 0.73)</b> | <0.001       |
| Missing                               | 2578               | 1103 |            |              |        |              |                     |              |



Antiretroviral treatment for adult HIV infection in Asia, 1998 to 2013

|                                   |       |      |      |              |        |             |                     |              |
|-----------------------------------|-------|------|------|--------------|--------|-------------|---------------------|--------------|
| <b>First ART regimen</b>          |       |      |      |              |        |             |                     |              |
| NRTI+NNRTI                        | 16743 | 5353 | 1    |              | 0.048  |             |                     | 0.689        |
| NRTI+PI                           | 639   | 163  | 0.84 | (0.72, 0.98) | 0.027  | 1.00        |                     | 0.674        |
| Other/unknown                     | 69    | 10   | 0.70 | (0.38, 1.31) | 0.267  | 0.97        | (0.82, 1.13)        | 0.674        |
|                                   |       |      |      |              |        | 0.79        | (0.42, 1.46)        | 0.446        |
| <b>Previous mono/duo exposure</b> |       |      |      |              |        |             |                     |              |
| No                                | 16728 | 5249 | 1    |              |        | 1.00        |                     |              |
| Yes                               | 723   | 277  | 0.80 | (0.71, 0.91) | <0.001 | <b>0.87</b> | <b>(0.77, 0.99)</b> | <b>0.031</b> |
| <b>Hepatitis B co-infection</b>   |       |      |      |              |        |             |                     |              |
| Negative                          | 5811  | 644  | 1    |              |        | 1.00        |                     |              |
| Positive                          | 647   | 83   | 1.20 | (0.95, 1.50) | 0.126  | 1.13        | (0.90, 1.43)        | 0.283        |
| Not tested                        | 10993 | 4799 |      |              |        |             |                     |              |
| <b>Hepatitis C co-infection</b>   |       |      |      |              |        |             |                     |              |
| Negative                          | 7199  | 1036 | 1    |              |        | 1.00        |                     |              |
| Positive                          | 1029  | 139  | 1.65 | (1.37, 2.00) | <0.001 | <b>1.40</b> | <b>(1.14, 1.73)</b> | <b>0.002</b> |
| Not tested                        | 9223  | 4351 |      |              |        |             |                     |              |

Note: Global p-values for year of ART initiation, age and pre-ART CD4 count are test for trend. Other global p-values are test for heterogeneity.

## **Acknowledgements**

TAHOD-LITE (TREAT Asia HIV Observational Database Low-Intensity TransfEr) is an initiative of TREAT Asia, a program of amfAR, The Foundation for AIDS Research, with support from the U.S. National Institutes of Health's National Institute of Allergy and Infectious Diseases, Eunice Kennedy Shriver National Institute of Child Health and Human Development, and National Cancer Institute, as part of the International Epidemiologic Databases to Evaluate AIDS (IeDEA; U01AI069907). TREAT Asia is also supported by ViiV Healthcare. The Kirby Institute is funded by the Australian Government Department of Health and Ageing, and is affiliated with the Faculty of Medicine, UNSW Australia (The University of New South Wales). The content of this publication is solely the responsibility of the authors and does not necessarily represent the official views of any of the governments or institutions mentioned above.

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